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**Algebra 901 Notes**  
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**Theorem/Definition:** Let  $F$  be a field. Then TFAE:

1. If  $E/F$  is an algebraic field extension, then  $E = F$ .
2. Every nonconstant polynomial in  $F$  splits completely in  $F[x]$ .
3. Every nonconstant polynomial in  $F[x]$  has a root in  $F$ .

If  $F$  satisfies any of the three equivalent definitions above, then  $F$  is said to be **algebraically closed**.

*Proof:* (1)  $\Rightarrow$  (2): Let  $f(x) \in F[x]$  and let  $E$  be the splitting field of  $f(x)$  over  $F$ . Then  $E/F$  is algebraic, so by (1),  $E = F$  hence  $f(x)$  splits completely in  $F[x]$ .

(2)  $\Rightarrow$  (3) is trivial.

(3)  $\Rightarrow$  (1) Let  $E/F$  be an algebraic field extension. Let  $\alpha \in E$ . Let  $f(x) = \text{Irr}(\alpha, F)$ . By (3),  $f(x)$  has a root in  $F$ , so  $\deg f = 1$  and therefore  $f(x) = x - \alpha$ . Therefore,  $\alpha \in F$  and so  $E = F$ .

**Definition:** Let  $F$  be a field. A field  $E$  containing  $F$  is an algebraic closure of  $F$  if  $E/F$  is algebraic and  $E$  is algebraically closed.

**Proposition:** Suppose  $L/F$  is a field extension and  $L$  is algebraically closed. Let  $E = \{\alpha \in L \mid \alpha \text{ is algebraic over } F\}$ . Then  $E$  is an algebraic closure of  $F$  in  $L$ .

*Proof:* We have show that  $E$  is a field and that  $E/F$  is algebraic. It is hence enough to show that  $E$  is algebraically closed. Suppose  $f(x)$  in  $E[x]$  is a nonconstant polynomial. Then  $f(x) \in L[x]$ , so  $f(x)$  has a root  $\alpha \in L$ . Therefore  $\alpha$  is algebraic over  $E$ , as  $f(\alpha) = 0$ . So,  $E(\alpha)/E$  is an algebraic extension, but  $E/F$  is algebraic, so that  $E(\alpha)/F$  is algebraic. Thus  $\alpha$  is algebraic over  $F$ , so  $\alpha \in E$ , by definition of  $E$ . So  $E$  is algebraically closed since we proved condition (3) of the above definition.

**Lemma:** Let  $K$  be a field. Then  $\exists$  a field  $L$  containing  $K$  such that every nonconstant polynomial in  $K[x]$  has a root in  $L$ .

*Proof:* For each nonconstant polynomial  $f(x) \in K[x]$  let  $x_f$  be a new variable. Let  $R = K[\{x_f \mid f \in K[x] \setminus K\}]$ . Let  $I$  be the ideal generated by  $\{f(x_f)\}$ .

**Claim:**  $I \neq R$ . If  $I = R$ , then  $1 \in I$  which means  $1 = \sum_{i=1}^n r_i f_i(x_{f_i})$  for some  $r_i \in R$ . So, the  $r_i$ 's involve only finitely many of the variables. Let  $x_i = x_{f_i}$ , and let  $x_{n+1}, \dots, x_m$  be all the other variables appearing in the  $r_i$ . Therefore, we have that

$$1 = \sum_{i=1}^n r_i(x_1, \dots, x_m) f_i(x_i).$$

Now, let  $F$  be a splitting field for  $f_1(x)f_2(x) \cdots f_n(x)$  over  $K$ , i.e. in  $F$ , every  $f_i(x)$  has a root  $\alpha_i$ . Certainly the above equation still holds in  $F[\{x_f\}]$ . Now, let  $x_i = \alpha_i$  for  $i = 1, \dots, n$ . ; Then the above equation after substituting reads  $1 = 0$ , a contradiction. So,  $I$  is a proper ideal. Then by the previous proposition, there exists a maximal ideal  $\mathfrak{m}$  of  $R$  that contains  $I$ . Let  $L = R/\mathfrak{m}$ , which is a field. Note that the composition of maps, call it  $\delta$ , below:

$$K \hookrightarrow K[\{x_f\}] \rightarrow K[\{x_f\}]/\mathfrak{m}$$

is 1-1 as  $\mathfrak{m}$  has no units. So, we identify  $K$  with  $\delta(K)$  in  $L$  and consider  $K \subset L$ . Let  $f(x) \in K[x] \setminus K$ . Let  $\alpha_f = x_f + \mathfrak{m}$ . Then  $f(\alpha_f) = f(x_f + \mathfrak{m}) = f(x_f) + \mathfrak{m}$ . But  $f(x_f) \in I \subseteq \mathfrak{m}$  so  $f(\alpha_f) = \bar{0}$ , as desired.

**Theorem:** Let  $F$  be a field. Then there exists an algebraic closure of  $F$ .

*Proof:* We can construct by the previous lemma a chain of fields:

$$F = L_0 \subseteq L_1 \subseteq L_2 \subseteq \cdots$$

where every nonconstant polynomial in  $L_n[x]$  has a root in  $L_{n+1}$ . Let  $L = \bigcup_{n \geq 0} L_n$ . Note that  $L$  is a field. I claim that  $L$  is algebraically closed. Indeed, let  $f(x) \in L[x] \setminus L$ . Then there exists an  $n$  such that  $f(x) \in L_n[x]$ . Then  $f$  has a root in  $L_{n+1}$  which is also in  $L$ . So, by the theorem,  $\{\alpha \in L \mid \alpha \text{ is algebraic over } F\}$  is an algebraic closure of  $F$  and this completes the proof.

**Theorem:** Let  $E/F$  be an algebraic extension and  $L/K$  an extension in which  $L$  is an algebraic closure of  $K$ . Let  $\sigma : F \rightarrow K$  be a nonzero field homomorphism. Then there exists a field map  $\tau : E \rightarrow L$  that extends  $\sigma$ .

*Proof:* We will use Zorn's Lemma. Let  $\Lambda = \{(T, \phi) \mid F \subset T \subset E \text{ and } \phi : T \rightarrow L \text{ extends } \sigma\}$ . Partially order  $\Lambda$  as follows:  $(T_1, \phi_1) \leq (T_2, \phi_2) \Leftrightarrow T_1 \subset T_2$  and  $\phi_2|_{T_1} = \phi_1$ . First, note that  $\Lambda \neq \emptyset$  as  $(F, \sigma) \in \Lambda$ . Let  $C$  be a totally ordered subset of  $\Lambda$ . Let  $T_0 = \bigcup_{(T, \phi) \in C} T$  and define  $\psi : T_0 \rightarrow L$  as follows. Let  $t \in T_0$ . Then  $t \in (T_1, \phi_1) \in C$ . Define  $\psi(t) = \phi_1(t)$ . By the extension part of the condition of being in  $\Lambda$  this is well-defined. It is easy to check that  $\psi$  is a field homomorphism.

Then  $(T_0, \psi) \in \Lambda$  and is an upper bound for  $C$ . By Zorn's Lemma,  $\Lambda$  has a maximal element, call it  $(M, \delta)$ . It is enough to show that  $M = E$ . Suppose that  $M \subsetneq E$ . Let  $N = \delta(M)$ . Then  $\delta : M \rightarrow N$  is a field isomorphism. So, let  $\alpha \in E \setminus M$ . Then  $\alpha$  is algebraic over  $M$  as  $E$  is algebraic over  $F$ . Let  $f(x) = \text{Irr}(\alpha, M)$ . Then  $M(\alpha) \cong M[x]/(f(x)) \cong N[x]/(f^\delta(x))$ . But  $f^\delta(x) \in N[x] \subset L[x]$  has a root  $\beta \in L$  as  $L$  is algebraically closed. So,  $N[x]/(f^\delta(x)) \cong N(\beta)$ , since  $f^\delta(x)$  is irreducible over  $N$ . But  $N(\beta) \subset L$ . Let  $\delta' : M(\alpha) \rightarrow L$  be the composition of the maps above. By construction,  $\delta'|_M = \delta$ . Hence,  $(M(\alpha), \delta') \supsetneq (M, \delta)$ , a contradiction. Therefore,  $M = E$ .

**Corollary:** Let  $F$  be a field and  $E_1, E_2$  two algebraic closures of  $F$ . Then there exists an isomorphism  $\tau : E_1 \rightarrow E_2$  such that  $\tau$  fixes  $F$ .

*Proof:* Let  $\sigma : F \rightarrow F$  be the identity map. Then there exists  $\tau : E_1 \rightarrow E_2$  such that  $\tau|_F = \text{Id}_F$ . As  $E_1$  is algebraically closed, so is  $\tau(E_1)$ . But  $E_2/\tau(E_1)$  is algebraic, so  $E_2 = \tau(E_1)$ , so the map is surjective, hence an isomorphism.

**Definition:** Let  $F$  be a field and  $S$  a set of polynomials in  $F[x]$ . The splitting field  $L$  for  $S$  over  $F$  is the smallest subfield of  $\bar{F}$  (the algebraic closure of  $F$ ), such that every polynomial in  $S$  splits completely in this field. I.e.  $L = F(\text{all roots in } \bar{F} \text{ of all polynomials in } S)$ .

**Remark:** Let  $E/F$  and  $L/F$  be field extensions and  $\sigma : E \rightarrow L$  a field map that fixes  $F$ . Suppose that  $p(x) \in F[x]$  has a root  $\alpha \in E$ . Then  $\sigma(\alpha)$  is also a root of  $p(x)$ .

*Proof:* Let  $p(x) = c_n x^n + \cdots + c_1 x + c_0 \in F[x]$ . So, we know that  $0 = c_n \alpha^n + \cdots + c_1 \alpha + c_0$ . Applying  $\sigma$  to both sides gives  $0 = c_n \sigma(\alpha)^n + \cdots + c_1 \sigma(\alpha) + c_0$  since  $\sigma$  is a homomorphism and also fixes  $F$ . Therefore  $\sigma(\alpha)$  is a root of  $p(x)$ .

**Proposition:** Let  $E/F$  be an algebraic extension and  $\sigma : E \rightarrow E$  a field map which fixes  $F$ . Then  $\sigma$  is surjective and hence an automorphism of  $E$ .

*Proof:* Let  $\beta \in E$ . Let  $p(x) = \text{Irr}(\beta, E)$ . Let  $\{\beta_1, \dots, \beta_t\}$  be the roots of  $p(x)$  which lie in  $E$ , and wlog, let  $\beta_1 = \beta$ . By the remark,  $\sigma(\beta_i)$  is also a root for all  $i$  and hence in  $E$ . Therefore  $\sigma : \{\beta_1, \dots, \beta_t\} \rightarrow$

$\{\beta_1, \dots, \beta_t\}$  is an injective set map, and hence is also onto. In particular,  $\sigma(\beta_i) = \beta_i = \beta$  for some  $\beta_i$  above. Hence  $\beta \in \text{im}(\sigma)$ .

**Theorem/Definition:** Let  $E/F$  be an algebraic extension. Then TFAE:

1.  $E$  is the splitting field for some set of nonconstant polynomials in  $F[x]$
2. Every irreducible polynomial in  $F[x]$  which has a root in  $E$  splits in  $E$ .
3. If  $\sigma : E \rightarrow \bar{F}$  is a field map that fixes  $F$ , then  $\sigma(E) = E$  (i.e.  $\sigma$  is really an automorphism of  $E$ ).

If  $E/F$  satisfies (1),(2),or (3) then  $E/F$  is called a **normal** extension.

*Proof:* (2)  $\Rightarrow$  (1): Let  $\alpha \in E$ , and let  $p_\alpha(x) = \text{Irr}(\alpha, F)$ . By (2),  $p_\alpha(x)$  splits in  $E$ . Let  $S = \{p_\alpha(x) \mid \alpha \in E\}$ . Then  $E$  is the splitting field for  $S$ .

(1)  $\Rightarrow$  (3): Let  $\sigma : E \rightarrow \bar{F}$  be an embedding fixing  $F$ . We need to show that  $\sigma(E) = E$ . As  $E/F$  is algebraic, and by the proposition, we need only show that  $\sigma(E) \subseteq E$ . By (1),  $E$  is the splitting field for a set  $S \subseteq F[x] \setminus F$ . Therefore,  $E = F(\text{all roots of all polynomials in } S)$ . Let  $\beta$  be a root of  $p(x) \in S$ . Since  $\sigma$  fixes  $F$ ,  $\sigma(\beta)$  is also a root of  $p(x)$ . But  $p(x)$  splits in  $E$ , therefore  $\sigma(\beta) \in E$ , hence  $\sigma(E) \subseteq E$ .

(3)  $\Rightarrow$  (2): Let  $p(x)$  be an irreducible polynomial in  $F[x]$  which has a root  $\alpha \in E$ . Let  $\beta \in \bar{F}$  be any root of  $p(x)$ . Let  $\tau$  the composition of the maps:

$$\begin{aligned} F(\alpha) &\rightarrow F[x]/(p(x)) \rightarrow F(\beta) \\ \alpha &\rightarrow x + (p) \rightarrow \beta \end{aligned}$$

Therefore,  $\tau : F(\alpha) \rightarrow F(\beta)$  is an isomorphism that sends  $\alpha$  to  $\beta$  and fixes  $F$ . By an old theorem, we can extend  $\tau : E \rightarrow \bar{F}$ . By (3),  $\sigma(E) = E$ , therefore  $\beta = \sigma(\alpha) \in E$ . Therefore  $p(x)$  splits in  $E$ .

**Remarks/Examples:**

1. If  $[E : F] = 2$ , then  $E/F$  is normal. Indeed, pick  $\alpha \in E \setminus F$ . Certainly  $E = F(\alpha)$ . Let  $f(x) = \text{Irr}(\alpha, F)$ . Then  $(x - \alpha)$  is a factor of  $f(x)$ , so  $f(x) = (x - \alpha)(x - \beta)$  for some  $\beta \in E$ . Then  $E$  is the splitting field for  $f(x)$ .
2. Take  $E = \mathbb{Q}(\sqrt[3]{2})$ . Then  $x^3 - 2$  has a root in  $E$ , but  $x^3 - 2$  doesn't split in  $E$  because its other roots are not real, and  $E \subset \mathbb{R}$ . So  $E/\mathbb{Q}$  is not normal.
3. Recall that if  $K \subseteq F \subseteq E$ , then  $E/K$  is algebraic  $\Leftrightarrow E/F$  and  $F/K$  is algebraic. The same does not occur in the normal case. Suppose that  $K \subseteq F \subseteq E$  and  $E/K$  is normal. Then  $E/F$  is normal, but  $F/K$  need not necessarily normal. For a counterexample, let  $L$  be the splitting field for  $x^3 - 2$  over  $\mathbb{Q}$ , and take  $E$  to be in the above example. Then  $L/\mathbb{Q}$  is normal by definition, and hence  $L/E$  is normal. However,  $E/\mathbb{Q}$  is not normal.
4. Suppose that  $E/K$  is finite. Then  $E/K$  is normal  $\Leftrightarrow E$  is the splitting field over  $K$  of a single polynomial. For the forward direction, suppose that  $E = K(\alpha_1, \dots, \alpha_n)$ , let  $f_i(x) = \text{Irr}(\alpha_i, K)$ . Then  $E$  is the splitting field for  $f(x) = f_1(x) \cdots f_n(x)$ . The reverse direction is by definition.
5. Let  $\{L_i\}$  be a collection of fields, all of which are normal over  $F$  a field. Then  $\bigcap L_i$  is normal over  $F$ .

**Definition:** Let  $E/F$  be an algebraic extension. Then the **normal closure** of  $E/F$  is the smallest field  $L \supseteq E$  such that  $L/F$  is normal. Just let  $L = \bigcap K$  where  $E \subseteq K \subseteq \bar{F}$  and  $K/F$  is normal. Clearly  $L$  is normal over  $F$  by the previous remark and is contained in any normal extension of  $F$  containing  $E$  by definition.

**Example:** Let  $E = \mathbb{Q}(\sqrt[3]{2})$ , and  $F = \mathbb{Q}$ . We saw last time that  $E/F$  is not normal. The normal closure of  $E/F$  is  $\mathbb{Q}(\sqrt[3]{2}, \omega)$  where  $\omega = e^{2\pi i/3}$ . It is normal as it is the splitting field for  $x^3 - 2$ . It is the closure because suppose that  $L/\mathbb{Q}$  is normal and  $L \subseteq \mathbb{Q}(\sqrt[3]{2})$ . Then  $x^3 - 2$  has a root in  $L$  and  $L$  is normal, so  $x^3 - 2$  splits in  $L$ , therefore  $L$  contains  $\omega$ . So,  $L \supseteq \mathbb{Q}(\sqrt[3]{2}, \omega)$  and therefore  $\mathbb{Q}(\sqrt[3]{2}, \omega)$  is the normal closure of  $E/F$ . Along these lines, we have the following useful proposition:

**Proposition:** Suppose that  $E = F(\alpha_1, \dots, \alpha_n)$ , and suppose that  $E/F$  is algebraic. Let  $f_i(x) = \text{Irr}(\alpha_i, F)$ . Let  $L$  be the splitting field for  $f(x) = f_1(x) \cdots f_n(x)$  over  $F$ . Then  $L$  is the normal closure of  $E/F$ .

*Proof:*  $L/F$  is normal as it is the splitting field of  $f(x)$ . If  $T \supseteq E$  and  $T/F$  is normal, then  $f_i(x)$  has a root in  $T$  and hence splits in  $T$  for all  $i$ . Therefore,  $T \subseteq L$ . So,  $L$  is the smallest normal extension of  $F$  containing  $E$ . For another example, let  $E = \mathbb{Q}(\sqrt[5]{2}, \sqrt[3]{3})$ . Then the normal closure of  $E/\mathbb{Q}$  is  $\mathbb{Q}(\sqrt[5]{2}, e^{2\pi i/5}, \sqrt[3]{3}, e^{2\pi i/3})$ .