## Math 445 Number Theory

October 29, 2004

Computing  $[a_0, \ldots, a_n]$  from  $[a_0, \ldots, a_{n-1}]$ :  $[a_0, \ldots, a_n] = \frac{h_n}{k_n}$ , where the  $h_n, k_n$  are defined inductively by

 $h_{-2} = 0, h_{-1} = 1, k_{-2} = 1, k_{-1} = 0$ , and  $h_i = h_{i-1}a_i + h_{i-2}$ ,  $k_i = k_{i-1}a_i + k_{i-2}$ 

The idea: induction! Check true for i=0. Suppose it is true for <u>any</u> continued fraction  $[b_0,\ldots,b_{n-1}]$ . Then  $[a_0,\ldots,a_n]=$ 

$$[a_0, \dots, a_{n-2}, a_{n-1} + \frac{1}{a_n}]$$
 has length  $n$ , so  $[a_0, \dots, a_n] = [a_0, \dots, a_{n-2}, a_{n-1} + \frac{1}{a_n}] = \frac{H_{n-1}}{K_{n-1}} = \frac{h_{n-2}(a_{n-1} + \frac{1}{a_n}) + h_{n-3}}{k_{n-2}(a_{n-1} + \frac{1}{a_n}) + k_{n-3}} = \frac{h_{n-2}(a_{n-1} + \frac{1}{a_n}) + h_{n-3}}{k_{n-2}(a_{n-1} + \frac{1}{a_n}) + k_{n-3}} = \frac{h_{n-2}(a_{n-1} + \frac{1}{a_n}) + h_{n-3}}{k_{n-2}(a_{n-1} + \frac{1}{a_n}) + k_{n-3}} = \frac{h_{n-2}(a_{n-1} + \frac{1}{a_n}) + h_{n-3}}{k_{n-2}(a_{n-1} + \frac{1}{a_n}) + k_{n-3}} = \frac{h_{n-2}(a_{n-1} + \frac{1}{a_n}) + h_{n-3}}{k_{n-2}(a_{n-1} + \frac{1}{a_n}) + k_{n-3}} = \frac{h_{n-2}(a_{n-1} + \frac{1}{a_n}) + h_{n-3}}{k_{n-2}(a_{n-1} + \frac{1}{a_n}) + k_{n-3}} = \frac{h_{n-2}(a_{n-1} + \frac{1}{a_n}) + h_{n-3}}{k_{n-2}(a_{n-1} + \frac{1}{a_n}) + k_{n-3}} = \frac{h_{n-2}(a_{n-1} + \frac{1}{a_n}) + h_{n-3}}{k_{n-2}(a_{n-1} + \frac{1}{a_n}) + k_{n-3}} = \frac{h_{n-2}(a_{n-1} + \frac{1}{a_n}) + h_{n-3}}{k_{n-2}(a_{n-1} + \frac{1}{a_n}) + k_{n-3}} = \frac{h_{n-2}(a_{n-1} + \frac{1}{a_n}) + h_{n-3}}{k_{n-2}(a_{n-1} + \frac{1}{a_n}) + k_{n-3}} = \frac{h_{n-2}(a_{n-1} + \frac{1}{a_n}) + h_{n-3}}{k_{n-2}(a_{n-1} + \frac{1}{a_n}) + k_{n-3}} = \frac{h_{n-2}(a_{n-1} + \frac{1}{a_n}) + h_{n-3}}{k_{n-2}(a_{n-1} + \frac{1}{a_n}) + k_{n-3}} = \frac{h_{n-2}(a_{n-1} + \frac{1}{a_n}) + h_{n-3}}{k_{n-2}(a_{n-1} + \frac{1}{a_n}) + h_{n-3}} = \frac{h_{n-2}(a_{n-1} + \frac{1}{a_n}) + h_{n-3}}{k_{n-2}(a_{n-1} + \frac{1}{a_n}) + h_{n-3}} = \frac{h_{n-2}(a_{n-1} + \frac{1}{a_n}) + h_{n-3}}{k_{n-2}(a_{n-1} + \frac{1}{a_n}) + h_{n-3}} = \frac{h_{n-2}(a_{n-1} + \frac{1}{a_n}) + h_{n-3}}{k_{n-2}(a_{n-1} + \frac{1}{a_n}) + h_{n-3}} = \frac{h_{n-2}(a_{n-1} + \frac{1}{a_n}) + h_{n-3}}{k_{n-2}(a_{n-1} + \frac{1}{a_n}) + h_{n-3}} = \frac{h_{n-2}(a_{n-1} + \frac{1}{a_n}) + h_{n-3}}{k_{n-2}(a_{n-1} + \frac{1}{a_n}) + h_{n-3}} = \frac{h_{n-2}(a_{n-1} + \frac{1}{a_n}) + h_{n-3}}{k_{n-2}(a_{n-1} + \frac{1}{a_n}) + h_{n-3}} = \frac{h_{n-2}(a_{n-1} + \frac{1}{a_n}) + h_{n-3}}{k_{n-2}(a_{n-1} + \frac{1}{a_n}) + h_{n-3}} = \frac{h_{n-2}(a_{n-1} + \frac{1}{a_n}) + h_{n-3}}{k_{n-2}(a_{n-1} + \frac{1}{a_n}) + h_{n-3}} = \frac{h_{n-2}(a_{n-1} + \frac{1}{a_n}) + h_{n-3}}{k_{n-2}(a_{n-1} + \frac{1}{a_n}) + h_{n-3}} = \frac{h_{n-2}(a_{n-1} + \frac{1}{a_n}) + h_{n-3}}{k_{n-2}(a_{n-1}$ 

$$\frac{h_{n-2}(a_{n-1}a_n+1)+h_{n-3}a_n}{k_{n-2}(a_{n-1}a_n+1)+k_{n-3}a_n} = \frac{(h_{n-2}a_{n-1}+h_{n-3})a_n+h_{n-2}}{((k_{n-2}a_{n-1}+k_{n-3})a_n+k_{n-2})} = \frac{h_{n-1}a_n+h_{n-2}}{k_{n-1}a_n+k_{n-2}} = \frac{h_n}{k_n}, \text{ as desired.}$$

The real point here is that since  $[a_0, \ldots, a_n]$  and  $[a_0, \ldots, a_{n-2}, a_{n-1} + \frac{1}{a_n}]$  both agree in the inductive definitions of their  $h_i$  and  $k_i$ , through i = n - 2, this really is the calculation of the  $h_n/k_n$  for  $[a_0, \ldots, a_n]$ .

There are several important things we can learn from this calculation. First, since  $k_{-1} = 0$ ,  $k_0 = 0 \cdot a_0 + 1 = 1$ , and  $k_n = k_{n-1}a_n + k_{n-2} \ge k_{n-1} + k_{n-2} > k_{n-1}$  for  $n \ge 2$ , the  $k_n$  are a strinctly increasing sequence of integers, and in fact,  $k_n \ge n$ . Even more, since  $k_n \ge k_{n-1} + k_{n-2}$ , the terms grow faster than the Fibonacci sequence (which has  $F_n = F_{n-1} + F_{n-2}$ ,  $F_0 = 1$ ,  $F_1 = 1$ ,

and grows approximately like  $\left(\frac{1+\sqrt{5}}{2}\right)^2$ .

Second,  $(h_n, k_n) = 1$  for all n. In fact,  $h_n k_{n-1} - h_{n-1} k_n = (-1)^n$  and  $h_n k_{n-2} - h_{n-2} k_n = (-1)^n a_n$ 

This follows by induction; check n=0, and then  $h_nk_{n-1}-h_{n-1}k_n=(h_{n-1}a_n+h_{n-2})k_{n-1}-h_{n-1}(k_{n-1}a_n+k_{n-2})=h_{n-1}k_{n-1}a_n+h_{n-2}k_{n-1}-h_{n-1}k_{n-1}a_n-h_{n-1}k_{n-2}=h_{n-2}k_{n-1}-h_{n-1}k_{n-2}=(-1)(h_{n-1}k_{n-2}-h_{n-2}k_{n-1})=(-1)(-1)^{n-2}=(-1)^{n-1}$ , by induction, and then  $h_nk_{n-2}-h_{n-2}k_n=(h_{n-1}a_n+h_{n-2})k_{n-2}-h_{n-2}(k_{n-1}a_n+k_{n-2})=h_{n-1}k_{n-2}a_n+h_{n-2}k_{n-2}-h_{n-2}k_{n-1}a_n-h_{n-2}k_{n-2}=a_n(h_{n-1}k_{n-2}-h_{n-2}k_{n-1})=a_n(-1)^{n-2}=(-1)^na_n$ . This in turn gives us:

Third: setting  $r_n = [a_0, \dots, a_n] = \frac{h_n}{k_n}$ , we have  $r_n - r_{n-1} = \frac{h_n}{k_n} - \frac{h_{n-1}}{k_{n-1}} = \frac{h_n k_{n-1} - h_{n-1} k_n}{k_{n-1} k_n} = \frac{(-1)^n}{k_{n-1} k_n}$  and similarly,  $r_n - r_{n-2} = \frac{h_n}{k_n} - \frac{h_n}{k_n} = \frac{h_n}{k_n} - \frac{h_n}{k_n} = \frac{h_n}{k_n} - \frac{h_n}{k_n} = \frac{(-1)^n}{k_n}$ 

$$= \frac{h_n}{k_n} - \frac{h_{n-2}}{k_{n-2}} = \frac{(-1)^n a_n}{k_{n-2} k_n} .$$

This tells us many things! Since the  $k_n$ 's are all positive (and, in fact, increasing), if we look at the "even" terms,  $r_0, r_2, r_4, \ldots$ , this is an increasing sequence. The odd terms,  $r_1, r_3, r_5, \ldots$  are a decreasing sequence. And since successive terms are getting closer to one another, we have that the sequence  $\{r_n\}_{n=0}^{\infty}$  converges. We will denote its limit, of course, as  $[a_0, a_1, \ldots, a_n, \ldots]$ .

But converges to what? If the continued fraction came from our procedure for computing the expansion of a real number x::  $a_0 = \lfloor x \rfloor$ ,  $x_0 = x - a_0$ , and inductively  $a_n = \lfloor 1/x_{n-1} \rfloor$ ,  $x_n = (1/x_{n-1}) - a_n$ , we have  $x = [a_0, \ldots, a_{n-1}, a_n + x_n] < [a_0, \ldots, a_{n-1}, a_n]$  for n odd, and  $x > [a_0, \ldots, a_{n-1}, a_n]$  for n even (by induction!). So  $r_{2n} < x < r_{2n+1}$ , so  $r_n$  converges to x!

In particular,  $|x - r_n| < |r_{n+1} - r_n| = \left| \frac{(-1)^n}{k_n k_{n+1}} \right| = \frac{1}{k_n k_{n+1}} \le \frac{1}{k_n^2 a_{n+1}}$  so the  $r_n$  make good rational approximations to x.