

# Isomorphisms of non-commutative domain algebras

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- ① [AL1] Isomorphisms of non-commutative domain algebras, JOT (to appear)
- ② [AL2] Isomorphisms of non-commutative domain algebras II (submitted)
- Combines ideas from operator algebras and several complex variables
- Non-commutative Domain Algebras: Multivariate, non commutative operator algebras (Popescu, 2007)
- Several complex variables
  - Cartan's Lemma, 1932
  - Thullen's characterization of bounded Reinhardt domains in  $\mathbb{C}^2$  with non-compact automorphism group, 1931
  - Sunada's theorem, 1978

# Setting: the Full Fock space

- $H$  is a Hilbert space

$$\mathcal{F}^2(H) = \mathbf{C} \oplus H \oplus H^{\otimes 2} \oplus H^{\otimes 3} \oplus \dots$$

- If  $H$  is  $n$ -dimensional, then  $\mathcal{F}^2(H) = \ell_2(\mathbb{F}_n^+)$
- $\mathbb{F}_n^+$  is the free semigroup with  $n$  generators:  $g_1, g_2, \dots, g_n$ .
- $\ell_2(\mathbb{F}_n^+)$  has orthonormal basis  $\{\delta_\alpha : \alpha \in \mathbb{F}_n^+\}$ .
- Left Creation Operators: isometries with orthogonal ranges

$$S_1, S_2, \dots, S_n : \ell_2(\mathbb{F}_n^+) \rightarrow \ell_2(\mathbb{F}_n^+), \quad S_i(\delta_\alpha) = \delta_{g_i\alpha}.$$

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Model for row contractions (Popescu, 1991, VN Inequality)

If  $T_1, \dots, T_n \in B(H)$  and  $\sum_{i \leq n} T_i T_i^* \leq I$ , then there exists a unital completely contractive homomorphism  $\Phi : \mathcal{A}_n \rightarrow B(H)$  satisfying

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A simple proof for this uses Poisson Transforms, an explicit dilation.



# Completely contractive representations

- The unital completely contractive representations of  $\mathcal{A}_n$  on  $B(H)$  are given by

$$\left\{ (T_1, \dots, T_n) : T_i \in B(H) \text{ and } \sum_{i \leq n} T_i T_i^* \leq I. \right\}$$

- When  $\dim(H) = 1$ , we obtain the characters

$$B_n = \left\{ (\lambda_1, \dots, \lambda_n) \in \mathbb{C}^n : \|\lambda\|_2 = \sqrt{\sum_{i \leq n} |\lambda_i|^2} \leq 1 \right\}.$$

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The techniques and methods used to study  $\mathcal{A}_n$  are associated with multivariable interpolation results (Caratheodory, Nevanlinna-Pick)

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Weighted shifts

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## Weighted shifts

- For  $i \leq n$ , define  $W_i : \ell_2(\mathbb{F}_n^+) \rightarrow \ell_2(\mathbb{F}_n^+)$  by  $W_i \delta_\alpha = \sqrt{\frac{\omega_{\beta\alpha}}{\omega_\alpha}} \delta_{g_i\alpha}$ , where
- $\omega_\alpha > 0$  for all  $\alpha \in \mathbb{F}_n^+$  and  $\frac{\omega_{\alpha\beta\gamma}}{\omega_{\beta\gamma}} \leq \frac{\omega_{\alpha\beta}}{\omega_\beta}$  for any  $\alpha, \beta, \gamma \in \mathbb{F}_n^+$  with  $|\alpha| = |\gamma| = 1$ .
- The weights are motivated by a paper of Quiggin (interpolation).
- Then there exist  $a_\alpha$ 's satisfying

$$\begin{cases} a_{g_i} > 0 \text{ for } i \leq n, \\ a_\alpha \geq 0 \text{ for } |\alpha| \geq 1 \text{ such that} \end{cases}$$

- $\sum_{|\alpha| \geq 1} a_\alpha W_\alpha W_\alpha^* \leq I$ , and  $(W_1, \dots, W_n)$  is the model for operators satisfying  $\sum_{|\alpha| \geq 1} a_\alpha T_\alpha T_\alpha^* \leq I$
- The Poisson transform works!

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- There exist weighted shifts  $W_1^f, W_2^f, \dots, W_n^f$  on the Full Fock space  $\ell_2(\mathbb{F}_n^+)$  that are the model for operator satisfying

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- The symbol  $f = \sum_{|\alpha| \geq 1} a_\alpha X_\alpha$  is used to identify the operators.  $X_1, \dots, X_n$  are free variables and  $f$  is called positive regular  $n$ -free formal power series.



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- The unital completely contractive representations of  $\mathcal{A}(\mathcal{D}_f)$  on the Hilbert space  $H$  are given by the non-commutative domains

$$\mathcal{D}_f(H) = \left\{ (T_1, \dots, T_n) : T_i \in B(H) \text{ and } \sum_{|\alpha| \geq 1} a_\alpha T_\alpha T_\alpha^* \leq I. \right\}$$

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- When  $\dim(H) = 1$ , we obtain the characters, which are given by the bounded domain:

$$\mathcal{D}_f(\mathbb{C}) = \left\{ (\lambda_1, \dots, \lambda_n) \in \mathbb{C}^n : \sum_{|\alpha| \geq 1} a_\alpha |\lambda_\alpha|^2 \leq 1 \right\}.$$

## Example

$f = X_1 + X_2$ . Then  $W_1^f = S_1$  and  $W_2^f = S_2$

- $\{S_1, S_2\}$  is the model for row contractions  $T_1 T_1^* + T_2 T_2^* \leq I$
- The set of 1-dimensional representations (characters) of  $\mathcal{A}(\mathcal{D}_f)$  is

$$\mathcal{D}_f(\mathbb{C}) = \left\{ (\lambda_1, \lambda_2) \in \mathbb{C}^2 : |\lambda_1|^2 + |\lambda_2|^2 \leq 1 \right\}.$$

## Example

$g = X_1 + X_2 + X_1 X_2$ . Then we obtain  $\mathcal{A}(\mathcal{D}_g)$

- $\{W_1^g, W_2^g\}$  is the model for operators  
 $T_1 T_1^* + T_2 T_2^* + T_1 T_2 T_2^* T_1^* \leq I$ .
- $\mathcal{D}_g(\mathbb{C}) = \left\{ (\lambda_1, \lambda_2) \in \mathbb{C}^2 : |\lambda_1|^2 + |\lambda_2|^2 + |\lambda_1 \lambda_2|^2 \leq 1 \right\}$ .

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- $\mathcal{D}_h(\mathbb{C}) = \left\{ (\lambda_1, \lambda_2) \in \mathbb{C}^2 : |\lambda_1|^2 + |\lambda_2|^2 + \frac{1}{2} |\lambda_1 \lambda_2|^2 + \frac{1}{2} |\lambda_2 \lambda_1|^2 \leq 1 \right\}$
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- Biholomorphic maps are “rigid”

## Theorem (Cartan's Lemma, 1932)

Let  $D_1, D_2$  be bounded circular domains of  $\mathbb{C}^k$ ,  $k \geq 2$ , containing the origin. If  $\psi : D_1 \rightarrow D_2$  is biholomorphic and  $\psi(0) = 0$ , then  $\psi$  is the restriction of a linear map.

## Theorem (Thullen, 1931)

Let  $D$  be a bounded Reinhardt domain of  $\mathbb{C}^2$ . If there exists  $\psi \in \text{Aut}(D)$  such that  $\psi(0) \neq 0$  (equivalently,  $\text{Aut}(D)$  is not compact), then  $D$  is a ball, a polydisc, or a set of the form  $\{(z, w) : |z|^2 + |w|^{2/p} \leq 1\}$ ,  $p \neq 1$ .

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- Since  $\mathcal{D}_f(\mathbb{C})$  is not biholomorphic to  $\mathcal{D}_g(\mathbb{C})$ , we conclude that  $\mathcal{A}(\mathcal{D}_f)$  is not isomorphic to  $\mathcal{A}(\mathcal{D}_g)$ .

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- $\mathcal{D}_g(\mathbb{C}) = \mathcal{D}_h(\mathbb{C})$ !

## Theorem (Main result of [AL1])

If  $\Phi : \mathcal{A}(\mathcal{D}_f) \mapsto \mathcal{A}(\mathcal{D}_g)$  is a unital completely contractive isomorphism and  $\widehat{\Phi}_1(0) = 0$ , then there exist an invertible matrix  $M = [m_{ij}]$  such that for  $i \leq n$ ,

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- The quadratic term is zero - uses Cartan's Lemma applied to representations on  $\mathbb{C}^2$ .
- The cubic term is zero - uses Cartan's Lemma applied to representation on  $\mathbb{C}^3$ , etc. etc.

# Corollary

- $g = X_1 + X_2 + X_1X_2$
- $h = X_1 + X_2 + \frac{1}{2}X_1X_2 + \frac{1}{2}X_2X_1$
- Recall that  $\mathcal{D}_g(\mathbb{C}) = \mathcal{D}_h(\mathbb{C}) \subset \mathbb{C}^2$
- Assume  $\Phi : \mathcal{A}(\mathcal{D}_g) \rightarrow \mathcal{A}(\mathcal{D}_h)$  is an isomorphism
- Then  $\widehat{\Phi} : \mathcal{D}_h(\mathbb{C}) \rightarrow \mathcal{D}_g(\mathbb{C})$  is biholomorphic
- By Thullen  $\widehat{\Phi}(0) = 0$
- By the Main Theorem,  $\Phi$  is very simple and after some work we reach a contradiction.  $\mathcal{A}(\mathcal{D}_g)$  and  $\mathcal{A}(\mathcal{D}_h)$  are not isomorphic!



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- However, the method was ad hoc and we could not generalize it until [AL2]

- $\mathcal{D}_f(\mathbb{C}) = \left\{ (\lambda_1, \dots, \lambda_n) \in \mathbb{C}^n : \sum_{|\alpha| \geq 1} a_\alpha |\lambda_\alpha|^2 \leq 1 \right\}$
- $\mathcal{D}_f(\mathbb{C})$  is a Reinhardt domain. That is, whenever
  - $(\lambda_1, \dots, \lambda_n) \in \mathcal{D}_f(\mathbb{C})$ , and  $\theta_1, \dots, \theta_n \in \mathbb{R}$ , then
  - $(e^{i\theta_1} \lambda_1, \dots, e^{i\theta_n} \lambda_n) \in \mathcal{D}_f(\mathbb{C})$ .

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## Theorem (Sunada)

Let  $D$  be a bounded Reinhardt domains of  $\mathbb{C}^k$ ,  $k \geq 2$ , that contains the origin. Then after rescaling and permuting, we can find several indexes such that

- $D \cap (\mathbb{C}^p \times \{0\})$  is a product of balls
- $D \cap (\{0\} \times \mathbb{C}^{n-p}) = \{0\} \times D_1$ , and
- $(z_1, \dots, z_r, z_{r+1}, \dots, z_s) \in D$  iff  $|z_1| < 1, \dots, |z_r| < 1$  and

$$\left( \frac{z_{r+1}}{\prod_{j=1}^r (1 - |z_j|^2)^{q_{r+1,j}}}, \dots, \frac{z_s}{\prod_{j=1}^r (1 - |z_j|^2)^{q_{s,j}}} \right) \in D_1$$

- We first show that  $\mathcal{D}_f(\mathbb{C})$  is not a product of balls

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### Theorem ([AL2])

*If  $f$  and  $g$  are aspherical (not balls) then all biholomorphic maps from  $\mathcal{D}_f(\mathbb{C})$  to  $\mathcal{D}_g(\mathbb{C})$  fix the origin.*



## Theorem ([AL2])

*If  $\Phi : \mathcal{A}(\mathcal{D}_f) \rightarrow \mathcal{A}(\mathcal{D}_g)$  is an isomorphism and  $\widehat{\Phi}_1(0) = 0$  then  $f$  and  $g$  are permutation-rescaling equivalent.*

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- And this is a convex combination