

# On the linearity defect of the residue field

Liana Şega

University of Missouri, Kansas City

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## Linearity defect: definition

$(R, \mathfrak{m}, k)$  = commutative local Noetherian ring;  $\mathfrak{m} \neq 0$

$M$  = finitely generated  $R$ -module;

$$R^{\mathfrak{g}} = \bigoplus \mathfrak{m}^i / \mathfrak{m}^{i+1} \quad \text{and} \quad M^{\mathfrak{g}} = \bigoplus \mathfrak{m}^i M / \mathfrak{m}^{i+1} M.$$

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Consider a minimal free resolution of  $M$ :

$$F = \cdots \rightarrow F_{n+1} \xrightarrow{d_n} F_n \rightarrow \cdots \rightarrow F_0 \rightarrow 0$$

and the filtration of  $F$  given by the subcomplexes:

$$\cdots \rightarrow F_{n+1} \rightarrow F_n \rightarrow \cdots \rightarrow F_i \rightarrow \mathfrak{m}F_{i-1} \rightarrow \mathfrak{m}^2F_{i-2} \cdots \rightarrow \mathfrak{m}^iF_0 \rightarrow 0$$

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The associated graded complex is a complex of  $R^{\mathfrak{g}}$ -modules:

$$F^{\mathfrak{g}} = \cdots \rightarrow F_{n+1}^{\mathfrak{g}}(-n-1) \rightarrow F_n^{\mathfrak{g}}(-n) \rightarrow \cdots \rightarrow F_0^{\mathfrak{g}} \rightarrow 0$$

(Herzog and Iyengar): the *linearity defect* of  $M$  is the number:

$$\text{ld}_R(M) = \sup\{i \in \mathbb{Z} \mid H_i(F^{\mathfrak{g}}) \neq 0\}.$$

## Connections to regularity

- $\mathrm{ld}_R(M) = 0 \iff F^{\mathfrak{g}}$  is a minimal free resolution of  $M^{\mathfrak{g}}$ .  
In this case,  $\mathrm{reg}_{R^{\mathfrak{g}}}(M^{\mathfrak{g}}) = 0$ .  
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We say that  $R$  is a *Koszul ring*.
- $\mathrm{ld}_R(M) < \infty$  iff  $M$  has a syzygy which is Koszul.

# Interpretation

If  $i > 0$ , let  $\mu_i^n(M)$  denote the natural map

$$\mathrm{Tor}_i^R(\mathfrak{m}^{n+1}, M) \rightarrow \mathrm{Tor}_i^R(\mathfrak{m}^n, M)$$

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**Theorem.** *Let  $i > 0$ . Then:*

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- $\mathrm{ld}_R(M) \leq d \iff \mu_i^n(M) = 0$  for all  $i \geq d$  and all  $n > 0$ .
- $\mathrm{ld}_R(M) = 0 \iff \mu_i^n(M) = 0$  for all  $i$  and  $n$

## The Graded case

When  $R$  is a standard graded  $k$ -algebra and  $M$  is a graded  $R$ -module, one can use the same definitions, with  $\mathfrak{m} = R_{\geq 1}$ .

Herzog and Iyengar:  $\mathrm{ld}_R(M) < \infty \implies \mathrm{reg}_R(M) < \infty$

In particular:  $\mathrm{ld}_R(k) < \infty \implies \mathrm{reg}_R(k) < \infty$ , hence  $R$  is a Koszul algebra (Avramov and Peeva) and  $\mathrm{ld}_R(k) = 0$ .

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An analysis of the proof reveals that a weaker hypothesis suffices:

**Proposition.**  $\mu_{\geq 0}^1(M) = 0 \implies \mathrm{reg}_R(M) < \infty$ .

*In particular,  $\mu_{\geq 0}^1(k) = 0 \implies R$  is Koszul.*

(Recall that  $\mu_i^1: \mathrm{Tor}_i^R(\mathfrak{m}^2, M) \rightarrow \mathrm{Tor}_i^R(\mathfrak{m}, M)$ .)

# Questions

Back to the local case.

- If  $\text{ld}_R(k) < \infty$  does it follow that  $\text{ld}_R(k) = 0$  ?  
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- For any  $n$ : If  $\mu_{\gg 0}^n = 0$  does it follow that  $\mu^n = 0$  ?
- If  $\text{ld}_R(M) < \infty$  for every finitely generated  $R$ -module ( $R$  is *absolutely Koszul*), does it follow that  $R$  is Koszul?

## The maps $\mu^1$ and the Yoneda algebra

Think of  $\mu_i^1$  as  $\text{Ext}_R^{i+1}(k, k) \rightarrow \text{Ext}_R^{i+1}(R/\mathfrak{m}^2, k)$

Set  $E = \text{Ext}_R(k, k)$ , with Yoneda product.

Set  $R^! =$  the subalgebra of  $E$  generated by its elements of degree 1.



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- $\mu_i^1 = 0$ .

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We have thus:

- $\mu_{>0}^1 = 0 \iff E = R^!$
- $\mu_{\geq s}^1 = 0 \iff E$  is generated /  $R^!$  by its elements of degree  $s$ .

In particular: **If  $R$  is a standard graded algebra and  $E$  is finitely generated over  $R^!$ , then  $E = R^!$  and  $R$  is Koszul.**

Set  $s(R) = \inf\{i \geq 1 \mid \mathfrak{a} \cap \mathfrak{n}^{i+2} \subseteq \mathfrak{n}\mathfrak{a}\}$

where  $\widehat{R} = Q/\mathfrak{a}$  is a minimal regular presentation of  $R$  with  $(Q, \mathfrak{n})$  regular local and  $\mathfrak{a} \subseteq \mathfrak{n}^2$ .

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**Proposition.** *The following hold:*

- (a) *If  $\mu_{4n-1}^1 = 0$  for some positive integer  $n$ , then  $\mu_3^1 = \mu_1^1 = 0$*
- (b)  *$\mu_1^1 = 0 \iff s(R) = 1$*

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For the proof: Use the fact that  $k$  has a minimal free resolution  $F$  with DG  $\Gamma$  algebra structure, obtained by adjoining variables.

Then think of  $\mu_i^n$  as  $H_{i+1}(F/\mathfrak{m}^2 F) \rightarrow H_{i+1}(F/\mathfrak{m} F)$ .

Thus  $\mu_i^n = 0$  means: If  $dx \in \mathfrak{m}^2 F_i$ , then  $x \in \mathfrak{m} F_{i+1}$ .

We have thus:

$$\begin{array}{ccc}
 \mu_{>0}^1 = 0 & \Longleftrightarrow & E = R^! \\
 \Downarrow & & \Downarrow \\
 \mu_{\geq s}^1 = 0 & \Longleftrightarrow & E \text{ is generated over } R^! \\
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 \end{array}$$

## Complete intersection rings

Assume  $R$  is a complete intersection:  $\widehat{R} = Q/(\text{regular sequence})$ ,  
with  $Q$  regular local. For these rings:  $s(R) = 1 \iff E = R^!$ .

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Under a stronger hypothesis, we obtain a stronger conclusion:

### Theorem

*The following statements are equivalent:*

- (a)  $\text{ld}_R k = 0$  ( $R$  is Koszul)
- (b)  $R$  has minimal multiplicity.

*Furthermore, if  $R^g$  is Cohen-Macaulay, then they are also equivalent to*

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Proof of (c)  $\implies$  (b): Reduce first to the Artinian case. Then, a length count.

# Artinian rings

## Theorem

*Assume  $R$  is Artinian with  $\mathfrak{m}^{n+1} = 0$ . If  $\mu_{\gg 0}^{n-1} = 0$ , then  $\mu_{>0}^{n-1} = 0$ .*

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## Corollary

*If  $R$  is Golod and  $R^g$  is Cohen-Macaulay, then the following statements are equivalent:*

- (a)  $\text{ld}_R k = 0$
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The corollary follows from the Theorem, using the fact that an Artinian Golod ring does not have any non-zero small ideals.

### Proof.

Let  $j > 0$  and let  $i$  large enough so that  $\mu_{i+j}^{n-1} = 0$ , thus the natural map  $\text{Ext}_R^{i+j}(\mathfrak{m}^{n-1}, k) \rightarrow \text{Ext}_R^{i+j}(\mathfrak{m}^n, k)$  is zero.

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Commutativity of the squares yields:  $\exists \alpha \in E^j$  non-zero such that  $\varphi\alpha = 0$  for all  $\varphi \in E^i$ . Thus the element  $\alpha$  of  $E$  is annihilated by all elements of  $E$  of sufficiently large degree. However, this is a contradiction, according to a result of Martsinkovski. □