

# Cohen-Macaulay toric rings arising from finite graphs

Augustine O'Keefe

Department of Mathematics  
Tulane University  
New Orleans, LA 70118  
[aokeefe@tulane.edu](mailto:aokeefe@tulane.edu)

October 15, 2011

Study homological properties of toric rings.

- depth?
- Cohen-Macaulay?

Study homological properties of toric rings.

- depth?
- Cohen-Macaulay?

Restrict our focus to toric rings arising from discrete graphs.

Study homological properties of toric rings.

- depth?
- Cohen-Macaulay?

Restrict our focus to toric rings arising from discrete graphs.

For a simple graph  $G$ , we denote its associated toric ring by  $K[G]$ .

- Villarreal (1995) studied these rings as fibers of the Rees algebra over the edge ideal of a graph.

Study homological properties of toric rings.

- depth?
- Cohen-Macaulay?

Restrict our focus to toric rings arising from discrete graphs.

For a simple graph  $G$ , we denote its associated toric ring by  $K[G]$ .

- Villarreal (1995) studied these rings as fibers of the Rees algebra over the edge ideal of a graph.
  - Proved all bipartite graphs yield a normal toric ring.

Study homological properties of toric rings.

- depth?
- Cohen-Macaulay?

Restrict our focus to toric rings arising from discrete graphs.

For a simple graph  $G$ , we denote its associated toric ring by  $K[G]$ .

- Villarreal (1995) studied these rings as fibers of the Rees algebra over the edge ideal of a graph.
  - Proved all bipartite graphs yield a normal toric ring.
- Hibi and Ohsugi (1999) studied  $K[G]$  as the image of a monomial map.

Study homological properties of toric rings.

- depth?
- Cohen-Macaulay?

Restrict our focus to toric rings arising from discrete graphs.

For a simple graph  $G$ , we denote its associated toric ring by  $K[G]$ .

- Villarreal (1995) studied these rings as fibers of the Rees algebra over the edge ideal of a graph.
  - Proved all bipartite graphs yield a normal toric ring.
- Hibi and Ohsugi (1999) studied  $K[G]$  as the image of a monomial map.
  - Classified all graphs  $G$  such that  $K[G]$  is normal.

Study homological properties of toric rings.

- depth?
- Cohen-Macaulay?

Restrict our focus to toric rings arising from discrete graphs.

For a simple graph  $G$ , we denote its associated toric ring by  $K[G]$ .

- Villarreal (1995) studied these rings as fibers of the Rees algebra over the edge ideal of a graph.
  - Proved all bipartite graphs yield a normal toric ring.
- Hibi and Ohsugi (1999) studied  $K[G]$  as the image of a monomial map.
  - Classified all graphs  $G$  such that  $K[G]$  is normal.

Hochster (1972) proved that all normal semigroup rings are Cohen-Macaulay.

Study homological properties of toric rings.

- depth?
- Cohen-Macaulay?

Restrict our focus to toric rings arising from discrete graphs.

For a simple graph  $G$ , we denote its associated toric ring by  $K[G]$ .

- Villarreal (1995) studied these rings as fibers of the Rees algebra over the edge ideal of a graph.
  - Proved all bipartite graphs yield a normal toric ring.
- Hibi and Ohsugi (1999) studied  $K[G]$  as the image of a monomial map.
  - Classified all graphs  $G$  such that  $K[G]$  is normal.

Hochster (1972) proved that all normal semigroup rings are Cohen-Macaulay.

# The Goal:

Classify all graphs,  $G$ , such that  $K[G]$  is Cohen-Macaulay.

# The Goal:

Classify all graphs,  $G$ , such that  $K[G]$  is Cohen-Macaulay.

Classify all graphs,  $G$ , such that  $K[G]$  is NOT Cohen-Macaulay.

# The Goal:

Classify all graphs,  $G$ , such that  $K[G]$  is Cohen-Macaulay.

Classify all graphs,  $G$ , such that  $K[G]$  is NOT Cohen-Macaulay.

Recall that  $K[G]$  is **Cohen-Macaulay** if  
 $\text{depth } K[G] = \dim K[G]$ .

- In general  $\text{depth } K[G] \leq \dim K[G]$ .

# The Goal:

Classify all graphs,  $G$ , such that  $K[G]$  is Cohen-Macaulay.

Classify all graphs,  $G$ , such that  $K[G]$  is NOT Cohen-Macaulay.

Recall that  $K[G]$  is **Cohen-Macaulay** if  
 $\text{depth } K[G] = \dim K[G]$ .

- In general  $\text{depth } K[G] \leq \dim K[G]$ .

Two approaches:

# The Goal:

Classify all graphs,  $G$ , such that  $K[G]$  is Cohen-Macaulay.

Classify all graphs,  $G$ , such that  $K[G]$  is NOT Cohen-Macaulay.

Recall that  $K[G]$  is **Cohen-Macaulay** if  
 $\text{depth } K[G] = \dim K[G]$ .

- In general  $\text{depth } K[G] \leq \dim K[G]$ .

Two approaches:

- 1 Explicitly calculate depth for particular families of graphs.

# The Goal:

Classify all graphs,  $G$ , such that  $K[G]$  is Cohen-Macaulay.

Classify all graphs,  $G$ , such that  $K[G]$  is NOT Cohen-Macaulay.

Recall that  $K[G]$  is **Cohen-Macaulay** if  
 $\text{depth } K[G] = \dim K[G]$ .

- In general  $\text{depth } K[G] \leq \dim K[G]$ .

Two approaches:

- 1 Explicitly calculate depth for particular families of graphs.
  - Construct a graph such that  $\dim K[G] - \text{depth } K[G]$  is arbitrarily large.

# The Goal:

Classify all graphs,  $G$ , such that  $K[G]$  is Cohen-Macaulay.

Classify all graphs,  $G$ , such that  $K[G]$  is NOT Cohen-Macaulay.

Recall that  $K[G]$  is **Cohen-Macaulay** if  
 $\text{depth } K[G] = \dim K[G]$ .

- In general  $\text{depth } K[G] \leq \dim K[G]$ .

Two approaches:

- 1 Explicitly calculate depth for particular families of graphs.
  - Construct a graph such that  $\dim K[G] - \text{depth } K[G]$  is arbitrarily large.
- 2 Find forbidden structures in the graph which prevent Cohen-Macaulayness.

# The Goal:

Classify all graphs,  $G$ , such that  $K[G]$  is Cohen-Macaulay.

Classify all graphs,  $G$ , such that  $K[G]$  is NOT Cohen-Macaulay.

Recall that  $K[G]$  is **Cohen-Macaulay** if  
 $\text{depth } K[G] = \dim K[G]$ .

- In general  $\text{depth } K[G] \leq \dim K[G]$ .

Two approaches:

- 1 Explicitly calculate depth for particular families of graphs.
  - Construct a graph such that  $\dim K[G] - \text{depth } K[G]$  is arbitrarily large.
- 2 Find forbidden structures in the graph which prevent Cohen-Macaulayness.
  - If  $H$  is an induced subgraph of  $G$  such that  $K[H]$  is not Cohen-Macaulay, is the same true for  $K[G]$ ?

# The toric ring $K[G]$

$G = (V, E)$  a finite, connected and simple graph.

$$E(G) = \{x_1, \dots, x_n\} \quad \longleftrightarrow \quad K[\mathbf{x}] = K[x_1, \dots, x_n]$$

$$V(G) = \{t_1, \dots, t_d\} \quad \longleftrightarrow \quad K[\mathbf{t}] = K[t_1, \dots, t_d]$$

# The toric ring $K[G]$

$G = (V, E)$  a finite, connected and simple graph.

$$E(G) = \{x_1, \dots, x_n\} \quad \longleftrightarrow \quad K[\mathbf{x}] = K[x_1, \dots, x_n]$$

$$V(G) = \{t_1, \dots, t_d\} \quad \longleftrightarrow \quad K[\mathbf{t}] = K[t_1, \dots, t_d]$$

Define the homomorphism

$$\pi : K[\mathbf{x}] \rightarrow K[\mathbf{t}], \quad x_i \mapsto t_{i_1} t_{i_2}$$

where  $x_i$  is the edge  $\{t_{i_1}, t_{i_2}\}$

# The toric ring $K[G]$

$G = (V, E)$  a finite, connected and simple graph.

$$E(G) = \{x_1, \dots, x_n\} \quad \longleftrightarrow \quad K[\mathbf{x}] = K[x_1, \dots, x_n]$$

$$V(G) = \{t_1, \dots, t_d\} \quad \longleftrightarrow \quad K[\mathbf{t}] = K[t_1, \dots, t_d]$$

Define the homomorphism

$$\pi : K[\mathbf{x}] \rightarrow K[\mathbf{t}], \quad x_i \mapsto t_{i_1} t_{i_2}$$

where  $x_i$  is the edge  $\{t_{i_1}, t_{i_2}\}$

- $I_G := \ker \pi$  is the **toric ideal of  $G$**
- $K[G] := K[\mathbf{x}]/I_G$  is the **toric ring of  $G$**

# Facts about $K[G]$

- Hibi and Ohsugi (1999) showed  $K[G]$  is normal exactly when  $G$  satisfies the *odd cycle property*, i.e. any two odd cycles  $C$  and  $C'$  in  $G$  must share a vertex or have an edge between them.

# Facts about $K[G]$

- Hibi and Ohsugi (1999) showed  $K[G]$  is normal exactly when  $G$  satisfies the *odd cycle property*, i.e. any two odd cycles  $C$  and  $C'$  in  $G$  must share a vertex or have an edge between them.
- $\dim K[G] = \begin{cases} |V(G)| - 1 & \text{if } G \text{ is bipartite} \\ |V(G)| & \text{otherwise} \end{cases}$

## Facts about $K[G]$

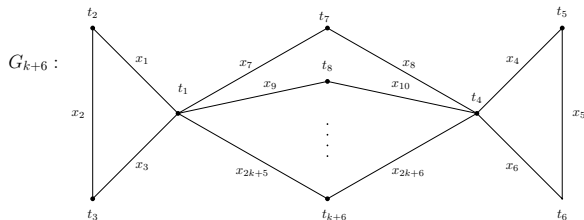
- Hibi and Ohsugi (1999) showed  $K[G]$  is normal exactly when  $G$  satisfies the *odd cycle property*, i.e. any two odd cycles  $C$  and  $C'$  in  $G$  must share a vertex or have an edge between them.
- $$\dim K[G] = \begin{cases} |V(G)| - 1 & \text{if } G \text{ is bipartite} \\ |V(G)| & \text{otherwise} \end{cases}$$
- Generators of  $I_G$  arise from even closed paths in  $G$ .

## Facts about $K[G]$

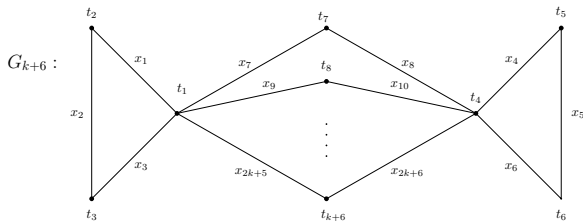
- Hibi and Ohsugi (1999) showed  $K[G]$  is normal exactly when  $G$  satisfies the *odd cycle property*, i.e. any two odd cycles  $C$  and  $C'$  in  $G$  must share a vertex or have an edge between them.
- $\dim K[G] = \begin{cases} |V(G)| - 1 & \text{if } G \text{ is bipartite} \\ |V(G)| & \text{otherwise} \end{cases}$
- Generators of  $I_G$  arise from even closed paths in  $G$ .
- By Auslander-Buchsbaum formula,

$$\text{depth } K[G] = \dim_K K[G] - \text{pd } K[G] = |E(G)| - \text{pd } K[G]$$

# A family of graphs

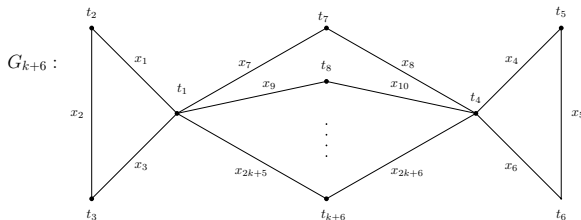


# A family of graphs



$\text{depth } K[G_{k+6}] = 7$   
for  $k \geq 1$ .

# A family of graphs



$\text{depth } K[G_{k+6}] = 7$   
for  $k \geq 1$ .

**Theorem (Hibi, Higashitani, Kimura, -)**

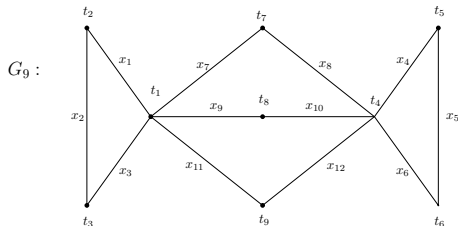
*Let  $f, d$  be integers such that  $7 \leq f \leq d$ . Then there exists a graph  $G$  with  $|V(G)| = d$  such that  $\dim K[G] = d$  and  $\text{depth } K[G] = f$ .*

# Sketch of proof

Let  $f = 8$  and  $d = 10$ .

# Sketch of proof

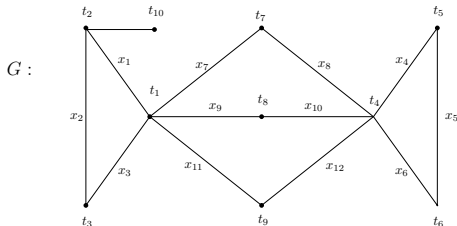
Let  $f = 8$  and  $d = 10$ .



- Consider  $G_{d-f+7} = G_9$ .

# Sketch of proof

Let  $f = 8$  and  $d = 10$ .



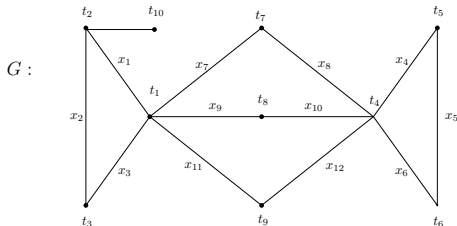
- Consider  $G_{d-f+7} = G_9$ .

- Add path of length  $f-7=1$

- $\dim K[G] = 10$

# Sketch of proof

Let  $f = 8$  and  $d = 10$ .



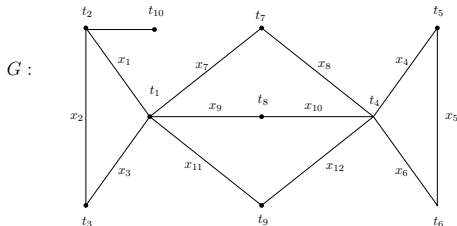
- Consider  $G_{d-f+7} = G_9$ .

- Add path of length  $f-7=1$

- $\dim K[G] = 10$
- $\text{pd } K[G] = \text{pd } K[G_9] = |E(G_9)| - 7 = 5$

# Sketch of proof

Let  $f = 8$  and  $d = 10$ .

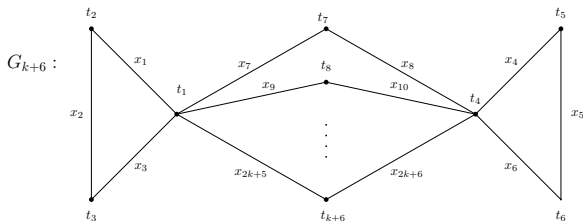


- Consider  $G_{d-f+7} = G_9$ .

- Add path of length  $f-7=1$

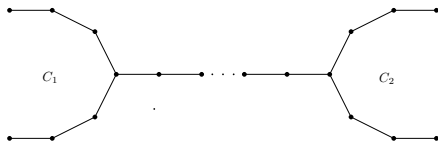
- $\dim K[G] = 10$
- $\text{pd } K[G] = \text{pd } K[G_9] = |E(G_9)| - 7 = 5$
- $\text{depth } K[G] = |E(G)| - 5 = 8$

Let's look again at the family of graphs.

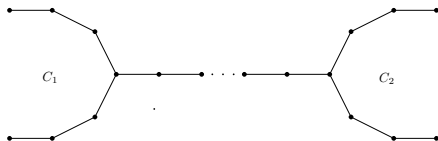


- $\text{depth } K[G_{k+6}] = 7 \neq k + 6 = \dim K[G]$  for  $k \geq 2$ .
- What about these graphs prevent Cohen-Macaulayness?

Suppose  $G$  consists of 2 odd cycles,  $C_1$  and  $C_2$ , connected by a path of length  $\geq 2$ .

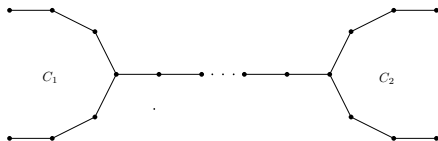


Suppose  $G$  consists of 2 odd cycles,  $C_1$  and  $C_2$ , connected by a path of length  $\geq 2$ .



- One generator in  $I_G$  since only one even closed path.  
 Therefore  $\text{pd}_{K[x]} K[G] = 1$ .

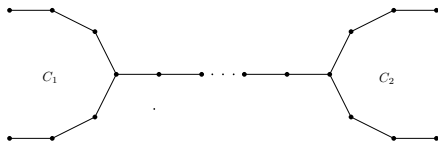
Suppose  $G$  consists of 2 odd cycles,  $C_1$  and  $C_2$ , connected by a path of length  $\geq 2$ .



- One generator in  $I_G$  since only one even closed path.  
 Therefore  $\text{pd}_{K[x]} K[G] = 1$ .
- By Auslander-Buchsbaum,

$$\text{depth } K[G] = |E(G)| - \text{pd } K[G] = |E(G)| - 1$$

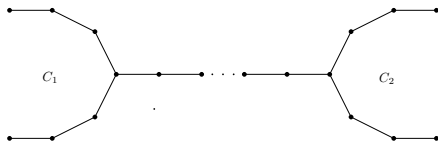
Suppose  $G$  consists of 2 odd cycles,  $C_1$  and  $C_2$ , connected by a path of length  $\geq 2$ .



- One generator in  $I_G$  since only one even closed path.  
 Therefore  $\text{pd}_{K[x]} K[G] = 1$ .
- By Auslander-Buchsbaum,

$$\text{depth } K[G] = |E(G)| - \text{pd } K[G] = |E(G)| - 1 = |V(G)|.$$

Suppose  $G$  consists of 2 odd cycles,  $C_1$  and  $C_2$ , connected by a path of length  $\geq 2$ .



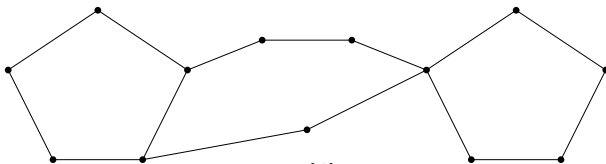
- One generator in  $I_G$  since only one even closed path.  
 Therefore  $\text{pd}_{K[x]} K[G] = 1$ .
- By Auslander-Buchsbaum,

$$\text{depth } K[G] = |E(G)| - \text{pd } K[G] = |E(G)| - 1 = |V(G)|.$$

- $K[G]$  is not normal but is Cohen-Macaulay.

What happens if add another path of between  $C_1$  and  $C_2$  of length  $\geq 2$ ?

What happens if add another path of between  $C_1$  and  $C_2$  of length  $\geq 2$ ?

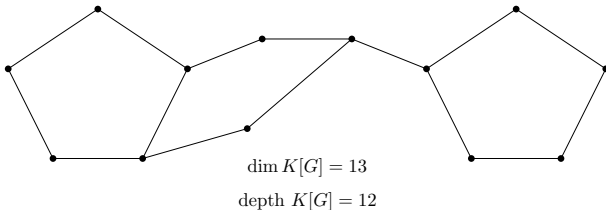


$$\dim K[G] = 13$$

$$\text{depth } K[G] = 12$$

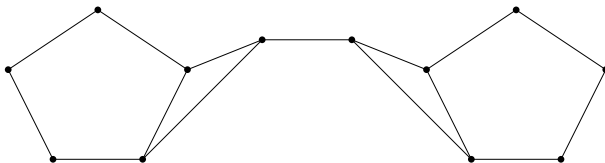
What happens if the paths intersect?

What happens if the paths intersect?



$K[G]$  is not Cohen-Macaulay.

What happens if the paths intersect?

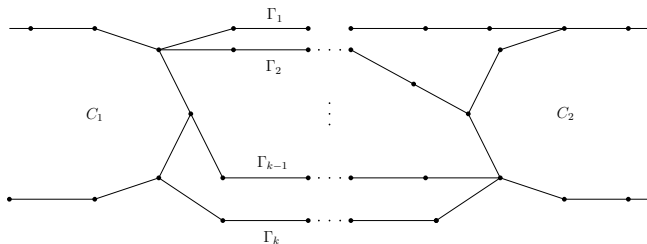


$$\dim K[G] = 12$$
$$\text{depth } K[G] = 12$$

$K[G]$  is Cohen-Macaulay!

## Theorem (Hà, -)

Suppose  $G$  is comprised of two odd cycles,  $C_1$  and  $C_2$ , connected by  $k \geq 2$  paths,  $\Gamma_1, \dots, \Gamma_k$ , each of length  $l_i \geq 2$ , for  $i = 1, \dots, k$ . Furthermore, suppose that the  $\Gamma_i$  do not share any edges and if a vertex  $v \in V(\Gamma_i) \cap V(\Gamma_j)$ , then  $v \in V(C_1) \cup V(C_2)$ . Then  $K[G]$  is not Cohen-Macaulay.



## Sketch of Proof

By Auslander-Buchsbaum, reduced to showing  
 $\text{pd } K[G] > \dim_K K[G] - \dim K[G] = |E(G)| - |V(G)| = k.$

## Sketch of Proof

By Auslander-Buchsbaum, reduced to showing  
 $\text{pd } K[G] > \dim_K K[G] - \dim K[G] = |E(G)| - |V(G)| = k.$

Let  $\mathcal{A}_G = \{a_1, \dots, a_n\}$  be the incidence matrix of  $G$ .

## Sketch of Proof

By Auslander-Buchsbaum, reduced to showing  
 $\text{pd } K[G] > \dim_K K[G] - \dim K[G] = |E(G)| - |V(G)| = k.$

Let  $\mathcal{A}_G = \{a_1, \dots, a_n\}$  be the incidence matrix of  $G$ .

Let  $S_G = \mathbb{N}\mathcal{A}_G$  be the semigroup generated by the columns of  $\mathcal{A}_G$ .

## Sketch of Proof

By Auslander-Buchsbaum, reduced to showing  
 $\text{pd } K[G] > \dim_K K[G] - \dim K[G] = |E(G)| - |V(G)| = k.$

Let  $\mathcal{A}_G = \{a_1, \dots, a_n\}$  be the incidence matrix of  $G$ .

Let  $S_G = \mathbb{N}\mathcal{A}_G$  be the semigroup generated by the columns of  $\mathcal{A}_G$ .

For  $\mathbf{s} \in S_G$ , define the simplicial complex

$$\Delta_{\mathbf{s}} = \{F \subset [n] : \mathbf{s} - \mathbf{n}_F \in S_G\}, \quad \mathbf{n}_F = \sum_{i \in F} a_i$$

## Sketch of Proof

By Auslander-Buchsbaum, reduced to showing  
 $\text{pd } K[G] > \dim_K K[G] - \dim K[G] = |E(G)| - |V(G)| = k.$

Let  $\mathcal{A}_G = \{a_1, \dots, a_n\}$  be the incidence matrix of  $G$ .

Let  $S_G = \mathbb{N}\mathcal{A}_G$  be the semigroup generated by the columns of  $\mathcal{A}_G$ .

For  $\mathbf{s} \in S_G$ , define the simplicial complex

$$\Delta_{\mathbf{s}} = \{F \subset [n] : \mathbf{s} - \mathbf{n}_F \in S_G\}, \quad \mathbf{n}_F = \sum_{i \in F} a_i$$

Briales, Campillo, Marijuán and Pisón (1998) showed that

$$\beta_{j+1, \mathbf{s}}(K[G]) = \dim_K \tilde{H}_j(\Delta_{\mathbf{s}}; K).$$

# $\Delta_s$ in terms of $G$

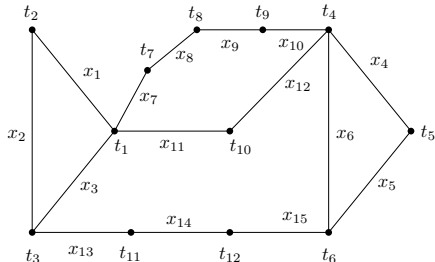
Choose  $\mathbf{s} = (1 + p_i : p_i = \text{number of paths } t_i \text{ lies on})$ .

Then each path determines 2 facets in  $\Delta_s$ .

$\Delta_{\mathbf{S}}$  in terms of  $G$ 

Choose  $\mathbf{s} = (1 + p_i : p_i = \text{number of paths } t_i \text{ lies on})$ . Then each path determines 2 facets in  $\Delta_{\mathbf{s}}$ .

$$\mathbf{s} = (3, 1, 2, 3, 1, 2, 2, 2, 2, 2, 2, 2)$$

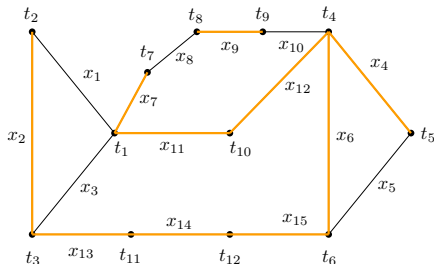


$$\Delta_1 : \begin{cases} F_{1,1} = \{2, 4, 6, 7, 9, 11, 12, 13, 14, 15\} \\ F_{1,2} = \{1, 3, 5, 8, 10, 11, 12, 13, 14, 15\} \end{cases}$$

## $\Delta_s$ in terms of $G$

Choose  $\mathbf{s} = (1 + p_i : p_i = \text{number of paths } t_i \text{ lies on})$ .  
 Then each path determines 2 facets in  $\Delta_s$ .

$$\mathbf{s} = (3, 1, 2, 3, 1, 2, 2, 2, 2, 2, 2, 2)$$

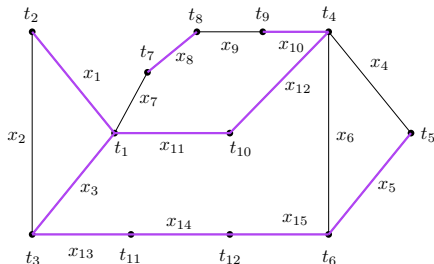


$$\Delta_1 : \begin{cases} F_{1,1} = \{2, 4, 6, 7, 9, 11, 12, 13, 14, 15\} \\ F_{1,2} = \{1, 3, 5, 8, 10, 11, 12, 13, 14, 15\} \end{cases}$$

## $\Delta_s$ in terms of $G$

Choose  $\mathbf{s} = (1 + p_i : p_i = \text{number of paths } t_i \text{ lies on})$ .  
 Then each path determines 2 facets in  $\Delta_s$ .

$$\mathbf{s} = (3, 1, 2, 3, 1, 2, 2, 2, 2, 2, 2, 2)$$



$$\Delta_1 : \begin{cases} F_{1,1} = \{2, 4, 6, 7, 9, 11, 12, 13, 14, 15\} \\ F_{1,2} = \{1, 3, 5, 8, 10, 11, 12, 13, 14, 15\} \end{cases}$$

- Want to show  $\text{pd } K[G] > |E(G)| - |V(G)| = k$ .

- Want to show  $\text{pd } K[G] > |E(G)| - |V(G)| = k$ .
- Show  $\beta_{\mathbf{s}, k+1}(K[G]) = \dim_K \tilde{H}_k(\Delta_{\mathbf{s}}; K) \neq 0$ .

- Want to show  $\text{pd } K[G] > |E(G)| - |V(G)| = k$ .
- Show  $\beta_{\mathbf{s}, k+1}(K[G]) = \dim_K \tilde{H}_k(\Delta_{\mathbf{s}}; K) \neq 0$ .
- Can express  $\Delta_{\mathbf{s}}$  as a union of subcomplexes.

- Want to show  $\text{pd } K[G] > |E(G)| - |V(G)| = k$ .
- Show  $\beta_{\mathbf{s}, k+1}(K[G]) = \dim_K \tilde{H}_k(\Delta_{\mathbf{s}}; K) \neq 0$ .
- Can express  $\Delta_{\mathbf{s}}$  as a union of subcomplexes.
- Apply Mayer-Vietoris recursively to get

$$\tilde{H}_k(\Delta_{\mathbf{s}}; K) \cong \tilde{H}_k(F_{1,1} \cap F_{1,2} \cap \Delta_2 \cap \cdots \cap \Delta_k; K) \neq (0).$$

## What's next?

- Currently working on showing that if  $H$  is an induced subgraph of  $G$  such that  $K[H]$  is not Cohen-Macaulay, then neither is  $K[G]$

## What's next?

- Currently working on showing that if  $H$  is an induced subgraph of  $G$  such that  $K[H]$  is not Cohen-Macaulay, then neither is  $K[G]$
- Want to find an even more general class of graphs failing the Cohen-Macaulay property.

## What's next?

- Currently working on showing that if  $H$  is an induced subgraph of  $G$  such that  $K[H]$  is not Cohen-Macaulay, then neither is  $K[G]$
- Want to find an even more general class of graphs failing the Cohen-Macaulay property.
- Experimental evidence shows  $\text{depth } K[G] \geq 7$  when  $|V(G)| \geq 7$ .

## What's next?

- Currently working on showing that if  $H$  is an induced subgraph of  $G$  such that  $K[H]$  is not Cohen-Macaulay, then neither is  $K[G]$
- Want to find an even more general class of graphs failing the Cohen-Macaulay property.
- Experimental evidence shows  $\text{depth } K[G] \geq 7$  when  $|V(G)| \geq 7$ .
- What about other properties of  $K[G]$ ? When is  $K[G]$  Gorenstein?

Thanks!