

# Graded Cohen-Macaulayness for commutative rings graded by arbitrary abelian groups

Brian Johnson

University Of Nebraska – Lincoln

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s-bjohns67@math.unl.edu

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Let  $G$  be an abelian group. A (commutative) ring  $R$  is  *$G$ -graded* if there is a family of subgroups of  $R$ ,  $\{R_g\}_{g \in G}$ , such that  $R = \bigoplus_{g \in G} R_g$ , and  $R_g R_h \subseteq R_{g+h}$  for all  $g, h \in G$ .

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For a subgroup  $H \leq G$ , we set  $R_H = \bigoplus_{h \in H} R_h$ , which is a  $G$ - and  $H$ -graded subring of  $R$ . More generally,

$$R_{g+H} := \bigoplus_{h \in H} R_{g+h}$$

is a  $G$ -graded  $R_H$ -submodule of  $R$ .

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Note: the previous definition defines a  $G/H$ -grading on the ring  $R$ , using the family  $\{R_x\}_{x \in G/H}$ .

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## Notation/Properties

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One can define analogues of many usual properties. For example, a  *$G$ -field* is a ring in which every homogeneous element is a unit, and a  *$G$ -maximal* ideal is a homogeneous ideal  $I$  such that  $R/I$  is a  $G$ -field (but we omit the  $G$  whenever possible).

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### Proposition

*Let  $R$  be a  $G$ -graded ring and  $H$  a torsion-free subgroup of  $G$ . Then*

- ①  *$R$  is a domain if and only if  $R$  is a  $G/H$ -domain.*
- ②  *$R$  is reduced if and only if  $R$  is  $G/H$ -reduced.*

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*Suppose  $R$  is a  $G$ -graded ring. If  $H \leq G$  is a finitely generated subgroup, the following are equivalent:*

- 1  $R$  is Noetherian.
- 2  $R$  is  $G/H$ -Noetherian.

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One more basic piece of notation is the following: If  $R$  is  $G$ -graded,  $M$  is a  $G$ -graded  $R$ -module, and  $N$  is a 0-graded  $R$ -submodule (i.e, not necessarily  $G$ -homogeneous) of  $M$ , we let  $N^{*G}$  denote the  $R$ -submodule of  $M$  generated by all the  $G$ -homogeneous elements contained in  $N$ .

Let  $R$  be a  $G$ -graded ring and  $N \subseteq M$  graded  $R$ -modules. Say  $N$  is ***G-irreducible*** if whenever  $N = N_1 \cap N_2$  ( $N_1, N_2$  graded) then  $N_1 = N$  or  $N_2 = N$ .

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Call  $N$   *$G$ -primary* if for all homogeneous  $r \in R$  the map  $M/N \xrightarrow{r} M/N$  induced by multiplication by  $r$  is either injective or nilpotent.

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If  $N = \bigcap N_i$  is a *primary decomposition*, then the prime ideals  $P_i$  that occur as radicals of the  $\text{Ann}(M/N_i)$  depend only on  $M$  and  $N$ .

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If  $R$  is Noetherian,  $P \in \text{Ass } R$  if and only if  $P = (0 : f)$  for some homogeneous element  $f \in R$ . Also, the union of the associated primes of  $R$  is, in general, strictly contained in the collection of zerodivisors of  $R$ .

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Dimension of a  $G$ -graded ring and height of a  
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- $\dim_R^G(R) := \sup\{n \mid P_0 \subsetneq P_1 \subsetneq \cdots \subsetneq P_n$   
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Dimension of a  $G$ -graded ring and height of a ( $G$ -homogeneous) ideal are defined in an expected way:

- $\dim_R^G(R) := \sup\{n \mid P_0 \subsetneq P_1 \subsetneq \cdots \subsetneq P_n$   
 $\hspace{15em} \text{is a chain of prime ideals of } R\}$
- $\text{ht}_R^G(I) := \min\{\dim(R_{(P)}) \mid P \supseteq I \text{ and } P \text{ is prime}\}$

## Results on Height

The following fact is a generalization of a result of Matijevic-Roberts (1973).

- Let  $R$  be a  $G$ -graded ring, and suppose  $H \leq G$  is a torsion-free subgroup. If  $P \in \operatorname{Spec}^{G/H}(R)$  and  $P^* = P^*G$ , then  $\operatorname{ht}^{G/H}(P/P^*) \leq \operatorname{rank} H$ .

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This was extended to  $\mathbb{Z}^d$ -graded rings and sharpened by Uliczka (2009). A further generalization is:

## Theorem

*Let  $R$  be a  $G$ -graded ring and  $H \leq G$  a torsion-free subgroup of finite rank, and set  $P^* = P^*G$ . If  $P \in \operatorname{Spec}^{G/H}(R)$ , then*

$$\operatorname{ht}^{G/H}(P) = \operatorname{ht}^{G/H}(P^*) + \operatorname{ht}^{G/H}(P/P^*).$$

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If we add the hypothesis that  $R$  is Noetherian to the previous setting and consider  $P \in \operatorname{Spec}(R)$  with  $\operatorname{ht}^{G/H}(P) = n$ , we can show that there exists a chain

$$P_0 \subsetneq P_1 \subsetneq \cdots \subsetneq P_n = P$$

such that  $P_i \in \operatorname{Spec}(R)$  for  $i = 1, \dots, n$ . i.e.,

$$\operatorname{ht}^{G/H}(P) = \operatorname{ht}(P).$$

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$$\operatorname{ht}^{G/H}(P) = \operatorname{ht}(P).$$

The next fact is somewhat unrelated, but is useful when discussing depth and grade.

- If  $R$  is a Noetherian graded ring, then for any  $p \in \operatorname{Spec} R$ ,  $\operatorname{ht}_R(p) = \operatorname{ht}_{R[t]}(p[t])$ .

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In order to define grade in this setting, we'll use Čech cohomology. Suppose  $R$  is a  $G$ -graded ring, and  $I = (f_1, \dots, f_n) = (\mathbf{f})$  is a homogeneous ideal. Define

$$\text{grade}_I^G(R) := \min\{i \mid H_{\mathbf{f}}^i(R) \neq 0\}.$$

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$$\text{grade}_I^G(R) := \min\{i \mid H_{\mathbf{f}}^i(R) \neq 0\}.$$

Then depth is defined in the usual way. If  $(R, m)$  is a  $G$ -graded local Noetherian ring, we set

$$\text{depth}^G(R) := \text{grade}_m(R),$$

and say that  $R$  is  *$G$ -Cohen-Macaulay* (or just Cohen-Macaulay) if

$$\text{depth}(R) = \dim(R).$$

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A useful construction

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Setting  $S = R[\mathbf{t}]_{(mR[\mathbf{t}])}$  and  $\tilde{m} = mR[\mathbf{t}]_{(mR[\mathbf{t}])}$ , we then have that  $(S, \tilde{m})$  is a graded local ring with the same dimension as  $R$ .

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In fact, since the extension  $R \rightarrow S$  is faithfully flat,  $\text{depth } R = \text{depth } S$ , so that  $R$  is Cohen-Macaulay if and only if  $S$  is, the advantage being:

If  $R$  is a  $G$ -graded ring and  $I$  is a finitely generated homogeneous ideal, there exist  $d \geq 1$  and  $t_1, \dots, t_d$  with  $\deg t_i = g_i$  for  $g_i \in G$ ,  $i = 1, \dots, d$ , such that  $IR[t_1, \dots, t_d]$  contains a homogeneous  $R[t_1, \dots, t_d]$ -regular sequence of length  $\text{grade}_I(R)$ .

## Theorem (Main Theorem)

Let  $R$  be a Noetherian  $G$ -graded ring, and suppose  $H \leq G$  is a finitely generated torsion-free subgroup. TFAE:

- 1  $R$  is Cohen-Macaulay.
- 2  $R$  is  $G/H$ -Cohen-Macaulay.

## Theorem (Main Theorem)

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- ①  *$R$  is Cohen-Macaulay.*
- ②  *$R$  is  $G/H$ -Cohen-Macaulay.*

*Sketch of proof.* For  $(2) \Rightarrow (1)$ , if  $P \in \operatorname{Spec}(R)$ , then  $P \in \operatorname{Spec}^{G/H}(R)$ , and we can write  $P = (\mathbf{x})$  for a  $G$ -homogeneous sequence  $\mathbf{x}$ . Then use

$$H_{\mathbf{x}}^i(R)_{[P]} = 0 \text{ if and only if } H_{\mathbf{x}}^i(R)_{(P)} = 0.$$

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For  $(1) \Rightarrow (2)$ , the bulk of the work is contained in a lemma which states:

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For  $(1) \Rightarrow (2)$ , the bulk of the work is contained in a lemma which states:

- If  $P \in \operatorname{Spec}^{G/H}(R)$ , and  $P^* = P^{*G}$ , then  $R_{(P)}$  is  $G/H$ -CM if and only if  $R_{(P^*)}$  is  $G/H$ -CM.

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The lemma allows us to assume that  $(R, m)$  is local and Cohen-Macaulay, and it suffices to show that  $R_{(m)}$  is  $G/H$ -CM.

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The lemma allows us to assume that  $(R, m)$  is local and Cohen-Macaulay, and it suffices to show that  $R_{(m)}$  is  $G/H$ -CM.

That  $\dim(R) = \dim_{R_{(m)}}^{G/H}(R_{(m)})$  follows from

$\operatorname{ht}(m) = \operatorname{ht}^{G/H}(m)$ , and so we only need to show

$\operatorname{grade}_m(R) = \operatorname{grade}_{mR_{(m)}}^{G/H}(R_{(m)})$ .

