

# Corners of $C^*$ -algebras

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# Introduction

The Peirce<sup>1</sup> decomposition of an algebra  $A$  with respect to an idempotent  $e \in A$  is

$$A = eAe \oplus eA(1-e) \oplus (1-e)Ae \oplus (1-e)A(1-e);$$

$M$  is a bimodule over  $S := eAe$ .

The corresponding projection from  $A$  onto  $S$ ,

$$\mathcal{E}: A \rightarrow A \quad \mathcal{E}(x) = exe \quad (x \in A),$$

is an  $S$ -bimodule map (an algebraic conditional expectation).

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<sup>1</sup>Benjamin Peirce, *Linear Associative Algebra*. Amer. J. Math. 4 (1881)

# Introduction

The Peirce decomposition leads to the following definition.

## Definition

Let  $A$  be an algebra.

A *corner* of  $A$  is a subalgebra  $S \subseteq A$  for which there is a subspace  $M \subseteq A$  such that  $A = S \oplus M$  and  $sm, ms \in M$  for all  $s \in S, m \in M$ .

## Examples include:

Peirce corners  $eAe$ .

Injective  $C^*$ -algebras.

The graph of a homomorphism between  $C^*$ -algebras.

The graph of a derivation from a  $C^*$ -algebra  $A$  to a Banach  $A$ -bimodule.

**Non-example:** A non-unital  $C^*$ -subalgebra of a von Neumann algebra.

(The above definition makes no reference to idempotents.)

# Retracts

A *retraction* on a topological space  $X$  is a continuous function (homeomorphism)  $\tau: X \rightarrow X$  with  $\tau \circ \tau = \tau$ ;  $\tau(X)$  is called the *retract* of  $X$ .

## Proposition

Let  $K$  be a compact Hausdorff space and let  $\tau: K \rightarrow K$  be a retraction. Define

$$\mathcal{E}_\tau: C(K) \rightarrow C(K) \quad \text{by} \quad \mathcal{E}_\tau(f) = f \circ \tau.$$

Then:

- (1)  $\mathcal{E}_\tau$  is a conditional expectation (in particular  $\|\mathcal{E}_\tau\| = 1$ );
- (2)  $\mathcal{E}_\tau$  is multiplicative;
- (3)  $\ker \mathcal{E}_\tau = \{f \in C(K) : f|_{\tau(K)} = 0\}$ .

Thus, every retraction  $\tau$  on  $K$  gives rise to a corner in  $C(K)$ .

But there are corners in  $C(K)$  which do not come from retractions on  $K$ .

# Retracts

## Proposition.

Let  $K = \{e^{i\theta} : 0 \leq \theta \leq 2\pi\}$  and define

$$\mathcal{E}: C(K) \rightarrow C(K) \quad \text{by} \quad \mathcal{E}(f)(\zeta) = \frac{f(\zeta) + f(-\zeta)}{2}.$$

Then:

- (1)  $\mathcal{E} \circ \mathcal{E} = \text{id}$ ;
- (2)  $S = \mathcal{E}(C(K)) = \{f \in C(K) : \mathcal{E}(f) = f\}$  is a closed  $*$ -subalgebra of  $C(K)$  ( $C^*$ -subalgebra);
- (3)  $\mathcal{E}$  is an  $S$ -module map (not multiplicative) and  $S$  is a corner in  $C(K)$ .

There is no retraction  $\tau: K \rightarrow K$  with  $S = \{f \in C(K) : f \circ \tau = f\}$ .

## Corollary

The functor  $\tau \mapsto \mathcal{E}_\tau$  is not surjective.

# Retracts

## Theorem

Let  $K$  be a compact Hausdorff space and let  $\mathcal{E}: C(K) \rightarrow C(K)$  be a unital algebraic conditional expectation which is also an algebra homomorphism. Then there is a retraction  $\tau: K \rightarrow K$  such that  $\mathcal{E} = \mathcal{E}_\tau$ .

Sketch of Proof:

1.  $\mathcal{E}$  is a contraction ( $\|\mathcal{E}\| \leq 1$ ).
2.  $\ker \mathcal{E}$  is a closed ideal in  $C(K)$ .
3. There exists a closed  $K_1 \subseteq K$  such that  $\ker \mathcal{E} = \{f \in C(K) : f|_{K_1} = 0\}$ .
4. There exists a retraction  $\tau: K \rightarrow K$  with  $\tau(K) = K_1$  such that  $\mathcal{E} = \mathcal{E}_\tau$ .

# Retracts

## Theorem

Let  $K$  be a compact Hausdorff space and let  $\mathcal{E}: C(K) \rightarrow C(K)$  be a unital algebraic conditional expectation which is also an algebra homomorphism.

Then there is a clopen set  $L \subseteq K$  and a retraction  $\tau: L \rightarrow L$  such that  $\mathcal{E}$  is given by

$$\mathcal{E}(f)(t) = \begin{cases} f(\tau(t)) & \text{if } t \in L \\ 0 & \text{for } t \in K \setminus L \end{cases}$$

(for  $f \in C(K)$ ,  $t \in K$ ). Moreover  $\mathcal{E}(\bar{f}) = \overline{\mathcal{E}f}$  for  $f \in C(K)$ .

Construction of  $L$ :

Let  $1_K$  be the constant function in  $C(K)$ . Then

$$\mathcal{E}(1_K) = \mathcal{E}(1_K \cdot 1_K) = \mathcal{E}(1_K) \cdot \mathcal{E}(1_K) = \mathcal{E}(1_K)^2,$$

$$L = \{t \in K : \mathcal{E}(1_K)(t) = 1\},$$

$$\mathcal{E}(1_K) = 1_L \text{ (the characteristic function of } L\text{).}$$

# Retracts

We have shown:

$$\begin{aligned} \left( \begin{array}{l} \text{Retracts of compact} \\ \text{Hausdorff spaces } K \end{array} \right) &\equiv \left( \begin{array}{l} \text{Multiplicative conditional} \\ \text{expectations on } C(K) \end{array} \right) \\ &\quad \equiv \\ &\quad \left( \begin{array}{l} \text{Corner } C^*\text{-subalgebras of} \\ C(K) \text{ complemented by ideals,} \\ \text{'supported' on clopen sets} \end{array} \right) \end{aligned}$$

Use the the Gelfand Duality (categorical equivalence):

$$\left( \text{Compact Hausdorff spaces } K \right) \equiv \left( \text{Unital commutative } C^*\text{-algebras } C(K) \right)$$



# Retracts

Let  $X$  be a locally compact, non-compact Hausdorff space and let  $X^* = X \cup \{\omega\}$  be the space  $X$  with one point adjoined according to the recipe for the one point compactification.

We consider  $C_0(X)$  as embedded in  $C(X^*)$  via

$$f \mapsto \tilde{f}: C_0(X) \rightarrow C(X^*)$$

where

$$\tilde{f}(t) = \begin{cases} f(t) & \text{if } t \in X \\ 0 & \text{for } t = \omega. \end{cases}$$

## Proposition

If  $\tau: X^* \rightarrow X^*$  is a retraction such that  $\tau(\omega) = \omega$  then the map defined by

$$\mathcal{E}_{\tau,*}: C_0(X) \rightarrow C_0(X), \quad \mathcal{E}_{\tau,*}(f) = (\tilde{f} \circ \tau)|_X$$

is a conditional expectation.

In fact, every multiplicative (algebraic) conditional expectation is of this type.

# Retracts

## Theorem

If  $X$  is a locally compact, non-compact Hausdorff space and  $\mathcal{E}: C_0(X) \rightarrow C_0(X)$  is an algebraic conditional expectation which is also an algebra homomorphism, then there is a retraction  $\tau: X^* \rightarrow X^*$  with  $\tau(\omega) = \omega$  such that  $\mathcal{E} = \mathcal{E}_{\tau,*}$ .

This gives the following equivalence:

$$\left( \begin{array}{c} \text{Noncommutative} \\ \text{retracts} \end{array} \right) \equiv \left( \begin{array}{c} \text{Corners of } C^*\text{-algebras } A \\ \text{given by} \\ \text{multiplicative conditional} \\ \text{expectations } \mathcal{E}: A \rightarrow A \\ \text{(describe this in terms of } \|\mathcal{E}\|) \end{array} \right)$$

$$\left( \text{Noncommutative topology} \right) \equiv \left( C^*\text{-algebras} \right)$$

# Retracts

## Theorem

Let  $A$  be a unital  $C^*$ -algebra and let  $\mathcal{E}: A \rightarrow A$  be an algebraic conditional expectation.

Then  $\mathcal{E}$  is a conditional expectation (in the category of  $C^*$ -algebras) if and only if

$$\|\mathcal{E}(x)\|^2 \leq \|\mathcal{E}(x^*x)\|$$

for all  $x \in A$ .

**Proof** of this theorem relies on the **Russo-Dye** theorem (1966) which asserts that in a unital  $C^*$ -algebra, the closure of the convex hull of the unitary elements is the closed unit ball.

So if  $x \in A$  has  $\|x\| < 1$ , then  $\|x\| \leq 1 - \frac{2}{n}$  for some integer  $n$ , and (by the Russo-Dye theorem) there are unitary elements  $u_1, \dots, u_n$  in  $A$  with  $x = \frac{1}{n}(u_1 + \dots + u_n)$ .

# Retracts

## Proposition

Let  $A$  be a unital  $C^*$ -algebra and let  $\mathcal{E}: A \rightarrow A$  be an algebraic conditional expectation. If

$$\|\mathcal{E}(x)\|^2 = \|\mathcal{E}(x^*x)\|$$

for all  $x \in A$ , then  $\ker \mathcal{E}$  is a closed Jordan ideal in  $A$ .

## Theorem

Let  $A$  be a unital  $C^*$ -algebra and let  $\mathcal{E}: A \rightarrow A$  be an algebraic conditional expectation. Then  $\mathcal{E}$  is a multiplicative  $*$ -homomorphism if and only if

$$\|\mathcal{E}(x)\|^2 = \|\mathcal{E}(x^*x)\|$$

for all  $x \in A$ .

**Proof** Use the Proposition and the fact that closed Jordan  $*$ -ideals in  $C^*$ -algebras are automatically 2-sided ideals.

# Retracts

$$\left( \begin{array}{c} \text{Noncommutative} \\ \text{Retracts} \end{array} \right) \equiv \left( \begin{array}{c} \text{Multiplicative conditional} \\ \text{expectations on } C^*\text{-algebras} \end{array} \right)$$

|||

$$\left( \begin{array}{c} \text{Corner } C^*\text{-subalgebras} \\ \text{complemented by ideals} \end{array} \right)$$

|||

$$\left( \begin{array}{c} \text{Conditional expectations } \mathcal{E} \\ \text{on } C^*\text{-algebras satisfying} \\ \| \mathcal{E}(x) \|^2 = \| \mathcal{E}(x^*x) \| \\ \text{(in general } \| \mathcal{E}(x) \|^2 \leq \| \mathcal{E}(x^*x) \| \text{ )} \end{array} \right)$$

# Closure question

Does every  $*$ -corner of a commutative  $C^*$ -algebra is closed?

## Theorem

Let  $A$  be a unital commutative  $C^*$ -algebra. Then there are no dense proper corner subalgebras of  $A$ .

That is: if  $K$  is a compact Hausdorff space and  $S \subseteq A = C(K)$  a dense corner in  $A$ , then  $S = A$ .

## Theorem

Every  $*$ -corner of a unital commutative  $C^*$ -algebra is closed.

# Closure question

## Theorem

If  $S \subseteq A$  is a dense corner in a commutative  $C^*$ -algebra  $A$  then  $S = A$ .

## Theorem

If  $S$  is a  $*$ -corner in a commutative  $C^*$ -algebra  $A$  then  $S$  is closed.

Thus, every  $*$ -corner of a commutative  $C^*$ -algebra is closed.

# Closure question

Unlike conditional expectations in the category of  $C^*$ -algebras, algebraic conditional expectations need not be bounded; however, the next theorem shows that, in the commutative case, bounded conditional expectations can always be found.

## Theorem

Let  $K$  be a compact Hausdorff space, and let  $\mathcal{E}: C(K) \rightarrow C(K)$  be a unital algebraic  $*$ -conditional expectation.

Then there exists a bounded conditional expectation operator  $\bar{\mathcal{E}}: C(K) \rightarrow C(K)$  with

$$\text{range } \bar{\mathcal{E}} = \text{range } \mathcal{E}.$$



# Corners containing diagonals

Let  $H$  be a Hilbert space with an orthonormal basis  $(e_i)_{i \in I}$  (which may be countable or uncountable).

Let  $\mathcal{E}: B(H) \rightarrow B(H)$  be a linear map with range  $S$  a subalgebra such that:

$$\mathcal{E} \circ \mathcal{E} = \mathcal{E},$$

$\mathcal{E}$  is an  $S$ -bimodule map,

$$\mathcal{E}(x^*) = \mathcal{E}(x)^* \text{ for } x \in B(H).$$

## Theorem

If  $e_i \otimes e_i^* \in S$  for  $i \in I$ , there is an equivalence relation on  $I$  such that

$$\mathcal{E}(x) = \sum_{j \in J} p_j x p_j \text{ for } x \in B(H), \text{ where } J \text{ is the set of equivalence classes,}$$

$$p_j = \sum_{i \in j} e_i \otimes e_i^* \text{ for } j \in J, \text{ and } e_i \otimes e_i^* \text{ is the operator that sends an element}$$

$$h \in H \text{ to } \langle h, e_i \rangle e_i \in H.$$

This result can be generalized to expectations in purely atomic von Neumann algebras.

# A method of constructing discontinuous expectations

## Statement

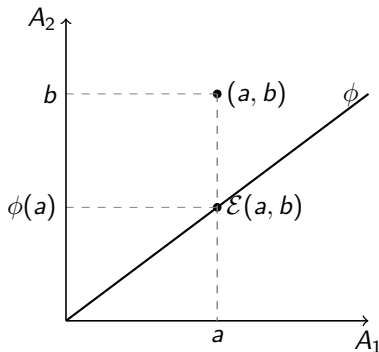
Assuming the Continuum Hypothesis **(CH)**, there exist discontinuous algebra homomorphisms between  $C^*$ -algebras.

For example, assuming **(CH)**, there is a discontinuous algebra homomorphism  $\phi: C([0, 1]) \rightarrow B(H)$ .

This is used to construct a discontinuous expectation (next slide).

# A method of constructing discontinuous expectations

- Suppose  $\phi: A_1 \rightarrow A_2$  is a discontinuous homomorphism between  $C^*$ -algebras  $A_1$  and  $A_2$ .
- Let  $A = A_1 \oplus A_2$ , a  $C^*$ -algebra with the summands as ideals (where we take the max norm).
- Let  $S$  be the graph of  $\phi$ , i.e.,  $S = \{(x, \phi(x)) : x \in A_1\}$ .  
Define  $\mathcal{E}: A \rightarrow A$  by  $\mathcal{E}(a, b) = (a, \phi(a))$ .



Then  $\mathcal{E}$  is a discontinuous expectation from  $A$  onto  $S$ .

Thank you

References:

Robert Pluta, *Ranges of bimodule projections and conditional expectations*, Cambridge Scholar Publishing, 2013.