

# Classifying $n \leq 8$ points in $\mathbf{P}^2$

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Betti number results for  $n = 6$  points: joint with Elena Guardo.

Classification of configuration types for  $n \leq 8$  points: joint with Tony Geramita and Juan Migliore.

## Some General Problems

Let  $I \subset R = k[x, y, z]$  be ideal of reduced points  $p_1, \dots, p_n \in \mathbf{P}^2$ .

**Problem 1:** (cf. Evans-Richert) Given Hilbert function of  $I^{(m)}$ , what can you say about graded Betti numbers of  $I^{(m)}$ ?

**Problem 2:** (Geramita-Migliore-Sabourin) Given Hilbert function of  $I$ , what can you say about Hilbert function of  $I^{(2)}$ ?

**Problem 3:** What functions can be Hilbert functions of ideals  $I^{(m)}$ ?

**Problem 1:** Given Hilbert function of  $I^{(m)}$ , what can you say about graded Betti numbers of  $I^{(m)}$ ?

**Complete Answers** for points on conic or any  $n \leq 6$  points.

based on Catalisano (1991: smooth conic)/Harbourne (1998: any conic), and Guardo-Harbourne (2005:  $n \leq 6$ ).

**Problem 2:** Given Hilbert function of  $I$ , what can you say about Hilbert function of  $I^{(2)}$ ?

**Problem 3:** What functions can be Hilbert functions of ideals  $I^{(m)}$ ,  $m > 0$ ?

**Complete Answers** for  $n \leq 8$  points (any  $m$ ) or  $n = 9$  for  $m = 2$  (Geramita-Harbourne-Migliore 2006).

**Examples:**

(1) Say  $R/I^{(m)}$  has Hilbert function:

1 3 6 10 14 16 17 18 18 18 18 18.

Exactly what can the graded Betti numbers be? **Answer:**

|     |   |   |   |   |   |   |    |     |   |   |   |   |   |   |
|-----|---|---|---|---|---|---|----|-----|---|---|---|---|---|---|
| deg | 4 | 5 | 6 | 7 | 8 | 9 | OR | deg | 4 | 5 | 6 | 7 | 8 | 9 |
| gen | 1 | 2 | 0 | 0 | 1 | 0 |    | gen | 1 | 2 | 1 | 0 | 1 | 0 |
| syz | 0 | 0 | 1 | 1 | 0 | 1 |    | syz | 0 | 0 | 2 | 1 | 0 | 1 |

(2) Say  $R/I$  has Hilbert function: 1 3 5 6 6 6 6 6.

What functions occur as Hilbert functions of  $R/I^{(2)}$ ? **Answer:**

1 3 6 10 14 16 17 18 18 18 18 18  
 1 3 6 10 14 16 17 18 18 18 18 18  
 1 3 6 10 14 17 18 18 18 18  
 1 3 6 10 14 17 18 18 18 18

# Fat points: Review

Given points  $p_1, \dots, p_n \in \mathbf{P}^2$  and integers  $m_i \geq 0$ .

**Notation:**  $Z = m_1 p_1 + \dots + m_n p_n$  denotes the subscheme, a *fat points* subscheme, of  $\mathbf{P}^2$  defined by ideal

$$I(Z) = I(p_1)^{m_1} \cap \dots \cap I(p_n)^{m_n} \subset R = k[x, y, z].$$

**Hilbert function** of  $I(Z)$ :

$$h(I(Z))(t) = \dim_k I(Z)_t$$

**Graded Betti numbers**  $\gamma_i$  and  $\sigma_j$  of  $I(Z)$  in minimal free resolution:

$$0 \rightarrow \bigoplus_{j \geq 0} R[-j]^{\sigma_j} \rightarrow \bigoplus_{i \geq 0} R[-i]^{\gamma_i} \rightarrow R \rightarrow R/I \rightarrow 0$$

**Definition:** Hilbert function equivalence

Ordered point sets  $p_1, \dots, p_n$  and  $p'_1, \dots, p'_n$  are *Hilbert function equivalent* if

$$Z = m_1 p_1 + \dots + m_n p_n \text{ and } Z' = m_1 p'_1 + \dots + m_n p'_n$$

have the same Hilbert functions for every choice of the  $m_i$ .

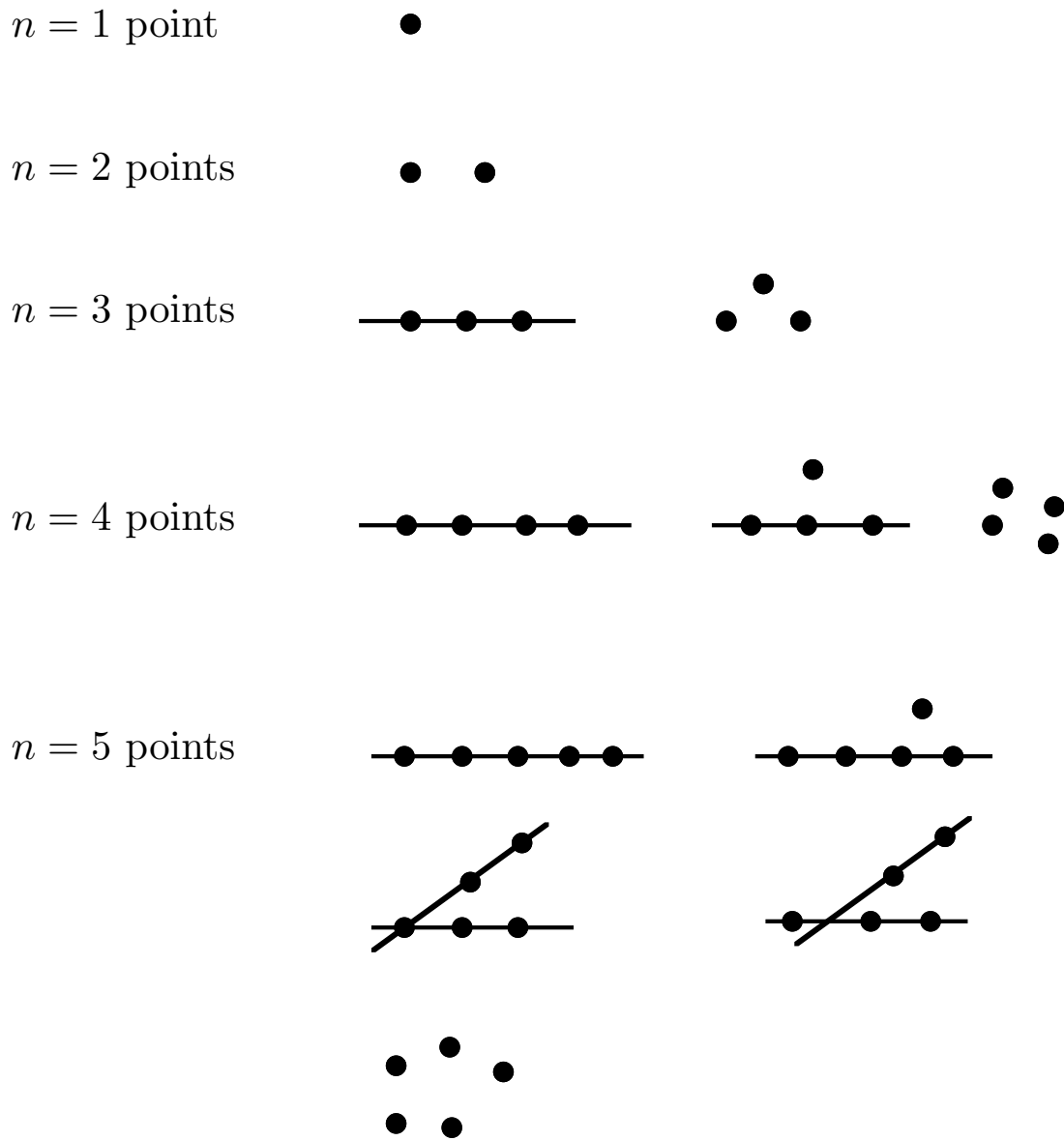
A Hilbert function equivalence class is a **Configuration Type**.

**Table summarizing results**

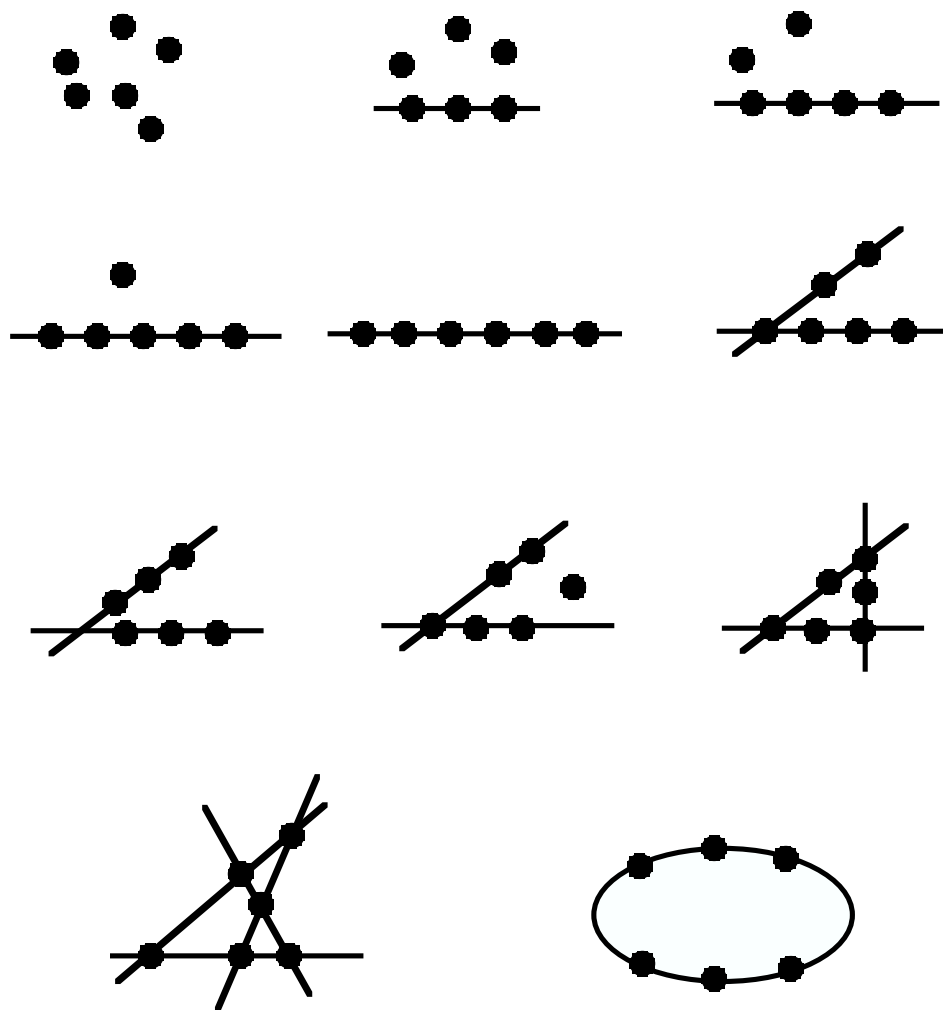
| $n$    | 1 | 2 | 3 | 4 | 5 | 6  | 7  | 8   | 9        | 10       | etc.     |
|--------|---|---|---|---|---|----|----|-----|----------|----------|----------|
| # CT's | 1 | 1 | 2 | 3 | 5 | 11 | 29 | 143 | $\infty$ | $\infty$ | $\infty$ |

**Method** (GHM 2006):

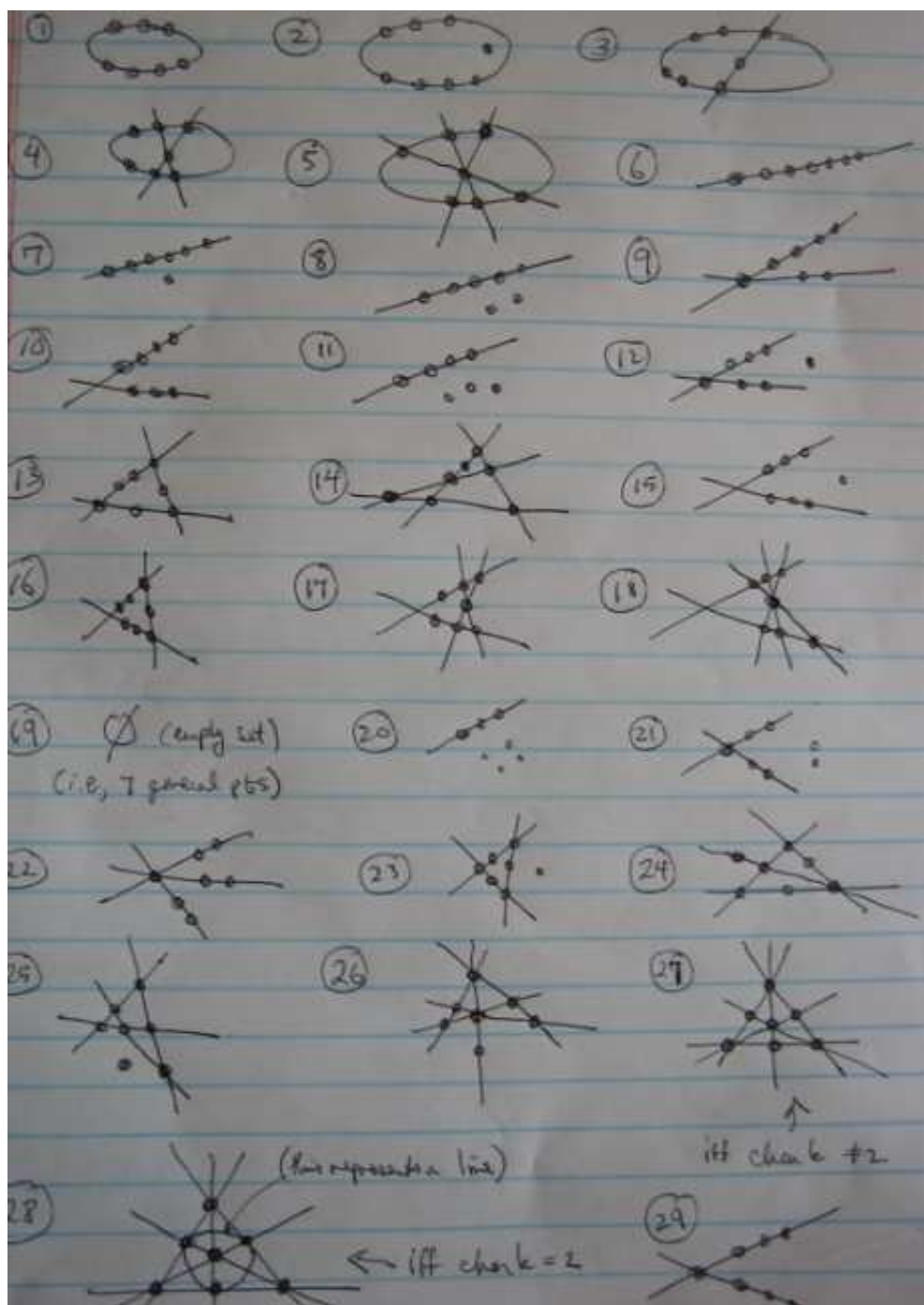
- Reinterpret as a problem on algebraic surfaces
- Adjunction formula reduces problem to combinatorial matroid-like formal classification
- Must verify representability of the formal possibilities
- The occurrence of certain CT's can depend on the characteristic of ground field,  $k$ .



**Configuration Types of  $n \leq 5$  points in  $\mathbb{P}^2$**



Configuration Types of  $n = 6$  points in  $P^2$



Configuration Types of  $n = 7$  points in  $P^2$

# Configuration Types of $n = 8$ points in $\mathbf{P}^2$

|    |   |     |   |
|----|---|-----|---|
| 1  | empty   | 73  | 1: abc, ade, 2: acefgh                      |
| 2  | 1: abc  | 74  | 1: abc, def, 2: bcefg                       |
| 3  | 1: abc, def                                       | 75  | 1: abc, ade, bdf, ceg, 2: acdfgh            |
| 4  | 1: abc, ade                                       | 76  | 1: abc, ade, bdf, cef, 2: bcdegh            |
| 5  | 1: abc, ade, afg                                  | 77  | 1: abc, ade, bfg, dfh, 2: bcdegh            |
| 6  | 1: abc, ade, bdf                                  | 78  | 1: abc, 2: cdefgh, abefgh                   |
| 7  | 1: abc, ade, bfg                                  | 79  | 1: abc, ade, 2: bcdegh, acefgh              |
| 8  | 1: abc, ade, fgh                                  | 80  | 1: abc, ade, bdf, 2: bcdegh, acdfgh         |
| 9  | 1: abc, ade, bdf, cgh                             | 81  | 1: abc, 2: acdefg, abefgh                   |
| 10 | 1: abc, ade, bdf, ceg                             | 82  | 1: abc, ade, 2: bdefgh, acefgh              |
| 11 | 1: abc, ade, bdf, cef                             | 83  | 1: abc, ade, bdf, 2: cdefgh, abefgh         |
| 12 | 1: abc, ade, bfg, dfh                             | 84  | 1: abc, ade, 2: acefgh, abdfgh              |
| 13 | 1: abc, ade, afg, bdf                             | 85  | 1: abc, def, 2: bcefg, acdfgh               |
| 14 | 1: abc, ade, afg, bdh                             | 86  | 1: abc, ade, bfg, 2: bcdegh, acefgh         |
| 15 | 1: abc, ade, afg, bdf, ceg                        | 87  | 1: abc, ade, bdf, ceg, 2: acdfgh, abefgh    |
| 16 | 1: abc, ade, afg, bdf, beg                        | 88  | 1: abc, ade, bdf, cef, 2: bcdegh, acdfgh    |
| 17 | 1: abc, ade, afg, bdf, ceh                        | 89  | 1: abc, ade, bfg, dfh, 2: bcdegh, acefgh    |
| 18 | 1: abc, ade, afg, bdf, beh                        | 90  | 1: abc, 2: bcdfgh, acdefg, abefgh           |
| 19 | 1: abc, ade, afg, bdh, ceh                        | 91  | 1: abc, def, 2: bcefg, acdfgh, abdegh       |
| 20 | 1: abc, ade, afg, bdh, cfh                        | 92  | 1: abc, ade, 2: bcdegh, acefgh, abdfgh      |
| 21 | 1: abc, ade, bdf, cgh, efg                        | 93  | 1: abc, ade, bdf, 2: bcdegh, acdfgh, abefgh |
| 22 | 1: abc, ade, afg, bdf, ceg, beh                   | 94  | 1: abc, ade, afg, 2: bcdegh                 |
| 23 | 1: abc, ade, afg, bdf, beg, cdg                   | 95  | 1: abc, defg                                |
| 24 | 1: abc, ade, afg, bdf, beg, dgh                   | 96  | 1: abc, ade, afg                            |
| 25 | 1: abc, ade, afg, bdf, beg, cdh                   | 97  | 1: abc, ade, bdf, afg                       |
| 26 | 1: abc, ade, afg, bdf, ceh, bgh                   | 98  | 1: abc, ade, afg, bdf, ceg                  |
| 27 | 1: abc, ade, afg, bdh, cfh, egh                   | 99  | 1: abc, ade, 2: bcdefg                      |
| 28 | 1: abc, ade, afg, bdf, ceg, beh, cfh              | 100 | 1: abc, ade, bdf, afg, 2: bcdegh            |
| 29 | 1: abc, ade, afg, bdf, ceg, beh, cdh              | 101 | 1: abc, adef                                |
| 30 | 1: abc, ade, afg, bdf, beg, cdg, ceh              | 102 | 1: abc, ade, bdfg                           |
| 31 | 1: abc, ade, afg, bdf, ceg, beh, cfh, dgh         | 103 | 1: abc, ade, bdf, ceg                       |
| 32 | 3: abcdefgh                                       | 104 | 1: abc, ade, afg, bdf, beg                  |
| 33 | 1: abc, 3: abcdefgh                               | 105 | 1: abc, ade, bdfg, 2: acefgh                |
| 34 | 1: abc, def, 3: abcdefgh                          | 106 | 1: abc, ade, bfg                            |
| 35 | 1: abc, ade, 3: abcdefgh                          | 107 | 1: abc, ade, bdf, ceg                       |
| 36 | 1: abc, ade, afg, 3: abcdefgh                     | 108 | 1: abc, def, adgh, 2: bcefg                 |
| 37 | 1: abc, ade, bdf, 3: abcdefgh                     | 109 | 1: abc, ade, bdf, cef, afg, 2: bcdegh       |
| 38 | 1: abc, ade, bfg, 3: abcdefgh                     | 110 | 1: abcd                                     |
| 39 | 1: abc, ade, bdf, ceg, 3: abcdefgh                | 111 | 1: abc, ade, afg, bdf                       |
| 40 | 1: abc, ade, bdf, cef, 3: abcdefgh                | 112 | 1: abcd, efg                                |
| 41 | 1: abc, ade, afg, bdf, 3: abcdefgh                | 113 | 1: abcd, aefg                               |
| 42 | 1: abc, ade, afg, bdf, ceg, 3: abcdefgh           | 114 | 1: abc, adef, bdgh                          |
| 43 | 1: abc, ade, afg, bdf, beg, 3: abcdefgh           | 115 | 1: abc, ade, bdfg, cef                      |
| 44 | 1: abc, ade, afg, bdf, beg, cdg, cef, 3: abcdefgh | 116 | 1: abc, ade, afg, bdf, ceg                  |
| 45 | 2: abcdef, 3: abcdefgh                            | 117 | 1: abgh, 2: abcdef                          |
| 46 | 1: abc, 2: bcdefg, 3: abcdefgh                    | 118 | 1: efg, 2: abcdef, abcdgh                   |
| 47 | 1: abc, ade, 2: bcdefg, 3: abcdefgh               | 119 | 1: abc, def, adgh                           |
| 48 | 1: abc, ade, afg, 2: bcdefg, 3: abcdefgh          | 120 | 1: abc, ade, bfg, cdh                       |
| 49 | 2: abcdef   | 121 | 1: abc, ade, bdf, ceg, afg                  |
| 50 | 2: abcdef, abcdgh                                 | 122 | 1: abc, ade, bdf, cef, afg                  |
| 51 | 2: abcdef, abcdgh, abefgh                         | 123 | 1: abc, ade, bfg, dfh, ceg                  |
| 52 | 2: abcdef, abcdgh, abefgh, cdefgh                 | 124 | 1: abc, ade, afg, bdh, cef                  |
| 53 | 1: abc, ade, fgh, 2: bcdegh                       | 125 | 1: abc, ade, afg, bdf, beg, cdg             |
| 54 | 1: abc, ade, bdf, cgh, 2: abefgh                  | 126 | 1: abc, ade, afg, bdf, beh, cdg             |
| 55 | 1: abc, ade, afg, bdf, 2: cdefgh                  | 127 | 1: abc, ade, afg, bdf, beg, cdg, cef        |
| 56 | 1: abc, ade, afg, bdf, beg, 2: cdefgh             | 128 | 1: abc, ade, afg, bdf, beg, dgh, cef        |
| 57 | 1: abc, ade, afg, bdf, beh, 2: cdefgh             | 129 | 1: abcde                                    |
| 58 | 1: abc, ade, afg, bdh, ceh, 2: bcdefg             | 130 | 1: abcde, afg                               |
| 59 | 1: abc, ade, afg, bdh, cfh, 2: bcdefg             | 131 | 1: abcde, fgh                               |
| 60 | 1: abc, ade, afg, bdh, cfh, egh, 2: bcdefg        | 132 | 1: abcde, afg                               |
| 61 | 1: abc, 2: cdefgh                                 | 133 | 1: abcde, afg, bfg                          |
| 62 | 1: abc, ade, 2: bcdegh                            | 134 | 1: abcde, afg, bfg, cgh                     |
| 63 | 1: abc, ade, afg, 2: bcdefg                       | 135 | 1: abcdef                                   |
| 64 | 1: abc, ade, bdf, 2: cdefgh                       | 136 | 1: abcdef, ag                               |
| 65 | 1: abc, ade, bfg, 2: cdefgh                       | 137 | 1: abcdefg                                  |
| 66 | 1: abc, ade, afg, bdh, 2: bcdefg                  | 138 | 1: abcdefgh                                 |
| 67 | 1: abc, 2: bcdefg                                 | 139 | 2: abcdefg                                  |
| 68 | 1: abc, ade, 2: cdefgh                            | 140 | 1: abh, 2: abcdefg                          |
| 69 | 1: abc, ade, afg, 2: cdefgh                       | 141 | 1: abh, cdh, 2: abcdefg                     |
| 70 | 1: abc, ade, bdf, 2: bcdegh                       | 142 | 1: abh, cdh, efh, 2: abcdefg                |
| 71 | 1: abc, ade, bfg, 2: bcdegh                       | 143 | 2: abcdefgh                                 |
| 72 | 1: abc, ade, afg, bdh, 2: bcdefg                  |     |   |

**Theorem:** Given  $p_1, \dots, p_n \in \mathbf{P}^2$  and  $Z = m_1p_1 + \dots + m_np_n$ .

(1)  $n \leq 8$ : The configuration type and multiplicities  $m_i$  determine  $h(I(Z))(t)$  explicitly for each  $t$ .

[Computing  $h(I(Z))(t)$  involves just dot products of integer vectors (corresponding to Bezout's Theorem).]

(2)  $n \leq 6$ : The configuration type and the multiplicities  $m_i$  also determine the graded Betti numbers of  $I(Z)$  explicitly.

[Computing them again involves just dot products of integer vectors (corresponding to Bezout's Theorem).]

### Basic Principle Underlying Proof:

After accounting for curves in base locus of  $I(Z)_t$ , the expected behavior is the actual behavior.

### Note:

The needed calculations are easy enough to do by hand, but they are somewhat tedious. They've been implemented as web forms at:

<http://www.math.unl.edu/~bharbourne1/FatPointAlgorithms.html>

### Computing Hilbert functions:

- Configuration type determines finite list of possible base curves
- Use Bezout to check which are base curves for  $I(Z)_t$
- take scheme  $Z'$  residual to  $Z$  with respect to base curves
- $Z'$  is a fat point scheme, free of base curves in residual degree  $t'$
- [Harbourne, mid 90s]  $I(Z')_{t'}$  fixed component free  $\implies$   

$$h(I(Z))(t) = h(I(Z'))(t') = \binom{t'+2}{2} - \binom{m'_1+1}{2} - \dots - \binom{m'_n+1}{2}$$

**Example:** Compute  $h(I(Z))(8)$  for:

$$Z: \quad \bullet \quad \bullet \quad \bullet \quad \bullet \quad \bullet \quad \bullet \quad \ell = \{L = 0\}$$

$$1 \quad 2 \quad 2 \quad 2 \quad 3 \quad 3$$

- Configuration Type  $\implies \ell$  is the only possible base curve
- Bezout  $\implies \ell$  is in fact base curve of  $I(Z)_8$ :

$$8 < 1 + 2 + 2 + 2 + 3 + 3 = 13$$

- residual  $Z'$ :  $\bullet \quad \bullet \quad \bullet \quad \bullet \quad \bullet \quad \bullet$
$$0 \quad 1 \quad 1 \quad 1 \quad 2 \quad 2$$

- residual degree  $t' = 8 - \text{degree}(\ell) = 7$
- Apply formula:  $h(I(Z))(8) = h(I(Z'))(7) = 27$

### Computing graded Betti numbers (when $n \leq 6$ ):

- $\gamma_{t+1} = \dim \operatorname{cok}(I(Z)_t \otimes R_1 \xrightarrow{\mu} I(Z)_{t+1})$ :
- $\mu$  has maximal rank if  $I(Z)_t$  is base curve free [Guardo–Harbourne, 2005]

**Example:** Compute  $\gamma_8 = \dim \operatorname{cok}(I(Z)_7 \otimes R_1 \xrightarrow{\mu} I(Z)_8)$  for

$$Z: \quad \bullet \bullet \bullet \bullet \bullet \bullet \quad \ell = \{L = 0\}$$

$$\quad \quad \quad 1 \quad 2 \quad 2 \quad 2 \quad 3 \quad 3$$

- Note:  $R_1 = \operatorname{Span}(x, y, z)$
- Bezout  $\implies$  base curve of  $I(Z)_7$  is  $2\ell$
- Residuals  $Z''$  and  $t'' = 5$ ; i.e.,  $I(Z)_7 = L^2 \cdot I(Z'')_5$
- $h(I(Z))(7) = h(I(Z''))(5) = \binom{5+2}{2} - 0 - 0 - 0 - 1 - 1 = 19$
- $h(I(Z''))(6) = \binom{6+2}{2} - 0 - 0 - 0 - 1 - 1 = 26$
- $\mu$  factors as  $\mu''$  (of maximal rank) and inclusion:

$$I(Z)_7 \otimes R_1 = L^2 \cdot I(Z'')_5 \otimes R_1 \xrightarrow{\mu''} \underbrace{L^2 \cdot I(Z'')_6}_{\subset I(Z)_8}$$

maximal rank:  $\dim \operatorname{cok} = 0$ ,  
since  $3(19) > 26$

difference in dimensions =  $27 - 26 = 1$

- Thus  $\gamma_8 = 0 + 1 = 1$

**Corollary:** Consider the ideal  $I$  of  $n$  points in  $\mathbf{P}^2$ .

For each  $n \leq 7$ , there is an  $m_n$  such that the Hilbert function of  $I^{(m_n)}$  determines the Hilbert function of  $I^{(m)}$  for all  $m \geq 0$ :

|       |   |   |   |   |   |   |   |     |
|-------|---|---|---|---|---|---|---|-----|
| $n$   | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8   |
| $m_n$ | 1 | 1 | 1 | 2 | 3 | 3 | 7 | 22? |

**Proof of Corollary:** Just check that the Hilbert function of  $I^{(m_n)}$  distinguishes the configuration types.

**For example:** If  $n = 6$ , the Hilbert function of  $I^{(3)}$  determines the graded Betti numbers of  $I^{(m)}$  for all  $m \geq 1$ .

**Example** showing run times (Gröbner bases vs. Theorem):

via Macaulay2 (6 random points of multiplicities: 30 13 23 33 3 13)

```
50: . 10 6
51: . 6 8
52: . 6 6
53: . 5 5
54: . 5 5
55: . 5 5
56: . 1 2
57: . 1 1
58: . 1 1
59: . 1 1
60: . 1 1
61: . 1 1
62: . 1 1
```

-- used 154.27 seconds

#####

via web form (run locally):

.....

Configuration 1: Z = 30 13 23 33 3 13

(i.e., 6 general points of multiplicities: 30 13 23 33 3 13)

.....

| deg | hilb_I | gens | syz | hilb_Z |
|-----|--------|------|-----|--------|
| 51  | 10     | 10   | 0   | 1368   |
| 52  | 30     | 6    | 6   | 1401   |
| 53  | 58     | 6    | 8   | 1427   |
| 54  | 93     | 5    | 6   | 1447   |
| 55  | 135    | 5    | 5   | 1461   |
| 56  | 184    | 5    | 5   | 1469   |
| 57  | 236    | 1    | 5   | 1475   |
| 58  | 290    | 1    | 2   | 1480   |
| 59  | 346    | 1    | 1   | 1484   |
| 60  | 404    | 1    | 1   | 1487   |
| 61  | 464    | 1    | 1   | 1489   |
| 62  | 526    | 1    | 1   | 1490   |
| 63  | 590    | 1    | 1   | 1490   |
| 64  | 655    | 0    | 1   | 1490   |
| 65  | 721    | 0    | 0   | 1490   |
| 66  | 788    | 0    | 0   | 1490   |

.....

-- used 3.25 seconds