

# Generalized gauge actions, KMS states, and Hausdorff dimension for higher-rank graphs

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joint with C. Farsi, (S. Kang,) N. Larsen, J. Packer [FGLP18]

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$C^*(E)$  is universal for representations of  $\{t_e, t_v\}_{v \in E^0, e \in E^1}$ ; any collection of partial isometries and projections  $\{s_e, s_v\}_{v, e} \subseteq B(\mathcal{H})$  satisfying the above conditions generates a quotient of  $C^*(E)$ .

# Why are graph $C^*$ -algebras awesome?

- Many structural aspects of  $C^*(E)$  (ideals, unit,  $K$ -theory) are visible in  $E$ .
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- [ERRS16]  $C^*(E) \otimes \mathcal{K} \cong C^*(F) \otimes \mathcal{K}$  iff a finite number of moves will convert  $E$  into  $F$ .



# Higher-rank graphs ( $k$ -graphs)

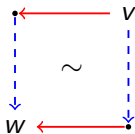
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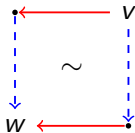
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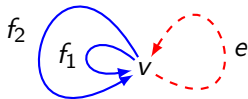
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Introduced by Kumjian & Pask in 2000 to give examples of combinatorial, computable  $C^*$ -algebras, more general than  $C^*(E)$ .

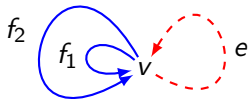
Paths in  $E \rightsquigarrow k$ -dimensional rectangles in  $\Lambda$ .

# Example and notation



Factorization rule:  $f_1 e = e f_2$ ,  $f_2 e = e f_1$ .

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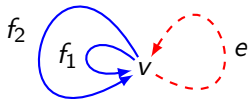


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For  $n = (n_1, \dots, n_k) \in \mathbb{N}^k$  we write

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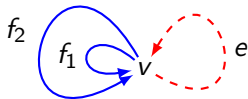
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The factorization rule means this is well defined.

Note that  $\Lambda^0$  is the vertices of  $\Lambda$ .

# Strongly connected $k$ -graphs

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## Theorem ([HLRS15])

If  $\Lambda$  is finite and strongly connected, then the adjacency matrices  $\{A_i : 1 \leq i \leq k\} \subseteq M_{\Lambda^0}(\mathbb{N})$ ,

$$A_i(v, w) = |v\Lambda^{e_i} w| = \#\{\text{edges of color } i \text{ from } w \text{ to } v\}$$

share a unique positive eigenvector  $(x_v^\Lambda)_{v \in \Lambda^0}$  of  $\ell^1$ -norm 1.

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Note that  $A_i A_j = A_j A_i \forall i, j$  by the factorization rule.

# Infinite paths and Cantor sets

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The collection of sets

$$Z(\lambda) = \{x \in \Lambda^\infty : x = \lambda y\},$$

where  $\lambda \in \Lambda$  is a finite path (morphism) in  $\Lambda$ , is a compact open basis for the topology on  $\Lambda^\infty$  making  $\Lambda^\infty$  into a Cantor set.

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## Definition

For  $(X, d)$  a metric space and  $s \in \mathbb{R}_{\geq 0}$ , the Hausdorff measure of dimension  $s$  of a compact subset  $Z$  of  $X$  is

$$H^s(Z) = \lim_{\epsilon \rightarrow 0} \inf \left\{ \sum_{U_i \in F} (\text{diam } U_i)^s : |F| < \infty, \right. \\ \left. \bigcup_i U_i = Z, \text{diam } U_i < \epsilon \forall i \right\}.$$

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Moreover,  $\exists! s \in \mathbb{R} : t < s \Rightarrow H^t(X) = \infty$  and  $t > s \Rightarrow H^t(X) = 0$ .

We call  $s$  the Hausdorff dimension of  $X$ .

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Let  $\Lambda$  be a higher-rank graph. An  $\mathbb{R}_+$ -functor on  $\Lambda$  is a function  $y : \Lambda \rightarrow \mathbb{R}_{\geq 0}$  such that

$$y(\lambda\nu) = y(\lambda) + y(\nu).$$

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## Proposition (Farsi-G-Larsen-Packer)

For any  $\mathbb{R}_+$ -functor  $y$  on a strongly connected finite  $k$ -graph  $\Lambda$ , and any  $\beta \geq 0$ , the matrices  $\{B_i(y, \beta)\}_{1 \leq i \leq k} \in M_{\Lambda^0}$  given by

$$B_i(y, \beta)_{v,w} = \sum_{\lambda \in v\Lambda^{e_i}w} e^{-\beta y(\lambda)}$$

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# Examples and Notation for $\mathbb{R}_+$ -functors

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Define

$$\rho(B(y, \beta)) := (\rho(B_1(y, \beta)), \rho(B_2(y, \beta)), \dots, \rho(B_k(y, \beta))).$$



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Then, for  $n = (n_1, \dots, n_k) \in \mathbb{N}^k$ , define

$$\rho(B(y, \beta))^n := \rho(B_1(y, \beta))^{n_1} \cdot \rho(B_2(y, \beta))^{n_2} \cdot \dots \cdot \rho(B_k(y, \beta))^{n_k}.$$

## Theorem (Farsi-G-Larsen-Packer)

Let  $\Lambda$  be a strongly connected finite  $k$ -graph, with an  $\mathbb{R}_+$ -functor  $y$  and  $\beta \in \mathbb{R}_{>0}$ . For any  $\lambda \in \Lambda$ , define

$$w_{y,\beta}(\lambda) = e^{-y(\lambda)} \left( \rho(B(y,\beta))^{-d(\lambda)} \xi_{s(\lambda)}^{y,\beta} \right)^{1/\beta}.$$

Suppose moreover that  $\rho(B_i(y,\beta)) > \max_{v,w} \{B_i(y,\beta)_{v,w}\}$  for at least one  $i$ .

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$$d_{y,\beta}(x, z) := w_{y,\beta}(x \wedge z), \quad \text{where } x \wedge z = \max\{\lambda : x, z \in Z(\lambda)\},$$

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$$\mu_{y,\beta}(Z(\lambda)) = H^\beta(Z(\lambda)) = w_{y,\beta}(\lambda)^\beta = e^{-\beta y(\lambda)} \rho(B(y, \beta))^{-d(\lambda)} \xi_{s(\lambda)}^{y,\beta}.$$

# Corollary

For strongly connected finite  $k$ -graphs, the authors of [HLRS15] described a measure  $M$  on  $\Lambda^\infty$ :

$$M(Z(\lambda)) = \rho(\Lambda)^{-d(\lambda)} x_{s(\lambda)}^\Lambda,$$

where  $\rho(\Lambda) = (\rho(A_1), \rho(A_2), \dots, \rho(A_k))$ , and  $x^\Lambda$  is the common Perron–Frobenius eigenvector of  $A_1, \dots, A_k$ .

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Taking  $y = 0$  in the previous Theorem gives:

## Corollary (FGKLP)

*For any finite strongly connected  $k$ -graph, and any  $\beta \in (0, \infty)$ , the function*

$$d_\beta(x, z) := \left( \rho(\Lambda)^{-d(x \wedge z)} x_{s(\lambda)}^\Lambda \right)^{1/\beta}$$

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For strongly connected finite  $k$ -graphs, the authors of [HLRS15] described a measure  $M$  on  $\Lambda^\infty$ :

$$M(Z(\lambda)) = \rho(\Lambda)^{-d(\lambda)} x_{s(\lambda)}^\Lambda,$$

where  $\rho(\Lambda) = (\rho(A_1), \rho(A_2), \dots, \rho(A_k))$ , and  $x^\Lambda$  is the common Perron–Frobenius eigenvector of  $A_1, \dots, A_k$ .

Taking  $y = 0$  in the previous Theorem gives:

## Corollary (FGKLP)

*For any finite strongly connected  $k$ -graph, and any  $\beta \in (0, \infty)$ , the function*

$$d_\beta(x, z) := \left( \rho(\Lambda)^{-d(x \wedge z)} x_{s(\lambda)}^\Lambda \right)^{1/\beta}$$

*is an ultrametric on  $\Lambda^\infty$  which metrizes the cylinder set topology. Moreover, the ultrametric spaces  $(\Lambda^\infty, d_\beta)$  all have the same Hausdorff measure, namely  $M$ .*

# KMS states for $C^*$ -algebras

To an action  $\alpha$  of  $\mathbb{R}$  on a  $C^*$ -algebra  $A$ , which extends to an analytic action of  $\mathbb{C}$  on  $\mathcal{A} \subseteq A$ , we associate KMS states.



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Given an  $\mathbb{R}_+$ -functor on  $\Lambda$ , we obtain an associated action on  $C^*(\Lambda)$ , and compute the associated KMS states.

$C^*(\Lambda)$  is the universal  $C^*$ -algebra generated by partial isometries  $\{s_\lambda\}_{\lambda \in \Lambda}$  satisfying the Cuntz-Krieger relations.

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# $\mathbb{R}_+$ -functors, generalized gauge actions, KMS states

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From an  $\mathbb{R}_+$ -functor  $y$  and  $\beta \in \mathbb{R}_{>0}$ , get an action of  $\mathbb{R}$  on  $C^*(\Lambda)$ :

$$\alpha_t^{y,\beta}(s_\lambda) = e^{ity(\lambda)} \left( \rho(B(y,\beta))^{d(\lambda)} \right)^{it/\beta} s_\lambda = (e^{it} y(\lambda) + \frac{1}{\beta} \log(\rho(B(y,\beta))^{d(\lambda)})) s_\lambda.$$

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## Definition

A positive linear map  $\phi : C^*(\Lambda) \rightarrow \mathbb{C}$  is a KMS state at (inverse) temperature  $t$  for  $\alpha^{y,\beta}$  if, for all  $\lambda, \eta, \nu, \rho \in \Lambda$ ,

$$\phi(s_\lambda s_\eta^* s_\nu s_\rho^*) = \phi(\alpha_{it}^{y,\beta}(s_\nu s_\rho^*) s_\lambda s_\eta^*).$$

# KMS states for $(C^*(\Lambda), \alpha^{\gamma, \beta})$

Write  $\Phi : C^*(\Lambda) \rightarrow C_0(\Lambda^\infty)$  for the usual conditional expectation,

$$\Phi(s_\lambda s_\mu^*) = \begin{cases} \chi_{Z(\lambda)}, & \mu = \lambda \\ 0, & \text{else.} \end{cases}$$

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**Theorem (Farsi-G-Larsen-Packer, [Tho14])**

*Let  $\Lambda$  be a strongly connected finite  $k$ -graph, with an  $\mathbb{R}_+$ -functor  $\gamma$  and  $\beta \in \mathbb{R}_{>0}$ . Suppose  $\rho(B_i(\gamma, \beta)) > 1$  for some  $1 \leq i \leq k$ . Then*

$$\phi(a) = \int_{\Lambda^\infty} \Phi(a) d\mu_{\gamma, \beta}$$

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# KMS states for $(C^*(\Lambda), \alpha^{\gamma, \beta})$

When  $\Lambda$  is strongly connected, the KMS states of  $C^*(\Lambda)$  are closely linked to the periodicity group of  $\Lambda$ :

$$\text{Per } \Lambda = \{m - n \in \mathbb{Z}^k : \exists \mu, \nu \in \Lambda \text{ s.t. } d(\mu) = m, d(\nu) = n, Z(\mu) = Z(\nu)\}.$$

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