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# Counting Lower Hessenberg Matrices

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# Patterns of Zeroes



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Linear Algebra: triangular and diagonal matrices

Combinatorics: counting matrices that follow a specific zero pattern

Given row and column sums

Nonnegative integer entries



# Patterns of Zeroes

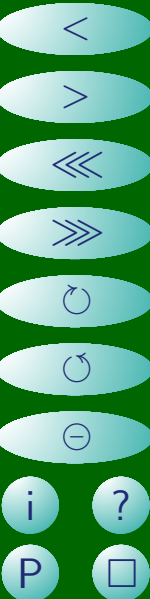


## Example Pattern

$$\begin{pmatrix} 0 & X & 0 & X \\ X & 0 & X & X \\ X & 0 & X & 0 \\ 0 & X & X & X \end{pmatrix}$$

If  $c = (3, 2, 5, 1)$ , there is a unique solution.

$$\begin{pmatrix} 0 & 2 & 0 & 1 \\ 2 & 0 & 0 & 0 \\ 1 & 0 & 4 & 0 \\ 0 & 0 & 1 & 0 \end{pmatrix}$$



# Patterns of Zeroes

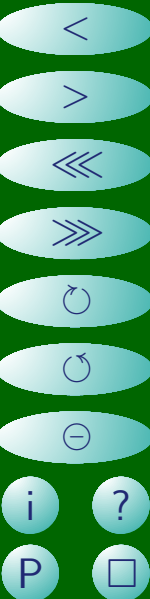


## Example Pattern

$$\begin{pmatrix} 0 & X & 0 & X \\ X & 0 & X & X \\ X & 0 & X & 0 \\ 0 & X & X & X \end{pmatrix}$$

If  $c = (3, 1, 5, 1)$ , there are no solutions.

$$\begin{aligned} x_{1,2} &\leq c_2 = 1 \\ x_{1,4} &\leq c_4 = 1 \\ c_1 = x_{1,2} + x_{1,4} &\leq 2 \end{aligned}$$





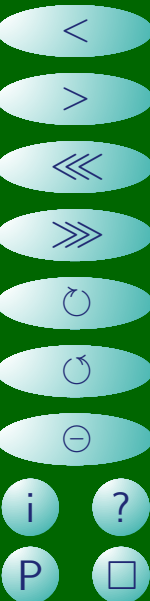
# Lower Hessenberg Form

**Definition.** A  $n$ -by- $n$  matrix  $A = [a_{i,j}]$  is called “**lower Hessenberg**” if  $a_{i,j} = 0$  for all  $j > i + 1$ , i.e. every entry above the “super-diagonal” is a 0.

**Example.**

$$\begin{pmatrix} 0 & 5 & 0 & 0 & 0 \\ 3 & 8 & 6 & 0 & 0 \\ 0 & 1 & 10 & 2 & 0 \\ 6 & 9 & 3 & 0 & 11 \\ 4 & 0 & 3 & 6 & 4 \end{pmatrix}$$

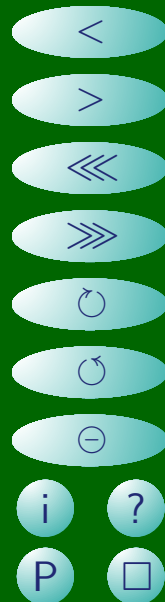
For every  $c = (c_1, \dots, c_n)$  where  $c_i$  is the  $i^{\text{th}}$  row and column sum, there is at least one solution.



# The Original Problem

The number of nonnegative integer lower Hessenberg matrices with given row-column sums  $c = (t_1, \dots, t_n)$  where  $t_i = \binom{i+1}{2}$ , is equal to  $\chi_1 \chi_2 \dots \chi_n$  where  $\chi_i$  is the  $i^{\text{th}}$  Catalan number, i.e.  $\chi_i = \frac{1}{i+1} \binom{2i}{i}$ .

Open Problem: Proof by Bijection.





# A Few Definitions

**Definition.**  $H(c)$  = the set of lower Hessenberg matrices with row and column sums  $c = (c_1, c_2, \dots, c_n)$  and nonnegative integer entries.

Let  $h(c) = |H(c)|$ .

**Example.** When  $c = (1, 2, 2)$ ,  $h(c) = 7$ .

$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 2 \end{bmatrix} \quad \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 1 & 1 \end{bmatrix} \quad \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 2 \\ 0 & 2 & 0 \end{bmatrix} \quad \begin{bmatrix} 0 & 1 & 0 \\ 1 & 1 & 0 \\ 0 & 0 & 2 \end{bmatrix}$$
$$\begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 1 \\ 0 & 1 & 1 \end{bmatrix} \quad \begin{bmatrix} 0 & 1 & 0 \\ 0 & 1 & 1 \\ 1 & 0 & 1 \end{bmatrix} \quad \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 2 \\ 1 & 1 & 0 \end{bmatrix} \quad = H(c)$$



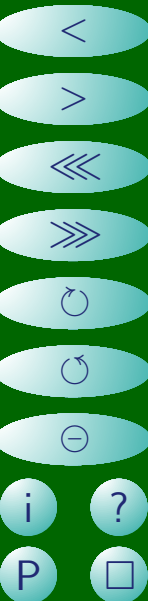


# A Few Definitions

**Definition.** Let  $M$  be an  $n - by - n$  matrix. Let  $I$  and  $J$  be two subsets of  $\{1, 2, \dots, n\}$ . Then  $M(I; J)$  is the submatrix of  $M$  formed by deleting all the rows in  $I$  and all the columns in  $J$ . If  $|I| = |J| = 1$ , then  $I = \{i\}$  and  $J = \{j\}$ , and we can write  $M(I; J) = M(i; j)$ . Note that  $M(i; j)$  will be  $(n - 1) - by - (n - 1)$ .

**Example.**

$$M = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 3 & 1 & 0 \\ 0 & 0 & 5 & 3 \\ 0 & 1 & 2 & 6 \end{pmatrix} \quad M(1; 3) = \begin{pmatrix} 0 & 3 & 0 \\ 0 & 0 & 3 \\ 0 & 1 & 6 \end{pmatrix}$$



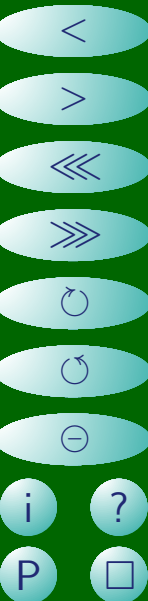


# A Few Definitions

**Definition.** Let  $M \in H(c)$  for some  $c$ . Let  $e = [1, 1, \dots, 1]^T$ . Then we define  $S_{i,j}(M) = e^T M(i; j)e$ , which is the sum of the entries of  $M$  that are not in the  $i^{\text{th}}$  row or  $j^{\text{th}}$  column. We call  $S_{i,j}(M)$  the  $i, j$ -subsum of  $M$ .

**Example.**  $S_{4.4}(A_1) = 10$  and  $S_{4.4}(A_2) = 11$ ;  $A_1, A_2 \in H(1, 4, 8, 9)$

$$A_1 = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 3 & 1 & 0 \\ 0 & 0 & 5 & 3 \\ 0 & 1 & 2 & 6 \end{pmatrix} \quad A_2 = \begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 2 & 2 & 0 \\ 1 & 1 & 4 & 2 \\ 0 & 0 & 2 & 7 \end{pmatrix}$$





# Partitioning $H(c)$

**Definition.** Let  $c = (c_1, \dots, c_n)$ . Then  $P_{i,j}(c; s) = \{M \in H(c); S_{i,j}(M) = s\}$ . As  $s$  ranges over all possibilities,  $P_{i,j}(c; s)$  is what defines our partition of  $H(c)$ . Call this the  $S_{i,j}$ -partition of  $H(c)$ .

We are particularly interested in the numbers  $p_{i,j}(c; s) = |P_{i,j}(c; s)|$ .

**Example.**  $c = (1, 4, 8, 9)$  ;  $p_{4,4}(c; 13) = 13$

$$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 4 & 0 & 0 \\ 0 & 0 & 8 & 0 \\ 0 & 0 & 0 & 9 \end{pmatrix} \quad \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 3 & 1 & 0 \\ 0 & 1 & 7 & 0 \\ 0 & 0 & 0 & 9 \end{pmatrix} \quad \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 2 & 2 & 0 \\ 0 & 2 & 6 & 0 \\ 0 & 0 & 0 & 9 \end{pmatrix} \quad \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 3 & 0 \\ 0 & 3 & 5 & 0 \\ 0 & 0 & 0 & 9 \end{pmatrix}$$

$$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 4 & 0 \\ 0 & 4 & 4 & 0 \\ 0 & 0 & 0 & 9 \end{pmatrix} \quad \begin{pmatrix} 0 & 1 & 0 & 0 \\ 1 & 3 & 0 & 0 \\ 0 & 0 & 8 & 0 \\ 0 & 0 & 0 & 9 \end{pmatrix} \quad \begin{pmatrix} 0 & 1 & 0 & 0 \\ 1 & 2 & 1 & 0 \\ 0 & 1 & 7 & 0 \\ 0 & 0 & 0 & 9 \end{pmatrix} \quad \begin{pmatrix} 0 & 1 & 0 & 0 \\ 1 & 1 & 2 & 0 \\ 0 & 2 & 6 & 0 \\ 0 & 0 & 0 & 9 \end{pmatrix}$$

$$\begin{pmatrix} 0 & 1 & 0 & 0 \\ 1 & 0 & 3 & 0 \\ 0 & 3 & 5 & 0 \\ 0 & 0 & 0 & 9 \end{pmatrix} \quad \begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 3 & 1 & 0 \\ 1 & 0 & 7 & 0 \\ 0 & 0 & 0 & 9 \end{pmatrix} \quad \begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 2 & 2 & 0 \\ 1 & 1 & 6 & 0 \\ 0 & 0 & 0 & 9 \end{pmatrix} \quad \begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 1 & 3 & 0 \\ 1 & 2 & 5 & 0 \\ 0 & 0 & 0 & 9 \end{pmatrix}$$

$$\begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 4 & 0 \\ 1 & 3 & 4 & 0 \\ 0 & 0 & 0 & 9 \end{pmatrix} = P_{4,4}(c; 13)$$





# Partitioning $H(c)$

**Theorem.** Let  $A = (a_{i,j})$ . Then,  $S_{i,j}(A)$  depends only on  $a_{i,j}$ , i.e. every matrix in the set  $P_{i,j}(c; s)$  has the same  $i, j$  entry.

**Example.**  $c = (1, 4, 8, 9)$  ;  $p_{4,4}(c; 13) = 13$

$$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 4 & 0 & 0 \\ 0 & 0 & 8 & 0 \\ 0 & 0 & 0 & 9 \end{pmatrix} \quad \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 3 & 1 & 0 \\ 0 & 1 & 7 & 0 \\ 0 & 0 & 0 & 9 \end{pmatrix} \quad \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 2 & 2 & 0 \\ 0 & 2 & 6 & 0 \\ 0 & 0 & 0 & 9 \end{pmatrix} \quad \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 3 & 0 \\ 0 & 3 & 5 & 0 \\ 0 & 0 & 0 & 9 \end{pmatrix}$$

$$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 4 & 0 \\ 0 & 4 & 4 & 0 \\ 0 & 0 & 0 & 9 \end{pmatrix} \quad \begin{pmatrix} 0 & 1 & 0 & 0 \\ 1 & 3 & 0 & 0 \\ 0 & 0 & 8 & 0 \\ 0 & 0 & 0 & 9 \end{pmatrix} \quad \begin{pmatrix} 0 & 1 & 0 & 0 \\ 1 & 2 & 1 & 0 \\ 0 & 1 & 7 & 0 \\ 0 & 0 & 0 & 9 \end{pmatrix} \quad \begin{pmatrix} 0 & 1 & 0 & 0 \\ 1 & 1 & 2 & 0 \\ 0 & 2 & 6 & 0 \\ 0 & 0 & 0 & 9 \end{pmatrix}$$

$$\begin{pmatrix} 0 & 1 & 0 & 0 \\ 1 & 0 & 3 & 0 \\ 0 & 3 & 5 & 0 \\ 0 & 0 & 0 & 9 \end{pmatrix} \quad \begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 3 & 1 & 0 \\ 1 & 0 & 7 & 0 \\ 0 & 0 & 0 & 9 \end{pmatrix} \quad \begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 2 & 2 & 0 \\ 1 & 1 & 6 & 0 \\ 0 & 0 & 0 & 9 \end{pmatrix} \quad \begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 1 & 3 & 0 \\ 1 & 2 & 5 & 0 \\ 0 & 0 & 0 & 9 \end{pmatrix}$$

$$\begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 4 & 0 \\ 1 & 3 & 4 & 0 \\ 0 & 0 & 0 & 9 \end{pmatrix} = P_{4,4}(c; 13)$$

Here,  $a_{4,4} = 9$  for all the matrices in  $P_{4,4}(c; 13)$ .

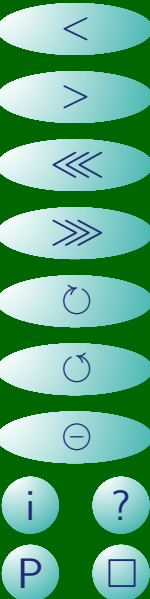


# The Distribution



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How does  $P_{i,j}(c; s)$  partition  $H(c)$ , specifically  $P_{n,n}(c; s)$ ?



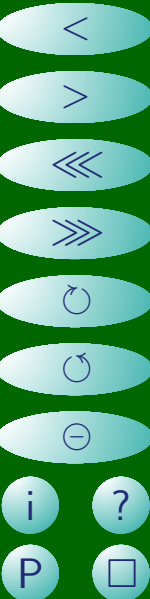
# The Distribution



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How does  $P_{i,j}(c; s)$  partition  $H(c)$ , specifically  $P_{n,n}(c; s)$ ?

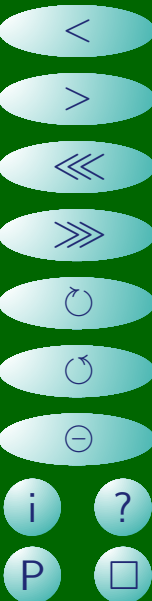
Surprisingly, it does so in a very ordered fashion!



# The Distribution

The distribution of  $H(c)$ , where  $c = (1, 4, 8, 9)$ :

$s$	$p_{4,4}(c; s)$
5	13
6	27
7	37
8	43
9	45
10	43
11	37
12	27
13	13





# The Distribution

**Theorem.** Let  $m_1 = \min \{i, j\}$  and  $m_2 = \max \{i, j\}$ . In the  $S_{i,j}$ -partition of any  $H(c)$ , the range of  $s$  that gives  $p_{i,j}(c; s) > 0$  is bounded above by  $\sum_{\alpha \neq m_2} c_\alpha$  and below

by  $\sum_{\alpha \neq i,j} c_\alpha$  if  $m_1 < n$ , or  $\sum_{\alpha=1}^{n-2} c_\alpha$  if  $m_1 = n$ .

s	$p_{4,4}(c; s)$	9	45
5	13	10	43
6	27	11	37
7	37	12	27
8	43	13	13

$$c = (1, 4, 8, 9)$$



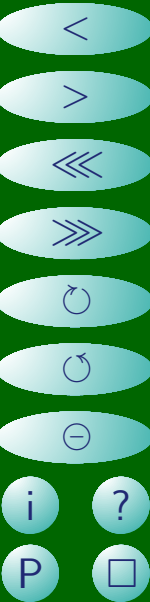
# The Distribution



**Theorem** As a function of  $c_n$ ,  $h(c)$  is constant as long as  $c_n \geq c_{n-1}$ .

$$H(1, 1, 1) = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \quad \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix} \quad \begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix} \quad \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{bmatrix}$$

$$H(1, 1, 2) = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 2 \end{bmatrix} \quad \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 1 \end{bmatrix} \quad \begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 2 \end{bmatrix} \quad \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 1 \end{bmatrix}$$





# The Distribution

**Theorem.**  $h(c_1, \dots, c_{n-1}) = p_{n,n}(c; \sum_{i=1}^{n-1} c_i)$ , i.e. the last entry in the  $S_{n,n}$ -partition distribution is equal to the total number of  $(n-1) - by - (n-1)$  matrices with  $c = (c_1, \dots, c_{n-1})$ .

**Example.**  $c = (1, 4, 8, 9)$  ;  $p_{4,4}(c; 13) = 13$

$$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 4 & 0 & 0 \\ 0 & 0 & 8 & 0 \\ 0 & 0 & 0 & 9 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 3 & 1 & 0 \\ 0 & 1 & 7 & 0 \\ 0 & 0 & 0 & 9 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 2 & 2 & 0 \\ 0 & 2 & 6 & 0 \\ 0 & 0 & 0 & 9 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 3 & 0 \\ 0 & 3 & 5 & 0 \\ 0 & 0 & 0 & 9 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 4 & 0 \\ 0 & 4 & 4 & 0 \\ 0 & 0 & 0 & 9 \end{pmatrix} \begin{pmatrix} 0 & 1 & 0 & 0 \\ 1 & 3 & 0 & 0 \\ 0 & 0 & 8 & 0 \\ 0 & 0 & 0 & 9 \end{pmatrix} \begin{pmatrix} 0 & 1 & 0 & 0 \\ 1 & 2 & 1 & 0 \\ 0 & 1 & 7 & 0 \\ 0 & 0 & 0 & 9 \end{pmatrix} \\ \begin{pmatrix} 0 & 1 & 0 & 0 \\ 1 & 1 & 2 & 0 \\ 0 & 2 & 6 & 0 \\ 0 & 0 & 0 & 9 \end{pmatrix} \begin{pmatrix} 0 & 1 & 0 & 0 \\ 1 & 0 & 3 & 0 \\ 0 & 3 & 5 & 0 \\ 0 & 0 & 0 & 9 \end{pmatrix} \begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 3 & 1 & 0 \\ 1 & 0 & 7 & 0 \\ 0 & 0 & 0 & 9 \end{pmatrix} \begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 2 & 2 & 0 \\ 1 & 1 & 6 & 0 \\ 0 & 0 & 0 & 9 \end{pmatrix} \begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 1 & 3 & 0 \\ 1 & 2 & 5 & 0 \\ 0 & 0 & 0 & 9 \end{pmatrix} \begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 4 & 0 \\ 1 & 3 & 4 & 0 \\ 0 & 0 & 0 & 9 \end{pmatrix} = P_{4,4}(c; 13)$$

$c' = (1, 4, 8)$  ;  $h(c') = 13$

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 4 & 0 \\ 0 & 0 & 8 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 3 & 1 \\ 0 & 1 & 7 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 2 & 2 \\ 0 & 2 & 6 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 3 \\ 0 & 3 & 5 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 4 \\ 0 & 4 & 4 \end{pmatrix} \begin{pmatrix} 0 & 1 & 0 \\ 1 & 3 & 0 \\ 0 & 0 & 8 \end{pmatrix} \begin{pmatrix} 0 & 1 & 0 \\ 1 & 2 & 1 \\ 0 & 1 & 7 \end{pmatrix} \\ \begin{pmatrix} 0 & 1 & 0 \\ 1 & 1 & 2 \\ 0 & 2 & 6 \end{pmatrix} \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 3 \\ 0 & 3 & 5 \end{pmatrix} \begin{pmatrix} 0 & 1 & 0 \\ 0 & 3 & 1 \\ 1 & 0 & 7 \end{pmatrix} \begin{pmatrix} 0 & 1 & 0 \\ 0 & 2 & 2 \\ 1 & 1 & 6 \end{pmatrix} \begin{pmatrix} 0 & 1 & 0 \\ 0 & 1 & 3 \\ 1 & 2 & 5 \end{pmatrix} \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 4 \\ 1 & 3 & 4 \end{pmatrix} = H(c')$$



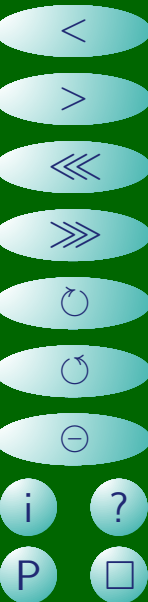


# The Distribution

**Theorem.** Let  $c = (c_1, c_2, \dots, c_n)$  and  $m = c_1 + c_2 + \dots + c_{n-2} + \frac{c_{n-1}}{2}$ . Then for any radius  $r$ ,  $p_{n,n}(c; m - r) = p_{n,n}(c; m + r)$ .

**Example.** The distribution of  $H(c)$ , where  $c = (1, 4, 8, 9)$ :

$s$	$p_{4,4}(c; s)$
5	13
6	27
7	37
8	43
9	45
10	43
11	37
12	27
13	13



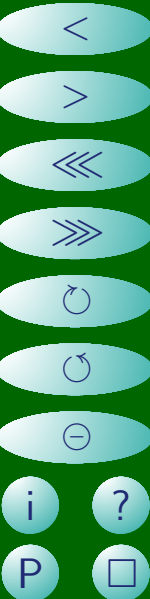
# The Distribution



The following is a sketch of our proof of the symmetry of the distribution.

$$c = (1, 4, 8, 9)$$

$$\begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 2 & 2 & 0 \\ 0 & 0 & 0 & 8 \\ 1 & 1 & 6 & 1 \end{pmatrix} \in P_{4,4}(c; 5) \quad \longleftrightarrow \quad \begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 2 & 2 & 0 \\ 1 & 1 & 6 & 0 \\ 0 & 0 & 0 & 9 \end{pmatrix} \in P_{4,4}(c; 13)$$





# The Distribution

**Conjecture.** The distribution is unimodal (increasing towards the middle entry where  $s = c_1 + \dots + c_{n-2} + \frac{c_{n-1}}{2}$ , and then decreasing). It is also log concave in this respect, i.e.  $[p_{n,n}(c; s)]^2 \geq p_{n,n}(c; s-1) * p_{n,n}(c; s+1)$ . We also believe that the stronger statement  $2 * [p_{n,n}(c; s)] \geq p_{n,n}(c; s-1) + p_{n,n}(c; s+1)$  holds.

**Example.** The distribution of  $H(c)$ , where  $c = (1, 4, 8, 9)$ :

s	$p_{4,4}(c; s)$	9	45
5	13	10	43
6	27	11	37
7	37	12	27
8	43	13	13

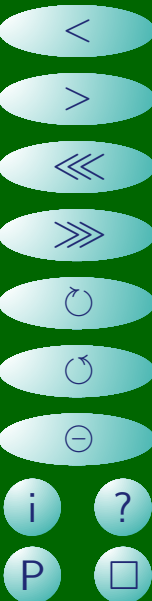


# Determinate Forms



**Definition.** By a Hessenberg-determinate form, we mean a subpattern  $S$  of the Hessenberg pattern such that for any  $c$ , when values of the entries in the subpattern are consistent with a matrix in  $H(c)$ , they are consistent with only one.

**Definition.** By a PD-pattern, we mean a pattern  $S$  of zeroes,  $D$ 's, and  $P$ 's such that  $S$  is a subpattern of the Hessenberg pattern.



# Determinate Forms

Example.

$$\begin{pmatrix} D & D & 0 & 0 \\ P & D & D & 0 \\ P & P & D & D \\ P & P & P & D \end{pmatrix}$$

$$a_{1,1} = c_1 - a_{2,1} - a_{3,1} - a_{4,1}$$

$$a_{1,2} = a_{2,1} + a_{3,1} + a_{4,1}$$

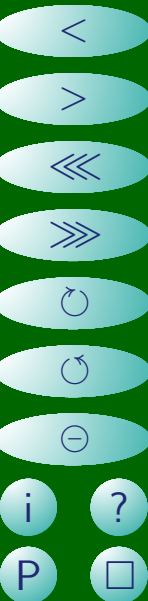
$$a_{2,2} = c_2 - a_{2,1} - a_{3,1} - a_{3,2} - a_{4,1} - a_{4,2}$$

$$a_{2,3} = a_{3,1} + a_{3,2} + a_{4,1} + a_{4,2}$$

$$a_{3,3} = c_3 - a_{3,1} - a_{3,2} - a_{4,1} - a_{4,2} - a_{4,3}$$

$$a_{3,4} = a_{4,1} + a_{4,2} + a_{4,3}$$

$$a_{4,4} = c_4 - a_{4,1} - a_{4,2} - a_{4,3}$$



# Determinate Forms



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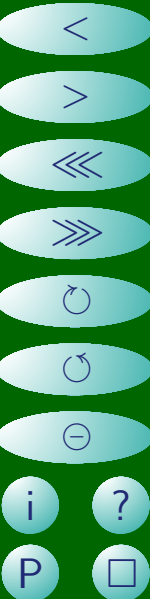
Suppose  $c = (1, 2, 4, 4)$  and we had the previous PD-pattern with the following parameters:

**Example.**

$$\begin{pmatrix} D & D & 0 & 0 \\ 0 & D & D & 0 \\ 1 & 0 & D & D \\ 0 & 1 & 2 & D \end{pmatrix}$$

Then the only solution is the following matrix:

$$\begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 2 & 0 \\ 1 & 0 & 0 & 3 \\ 0 & 1 & 2 & 1 \end{pmatrix}$$



# Determinate Forms

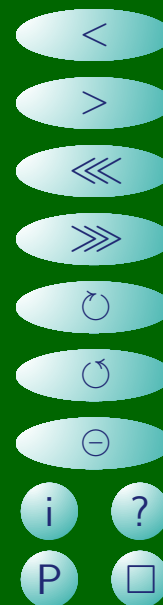


**Example.** Let  $c = (1, 2, 4, 4)$ .

$$\begin{pmatrix} D & P & 0 & 0 \\ P & D & P & 0 \\ D & P & D & D \\ P & P & D & D \end{pmatrix} \quad \begin{pmatrix} D & 0 & 0 & 0 \\ 0 & D & 2 & 0 \\ D & 1 & D & D \\ 0 & 1 & D & D \end{pmatrix}$$

Then there are three solutions, or completions, to this matrix:

$$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 2 & 0 \\ 0 & 1 & 2 & 1 \\ 0 & 1 & 0 & 3 \end{pmatrix} \quad \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 2 & 0 \\ 0 & 1 & 1 & 2 \\ 0 & 1 & 1 & 2 \end{pmatrix} \quad \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 2 & 0 \\ 0 & 1 & 0 & 3 \\ 0 & 1 & 2 & 1 \end{pmatrix}$$

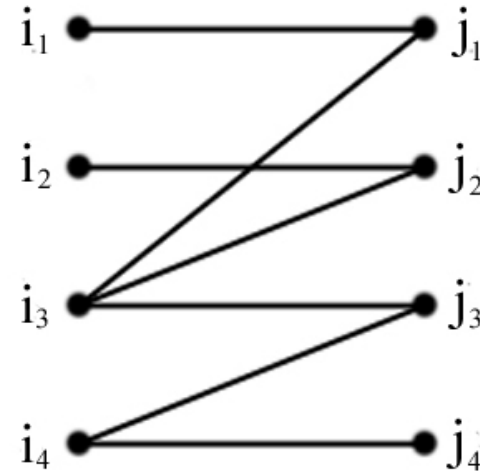


# Determinate Forms

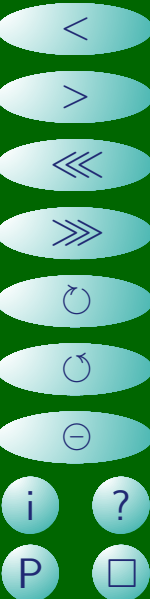


## Bipartite Graph Method

$$\begin{pmatrix} D & P & 0 & 0 \\ P & D & P & 0 \\ D & D & D & P \\ P & P & D & D \end{pmatrix}$$



**Theorem.** Let  $S$  be an  $n - by - n$  PD-pattern. Let  $B$  be a bipartite graph with nodes  $\{i_1, i_2, \dots, i_n\}$  and  $\{j_1, j_2, \dots, j_n\}$  such that there is an edge between  $i_k$  and  $j_m$  if there is a  $D$  in the  $s_{k,m}$  position of  $S$ . Then,  $S$  is a Hessenberg-determinate form if and only if  $B$  is acyclic, i.e. it contains no cycles.



# Applications of Determinate Forms



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$$\begin{pmatrix} x_1 & y_2 & 0 & 0 \\ x_2 & x_4 & y_4 & 0 \\ x_3 & x_5 & x_6 & y_6 \\ y_1 & y_3 & y_5 & y_7 \end{pmatrix}$$

Consider the system of linear inequalities:

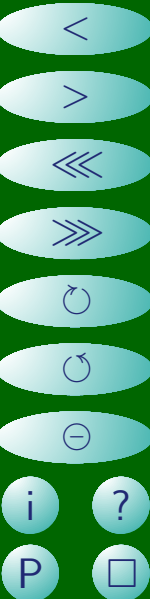
$$x_1 + x_2 + x_3 \leq c_1$$

$$x_4 + x_5 - x_1 \leq c_2 - c_1$$

$$x_6 - x_2 - x_4 \leq c_3 - c_2$$

$$x_i \geq 0 \quad i = 1, \dots, 6$$

**Theorem.** For  $c_4 \geq c_3$ ,  $|\mathcal{S}| = h(c_1, c_2, c_3, c_4)$ .



# Applications of Determinate Forms



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$$\begin{pmatrix} x_1 & y_2 & 0 & 0 \\ x_2 & x_4 & y_4 & 0 \\ x_3 & x_5 & x_6 & y_6 \\ y_1 & y_3 & y_5 & y_7 \end{pmatrix}$$

For  $n = 4$ ,  $h(c_1, c_2, c_3, c_4) =$

$$= \sum_{x_1=0}^{c_1} \sum_{x_2=0}^{c_1-x_1} \sum_{x_3=0}^{c_1-x_1-x_2} \sum_{x_4=0}^{c_2-c_1+x_1} \sum_{x_5=0}^{c_2-c_1+x_1-x_4} \sum_{x_6=0}^{c_3-c_2+x_2+x_4} 1$$

$$= -\frac{1}{360}(6+11c_1+6c_1^2+c_1^3)(6c_1^2-3c_1(7+5c_2)+10(2+3c_2+c_2^2))(2c_2-3(1+c_3))$$



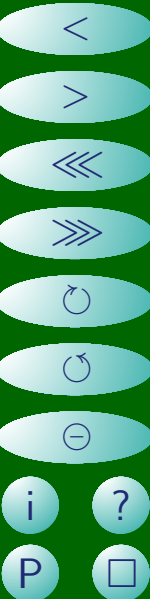
# Applications of Determinate Forms



For  $n = 2$ ,  $h(c_1, c_2) = c_1 + 1$ .

$$\begin{aligned} \text{For } n = 3, h(c_1, c_2, c_3) &= \sum_{x_1=0}^{c_1} \sum_{x_2=0}^{c_1-x_1} \sum_{x_3=0}^{c_2-c_1+x_1} 1 \\ &= -\frac{1}{6} [2c_1^3 - 3c_1^2c_2 + 3c_1^2 - 9c_1c_2 - 5c_1 - 6c_2 - 6] \end{aligned}$$

For  $n = 5$ , the linear inequalities give a formula of  $\binom{5}{2} = 10$  nested summands which can be simplified to  $h(c_1, c_2, c_3, c_4, c_5) = \frac{1}{362880} ((24 + 50c_1 + 35c_1^2 + 10c_1^3 + c_1^4)(14c_1^5 - 7c_1^4(11 + 9c_2) - 42(6 + 11c_2 + 6c_2^2 + c_2^3)(6c_2^2 - 3c_2(7 + 5c_3) + 10(2 + 3c_3 + c_3^2)) + c_1^3(252c_2^2 - 18c_2(13 + 20c_3) + 16(37 + 45c_3 + 15c_3^2)) - c_1^2(3127 + 588c_2^3 + 4680c_3 + 1560c_3^2 - 252c_2^2(2 + 5c_3) + 3c_2(-437 + 60c_3 + 280c_3^2)) + 6c_1(1105 + 105c_2^4 + 1668c_3 + 556c_3^2 - 28c_2^3(-2 + 9c_3) + 21c_2^2(-31 - 22c_3 + 8c_3^2) + c_2(125 + 1098c_3 + 644c_3^2)))(2c_3 - 3(1 + c_4))$ .



# Equal Row and Column Sums



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Let  $h^n(x) = h(c_1, \dots, c_n)$  where  $c_i = x$  for all  $c_i$ .

By substituting  $x$  for each of the  $c_i$  in the previous equations, and then simplifying, we arrive at the following formulas:

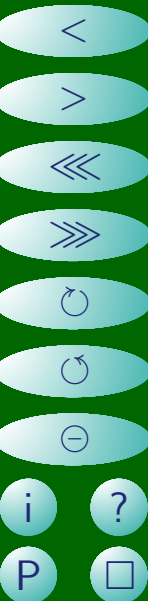
$$h^2(x) = \binom{x+1}{x}$$

$$h^3(x) = \binom{x+3}{x}$$

$$h^4(x) = \frac{1}{3}(x+3) \binom{x+5}{x}$$

$$h^5(x) = \frac{1}{3^2}(x+3)^2 \binom{x+8}{x}$$

$$h^6(x) = \frac{1}{2 \cdot 3^2 \cdot 13}(x+3)^2(x^2 + 12x + 26) \binom{x+11}{x}$$



# Equal Row and Column Sums



$$h^3(x) = \binom{x+3}{x}$$

$$\begin{pmatrix} x_1 & y_2 & 0 \\ x_2 & x_3 & y_4 \\ y_1 & y_3 & y_5 \end{pmatrix}$$

Proof by Induction since  $\binom{x+3}{x} = \binom{x+2}{x-1} + \binom{x+2}{2}$ .

Initial Step:  $h^3(1) = 4 = \binom{4}{1}$

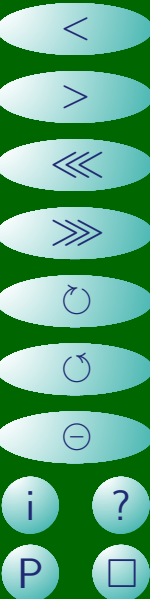
Inductively,  $\binom{x+2}{x-1} = h^3(x-1)$ .

Add 1 to  $y_1$ ,  $y_2$ , and  $y_4$ .

This bijection will give us every matrix in  $H^3(x)$  that has  $y_1 > 0$ .

The elements in  $H^3(x)$  not covered by  $\binom{x+2}{x-1}$  are precisely those with  $y_1 = 0$ .

There are  $\binom{x+2}{2}$  different possibilities for  $x_2 + x_3 \leq x$ .





# Rising Binomial Coefficients

We were able to express  $h^n(x)$  for  $n \leq 6$  in this way, but the pattern is not clear for higher degrees. Here are the formulas we derived:

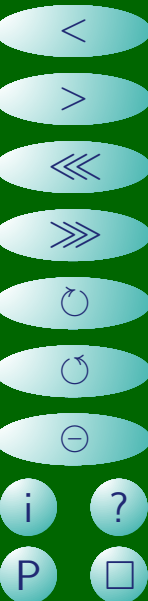
$$h^2(x) = \binom{x+1}{x} = 1 + \binom{x}{1}$$

$$h^3(x) = \binom{x+3}{x} = 1 + \binom{x}{1} + \binom{x+1}{2} + \binom{x+2}{3}$$

$$h^4(x) = \frac{1}{3} (x+3) \binom{x+5}{x} = 1 + \binom{x}{1} + \binom{x+1}{2} + \binom{x+2}{3} + \binom{x+3}{4} + \binom{x+4}{5} + 2\binom{x+5}{6}$$

$$h^5(x) = \frac{1}{3^2} (x+3)^2 \binom{x+8}{x} = 1 + \binom{x}{1} + \binom{x+1}{2} + \binom{x+2}{3} + \dots + \binom{x+7}{8} - 3\binom{x+8}{9} + 10\binom{x+9}{10}$$

$$h^6(x) = \frac{1}{2 \cdot 3^2 \cdot 13} (x+3)^2 (x^2 + 12x + 26) \binom{x+11}{x} = 1 + \binom{x}{1} + \binom{x+1}{2} + \binom{x+2}{3} + \dots + \binom{x+10}{11} - 8\binom{x+11}{12} + 84\binom{x+12}{13} - 196\binom{x+13}{14} + 140\binom{x+14}{15}$$



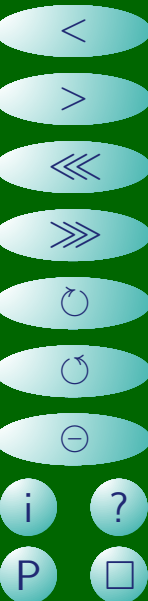
# Questions

Is there a nicer way to count  $H(c)$  for higher degrees?

What about for special cases, such as  $c_i = x$  for all  $i$ ?

What other patterns exist in the subsum distributions for  $i, j \neq n$ ?

What about our conjecture of log concavity of the distribution?



# References

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- [3] Herbert John Ryser. *Combinatorial mathematics*. The Carus Mathematical Monographs, No. 14. Published by The Mathematical Association of America, 1963.
- [4] Richard P. Stanley. Catalan addendum. [Http://www-math.mit.edu/~rstan/ec/](http://www-math.mit.edu/~rstan/ec/). This is an online supplement to *Enumerative Combinatorics*, Volume 2. Pages 23–24. Problem 6.C8.

