

# Cuntz algebras, generalized Walsh bases and applications

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INFAS

# Basics

$H_{\langle, \rangle}$  separable Hilbert space,  $(e_n)_{n \in \mathbb{N}}$  ONB in  $H$ :

$$\langle e_n, e_m \rangle = \delta_{n,m}$$

$$\overline{\text{span}}\{e_n\} = H$$

$$v = \sum_{k=1}^{\infty} \langle v, e_k \rangle e_k \iff \lim_{n \rightarrow \infty} \|v - \sum_{k=1}^n \langle v, e_k \rangle e_k\| = 0$$

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In applications:

$H = L^2$  space and  $v$  encodes a *signal, state, image, measurable function*.

"Good" Approximation: least mean square deviation

## Example

- Fourier:  $(\frac{1}{\sqrt{2\pi}}e^{inx})_{n \in \mathbb{Z}}$  ONB on  $L^2[-\pi, \pi]$
- Wavelet :  $\{2^{n/2}\psi(2^n t - k) \mid n, k \in \mathbb{Z}\}$  ONB in  $L^2(\mathbb{R})$
- Walsh: discrete sine-cosine versions,  $\pm 1$  on dyadic intervals
- $(\exp(\lambda \cdot 2\pi x))_{\lambda \in \Lambda}$  exponential bases on some  $L^2(\text{fractals})$

# Main ideas and layout of the talk:

- *Cuntz relations generate a diversity of bases: Examples, Old and New (generalized Walsh)*
- *Zoom in on the new Walsh, study structure properties (How different from the old one is it ?)*
- *Possible applications of the generalized Walsh.*

## Set Up

$R$ -  $d \times d$  expansive.  $B \subset \mathbb{R}^d$ ,  $N = |B|$ . IFS:

$$\tau_b(x) = R^{-1}(x + b) \quad (x \in \mathbb{R}^d, b \in B)$$

Hutchinson:  $\exists!$  attractor  $(X_B, \mu_B)$  invariant for the IFS.

$\mu_B$  is invariant for  $r : X_B \rightarrow X_B$

$$r(x) = \tau_b^{-1}(x), \text{ if } x \in \tau_b(X_B)$$

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## Example

IFS :  $\tau_j(x) = \frac{x+j}{N}$ ,  $j = 0, 1, \dots, N-1$

Attractor:  $X = [0, 1]$ , with  $\lambda$  the Lebesgue measure

$$r(x) = Nx \bmod 1$$

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# Cuntz Relations

## Definition

$$\mathcal{O}_N : \quad S_i^* S_j = \delta_{i,j} I, \quad \sum_{i=0}^{N-1} S_i S_i^* = I$$



# QMFs

*QMF basis  $\implies$  multiresolution for the wavelet representation associated to a filter  $m_0$ .*

## Definition

A QMF basis is a set of  $N$  QMF's  $m_0, m_1, \dots, m_{N-1}$  such that

$$\frac{1}{N} \sum_{r(w)=z} m_i(w) \overline{m_j(w)} = \delta_{ij}, \quad (i, j \in \{0, \dots, N-1\}, z \in X)$$

# QMF bases and Cuntz algebra representations

## Proposition

*Let  $(m_i)_{i=0}^{N-1}$  be a QMF basis. The operators on  $L^2(X, \mu)$*

$$S_i(f) = m_i f \circ r, \quad i = 0, \dots, N-1$$

*are isometries and form a representation of the Cuntz algebra  $\mathcal{O}_N$ .*

# Main Result

## Theorem

$\mathcal{H}$  Hilbert space,  $(S_i)_{i=0}^{N-1}$  Cuntz representation of  $\mathcal{O}_N$ .

$\mathcal{E}$  *orthonormal*,  $X$  top.space,  $f : X \rightarrow \mathcal{H}$  norm continuous function and:

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- ①  $\mathcal{E} = \cup_{i=0}^{N-1} S_i \mathcal{E}$ .
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- ③ on the range of  $f$  the Cuntz isometries are like "multiplication-dilation" operators
- ④  $\exists c_0 \in X$  such that  $f(c_0) \in \overline{\text{span}}\mathcal{E}$ .

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Then  $\mathcal{E}$  is an *orthonormal basis* for  $\mathcal{H}$ .

In applications:

- $f(t) = \exp_t$  on  $L^2(X_B, \mu_B)$

- $S_l(g) = e_l g \circ r$ ,  $(B, L)$  Hadamard pair

- $S_i(g) = m_i g \circ r$ ,  $m_i = \sqrt{N} \sum_{j=0}^{N-1} a_{ij} \chi_{[j/N, (j+1)/N]}$

- $\mathcal{E} = \{S_{l_1} \circ S_{l_2} \circ \cdots \circ S_{l_n}(\exp_{-c})\}$ ,  $c$  extreme cycle point.

- $\mathcal{E} = \{S_{i_1} \circ S_{i_2} \circ \cdots \circ S_{i_n}(\mathbf{1})\}$



# Consequences

1-dimensional:  $0 \in B \subset \mathbb{R}$ ,  $R > 1$ ,  $\frac{1}{R}B$  admits a set  $L$  as *spectrum*.

**C1.**  $\mathcal{E} = \{S_w(\exp_{-c}) : c \text{ extreme cycle point}\}$  is ONB in  $L^2(\mu_B)$  made of *piecewise exponential functions*.

$$S_{l_1} \dots S_{l_n} e_{-c}(x) = e_{l_1}(x) e_{l_2}(rx) \dots e_{l_n}(r^{n-1}x) e_c(r^n x)$$

**C2.** When  $B \subset \mathbb{Z}$ ,  $L \subset \mathbb{Z}$ , and  $R \in \mathbb{Z}$  then  $\exists \Lambda$  such that  $\{e_\lambda : \lambda \in \Lambda\}$  is ONB for  $L^2(\mu_B)$ .

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### Example

Cantor's  $(X_{1/4}, \mu_{1/4})$  admits exp ONB:  $R = 4$ ,  $B = \{0, 2\}$ , spectrum  $L = \{0, 1\}$

### Example

$R = 3$ ,  $B = \{0, 2\}$ ,  $L = \{0, \frac{3}{4}\}$  spectrum of  $\frac{1}{3}B$ : Middle third Cantor set which is known not to admit exponential bases.

# Consequences

**C3.** *Walsh Bases:*  $[0, 1]$  is the attractor of the IFS:  $\tau_0 x = \frac{x}{2}$ ,  $\tau_1 x = \frac{x+1}{2}$ .

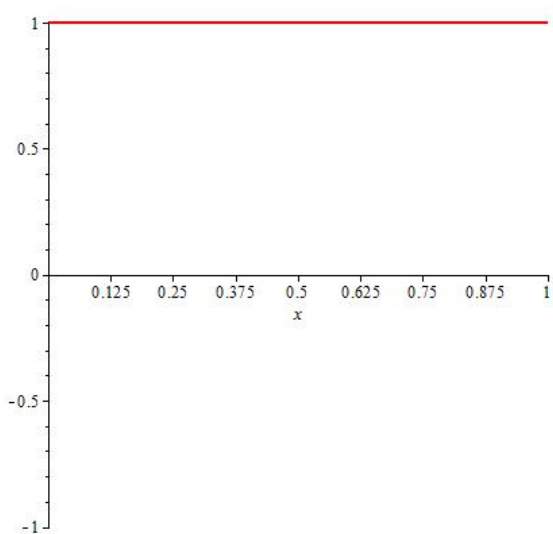
$rx = 2x \bmod 1$ .  $m_0 = 1$ ,  $m_1 = \chi_{[0,1/2)} - \chi_{[1/2,1)}$  form a QMF basis.

$\mathcal{E} := \{S_w 1 : w \in \{0, 1\}^*\}$  is an ONB for  $L^2[0, 1]$ , the Walsh basis.

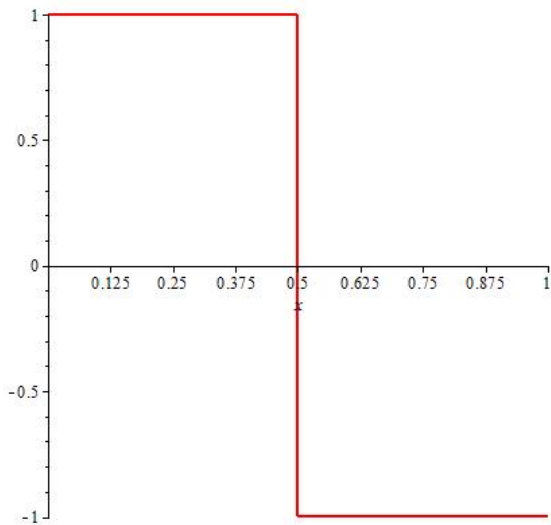
Description: For  $n = \sum_{k=0}^l i_k 2^k$ , the  $n$ 'th Walsh function :

$$W_n(x) = m_{i_0}(x) \cdot m_{i_1}(rx) \cdots m_{i_l}(r^l x) = S_{i_0 i_1 \dots i_l} 1$$

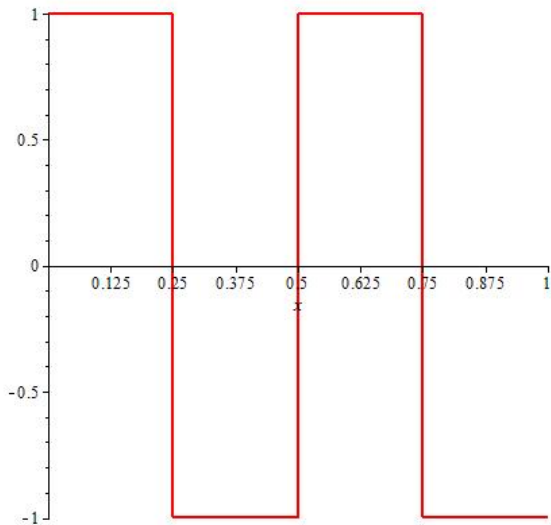
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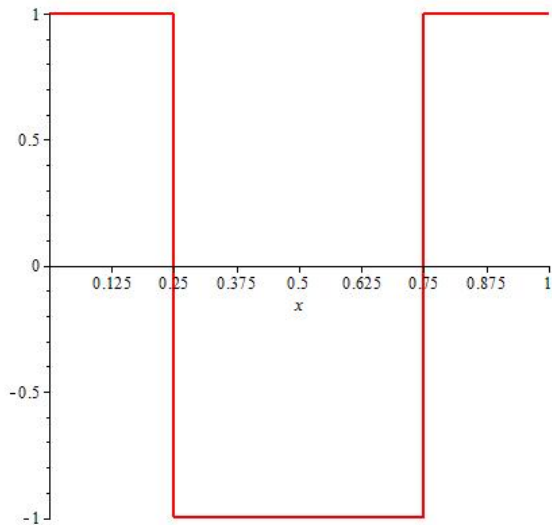
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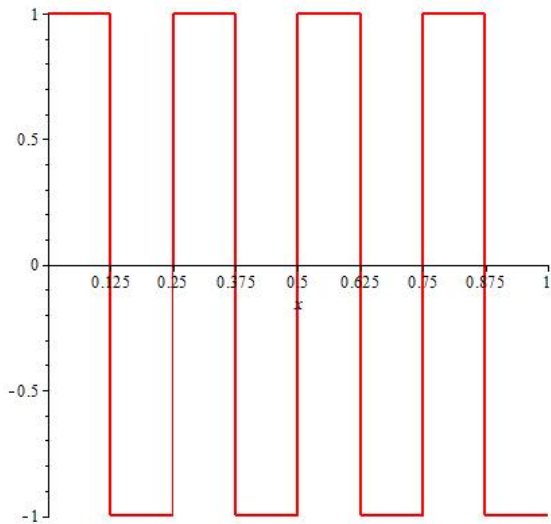
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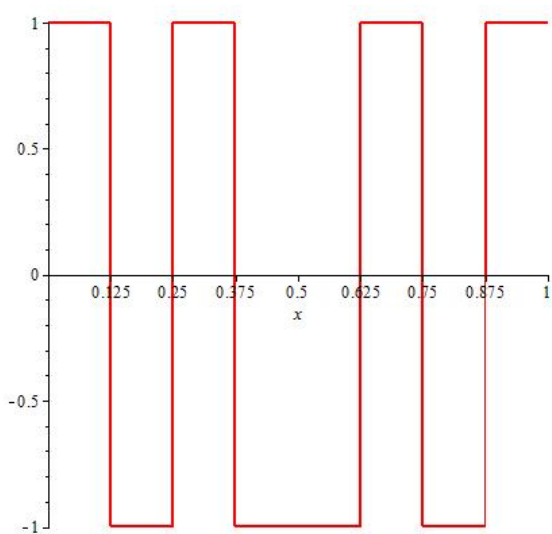


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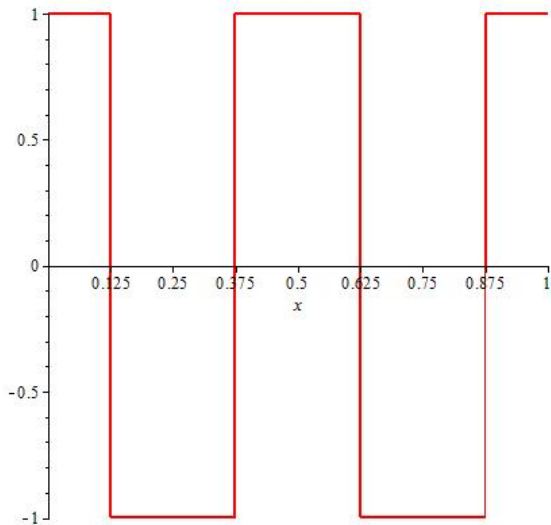




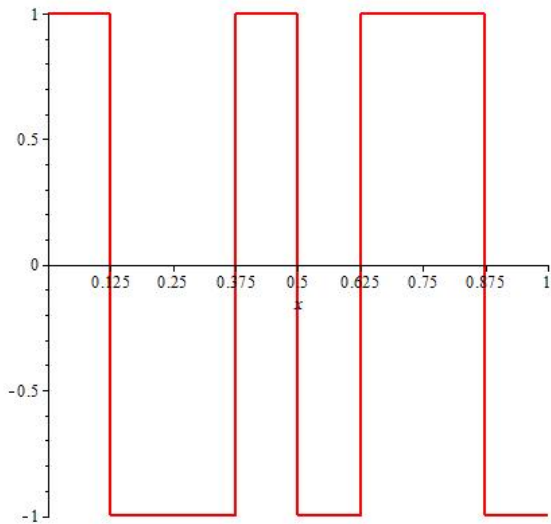
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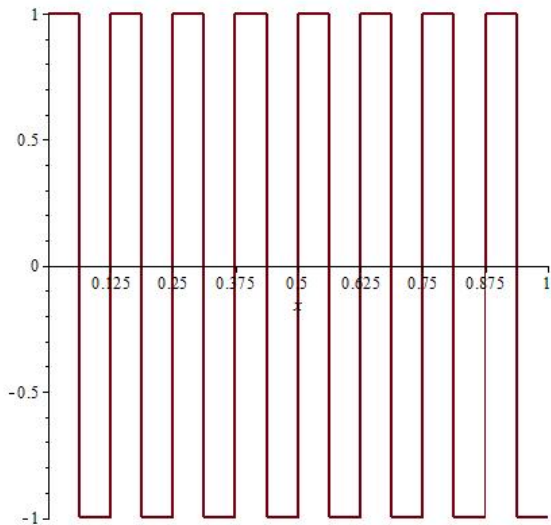
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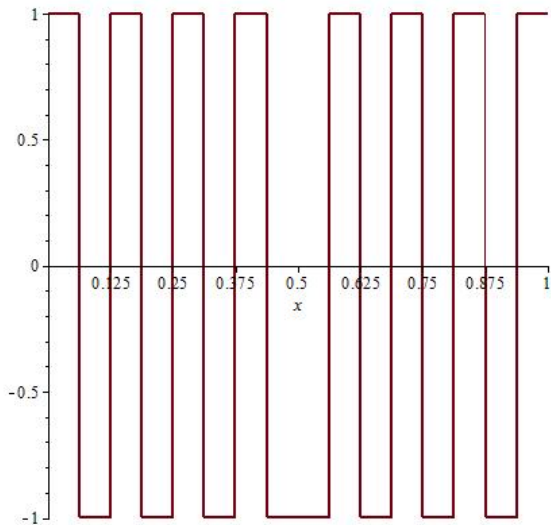
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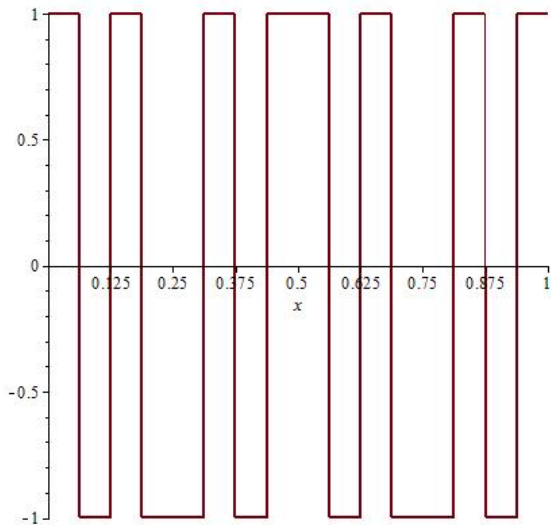
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## Generalized Walsh bases

**C4.** Let  $A = [a_{ij}]$  a  $N \times N$  unitary matrix,  $a_{1j} = \frac{1}{\sqrt{N}}$ .

$$m_i(x) := \sqrt{N} \sum_{j=0}^{N-1} a_{ij} \chi_{[j/N, (j+1)/N]}(x)$$

$$r(x) = Nx \bmod 1, \quad n = \sum_{k=0}^l i_k N^k \text{ with } i_k \in \{0, 1, \dots, N-1\}.$$

The  $n$ 'th generalized Walsh function :

$$W_{n,A}(x) = m_{i_0}(x) \cdot m_{i_1}(rx) \cdots m_{i_l}(r^l x)$$

The set  $(W_{n,A})_{n \in \mathbb{N}}$  is ONB in  $L^2[0, 1]$ .

# Generalized Walsh bases

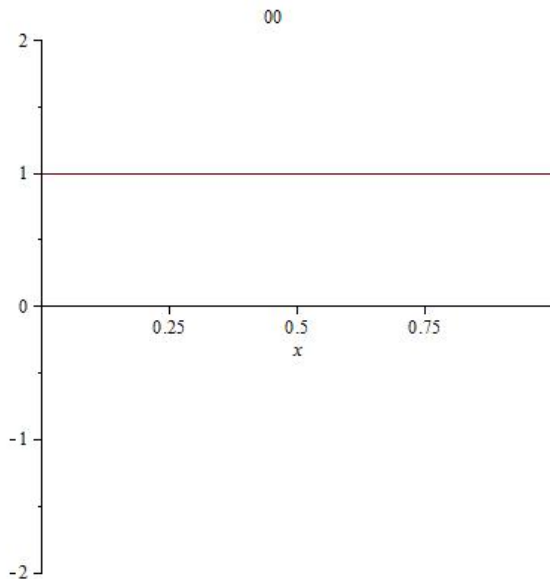
## Example

We will graph a few generalized Walsh functions that correspond to  $4 \times 4$  matrix

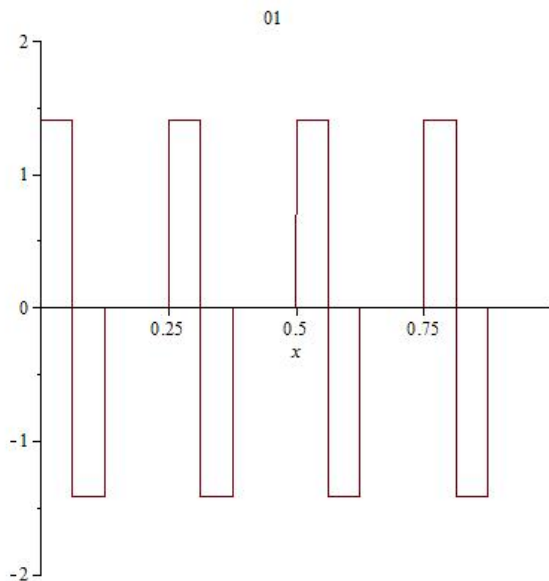
$$A = \begin{pmatrix} \frac{1}{2} & \frac{1}{2} & \frac{1}{2} & \frac{1}{2} \\ \frac{\sqrt{2}}{2} & -\frac{\sqrt{2}}{2} & 0 & 0 \\ 0 & 0 & \frac{\sqrt{2}}{2} & -\frac{\sqrt{2}}{2} \\ \frac{1}{2} & \frac{1}{2} & -\frac{1}{2} & -\frac{1}{2} \end{pmatrix}$$



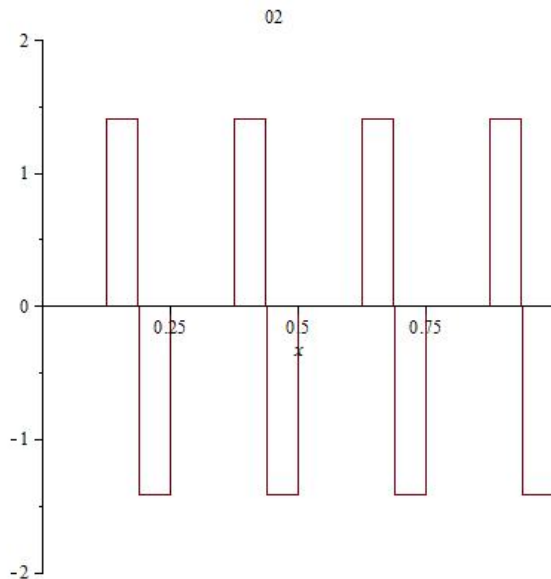
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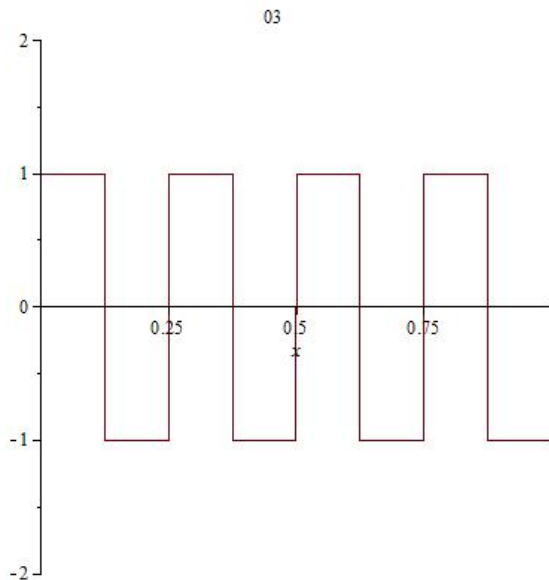
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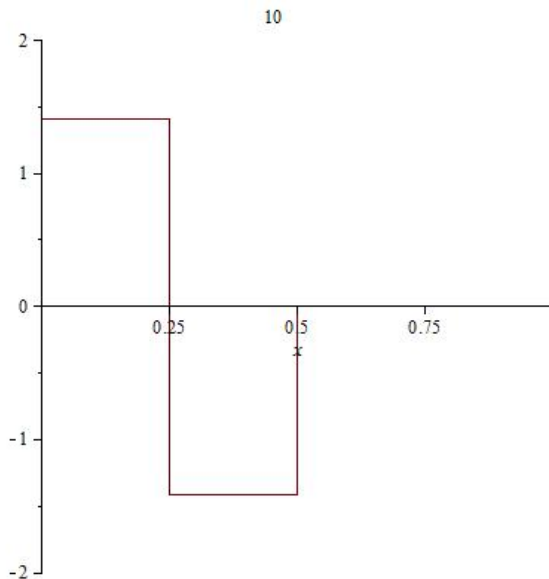
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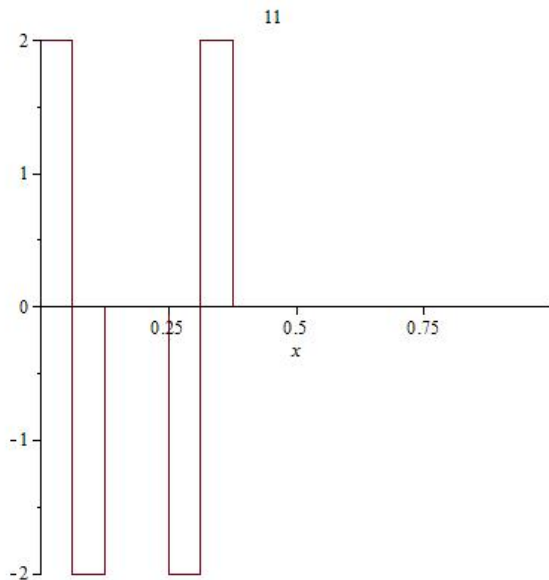
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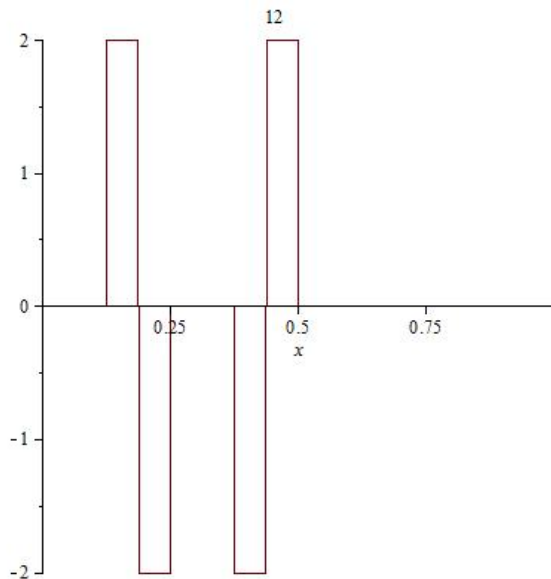
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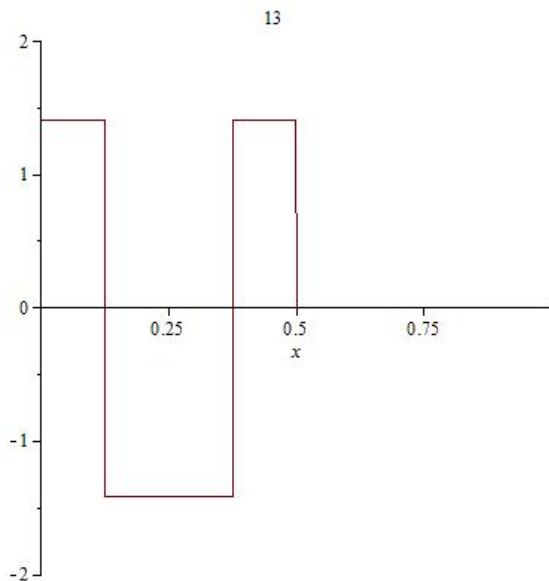
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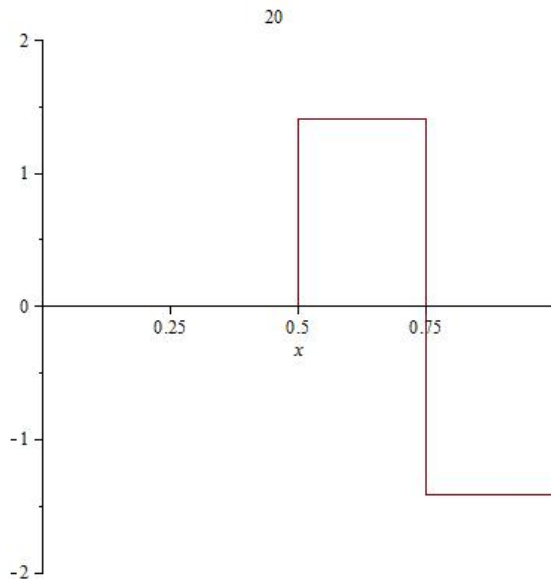


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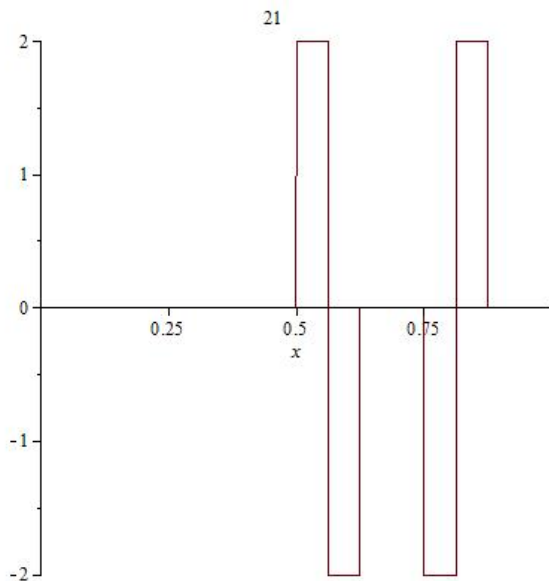




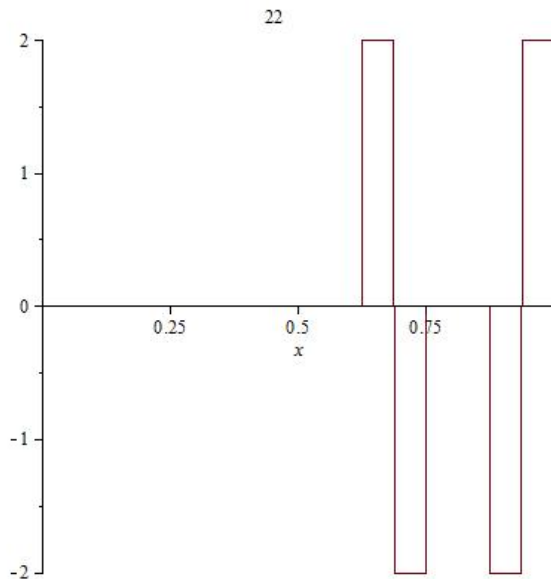
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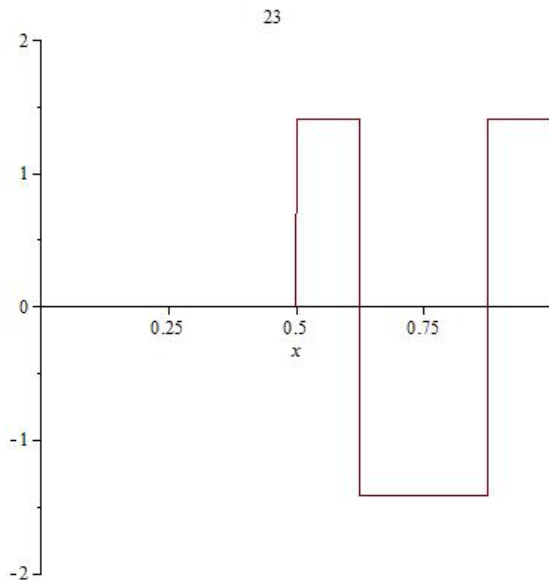
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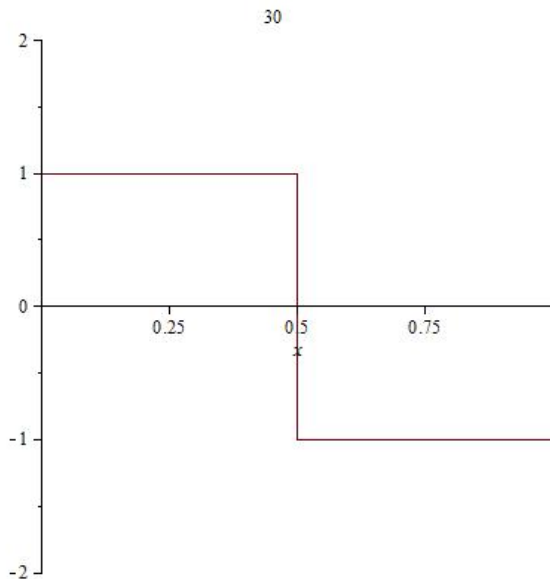
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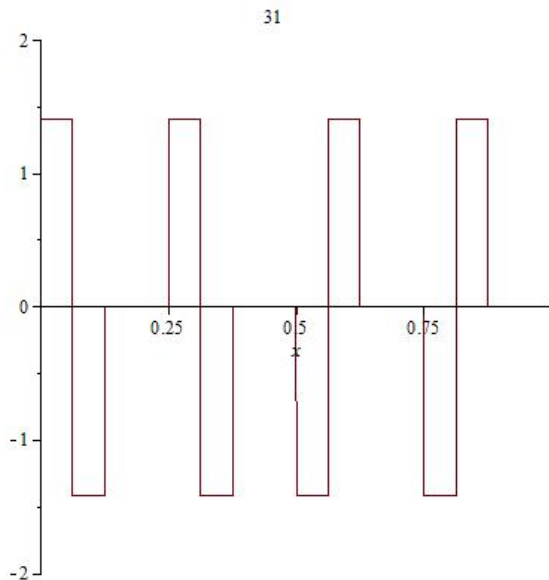
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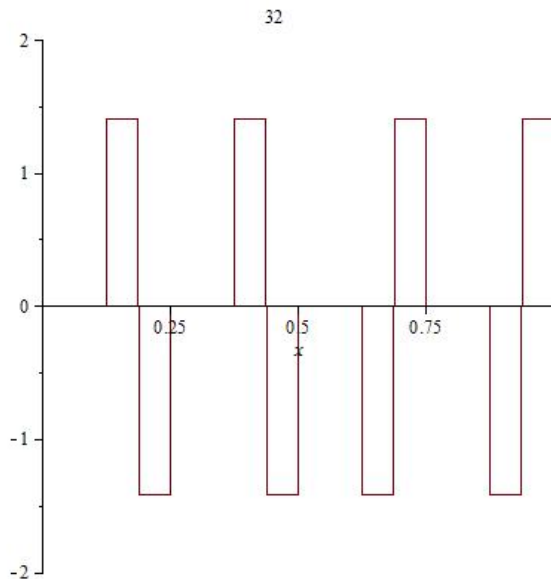
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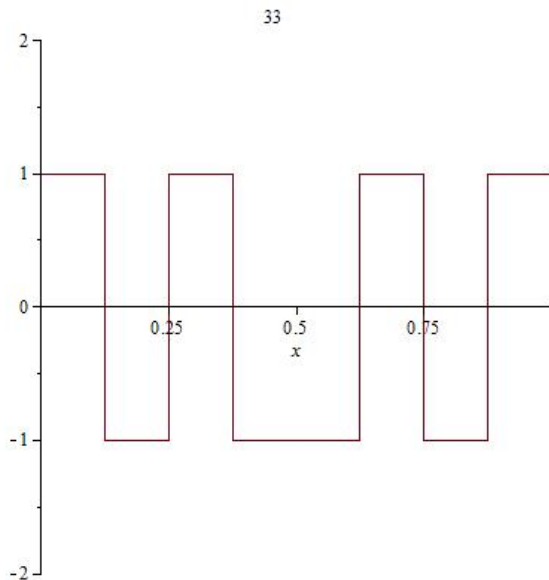
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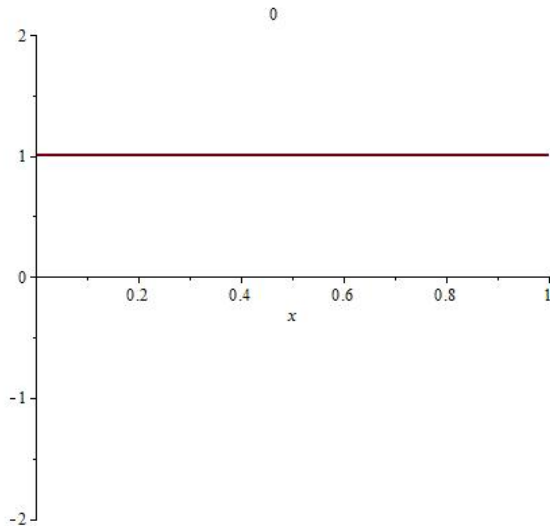


## Example

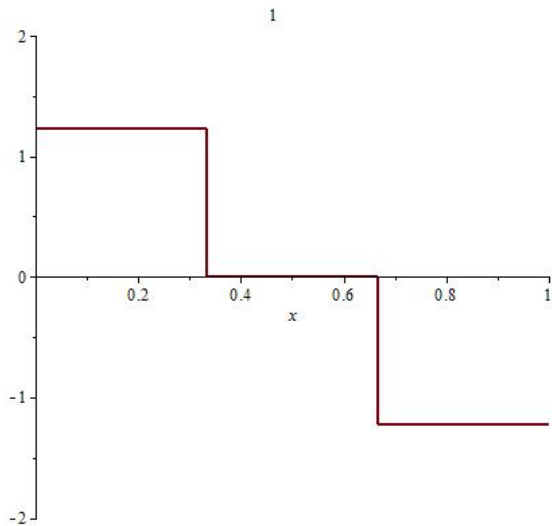
We will graph a few generalized Walsh functions that correspond to  $3 \times 3$  matrix

$$A = \begin{pmatrix} \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{3}} \\ \frac{\sqrt{2}}{2} & 0 & -\frac{\sqrt{2}}{2} \\ -\frac{\sqrt{6}}{6} & \frac{\sqrt{6}}{3} & -\frac{\sqrt{6}}{6} \end{pmatrix}$$

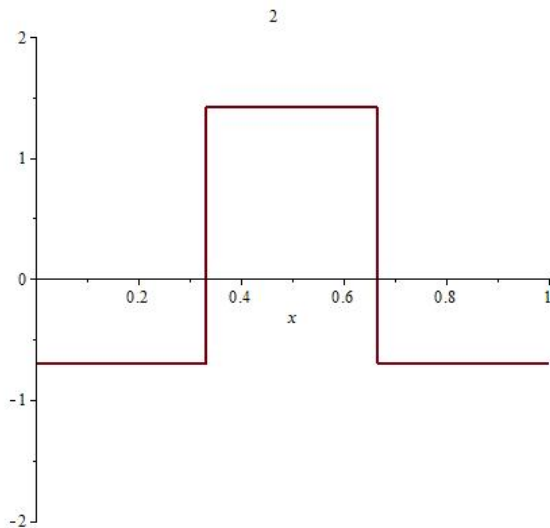
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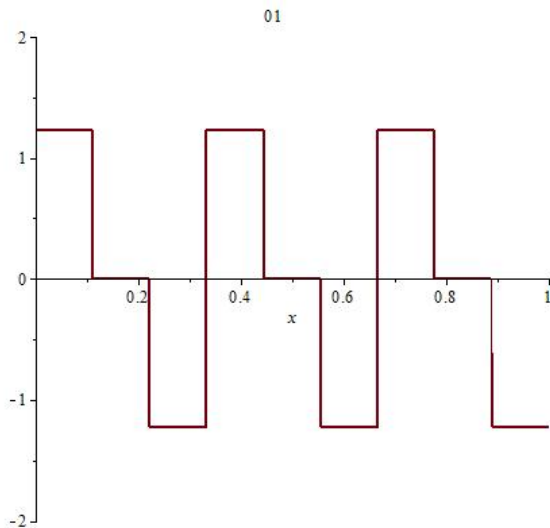
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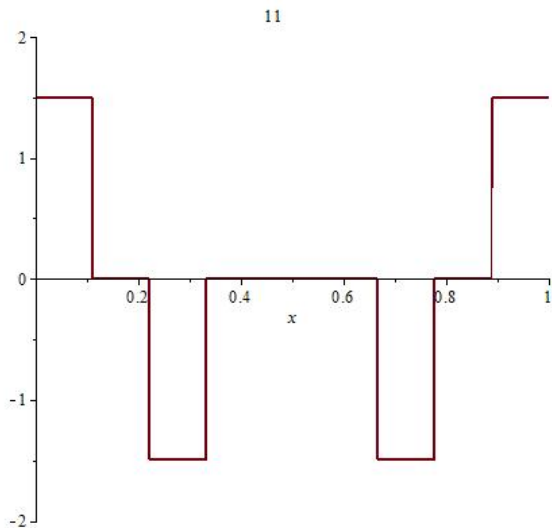
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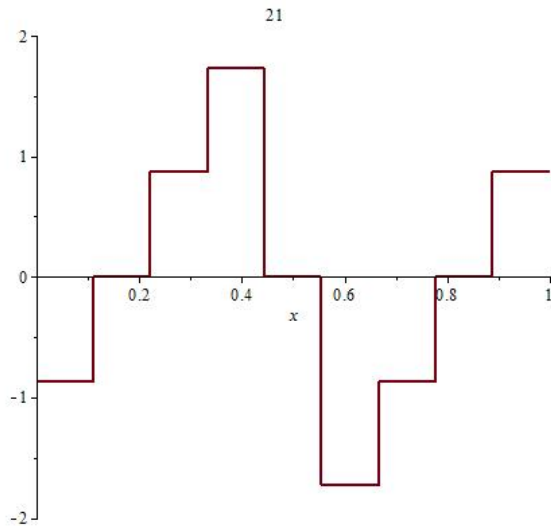
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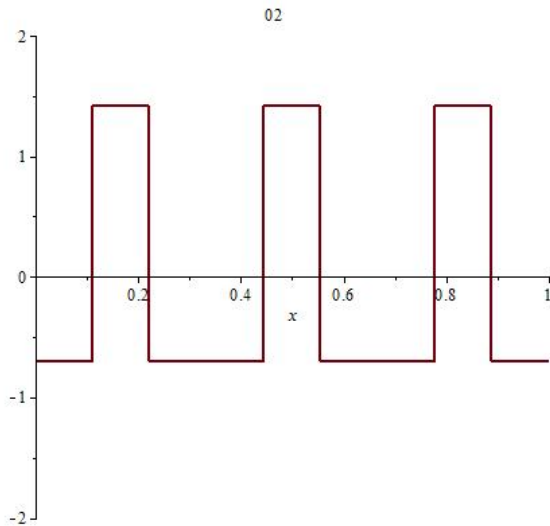
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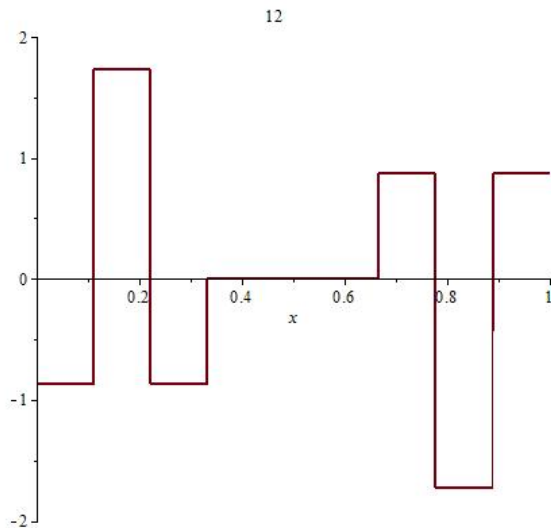


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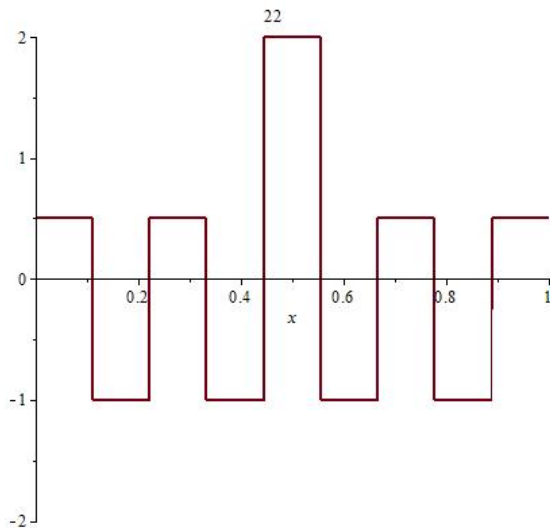




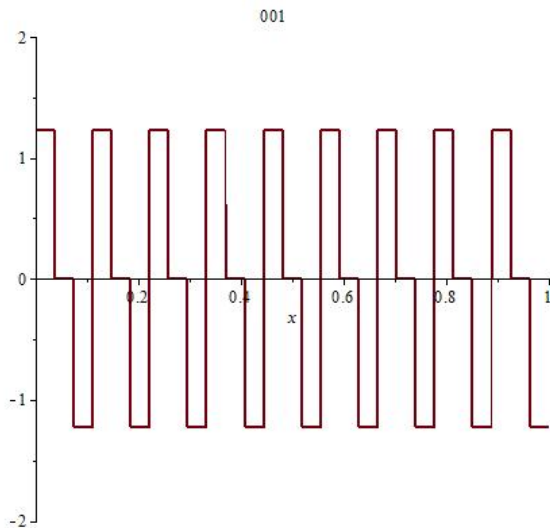
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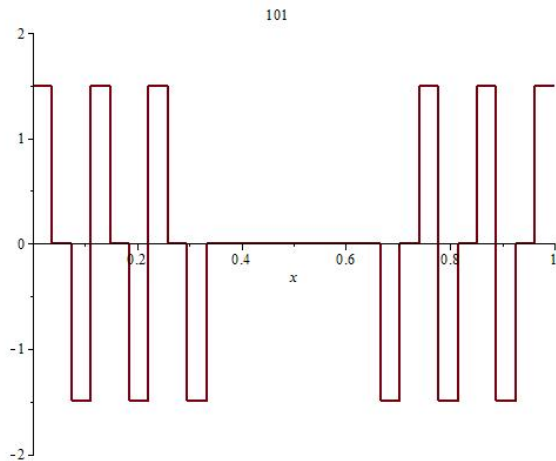
# Generalized Walsh bases



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## Some differences

*The classic Walsh functions form a group :*

$$W_n(x) \cdot W_m(x) = W_{n \oplus m}(x)$$

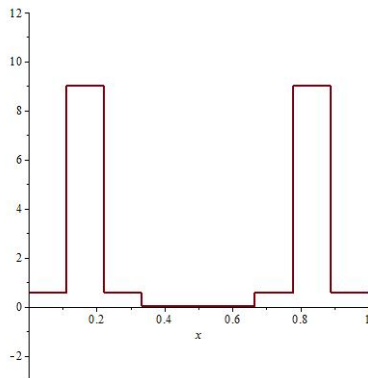


Figure: Graph of  $W_{7,A}^4 \Rightarrow (W_{n,A})_n$  does not form a group

# Convergence properties

## Theorem

For  $f \in L^1[0, 1]$  the sequence

$$S_{N^q}(x) = \sum_{n=0}^{N^q-1} \langle f, W_{n,A} \rangle W_{n,A}(x)$$

converges a.e. to  $f(x)$ .

## Corollary

If  $f \in L^1[0, 1]$  is continuous in a neighborhood of  $x = a$  then  $S_{N^q} \rightarrow f$  uniformly inside an interval centered at  $a$ .

# Approximation issues

## Example

$$f(x) = \begin{cases} 0, & x \in [0, 1/16) \cup [1/8, 3/16) \cup [1/4, 1/2) \\ 1, & x \in [1/16, 1/8) \cup [3/16, 1/4) \cup [1/2, 1] \end{cases}$$

With generalized Walsh ONB to the unitary matrix

$$A = \begin{pmatrix} \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{3}} \\ \frac{\sqrt{2}}{2} & 0 & -\frac{\sqrt{2}}{2} \\ -\frac{\sqrt{6}}{6} & \frac{\sqrt{6}}{3} & -\frac{\sqrt{6}}{6} \end{pmatrix}$$

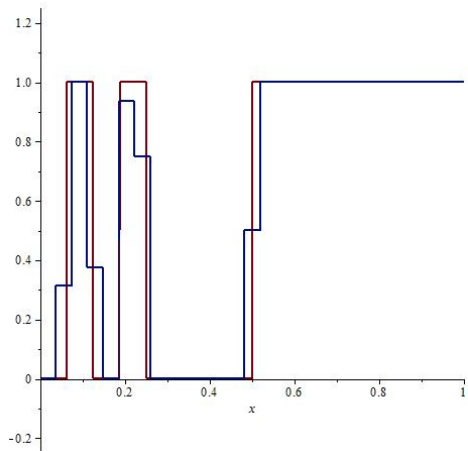


Figure: Graph of  $f$  and  $S_{27}(f)$



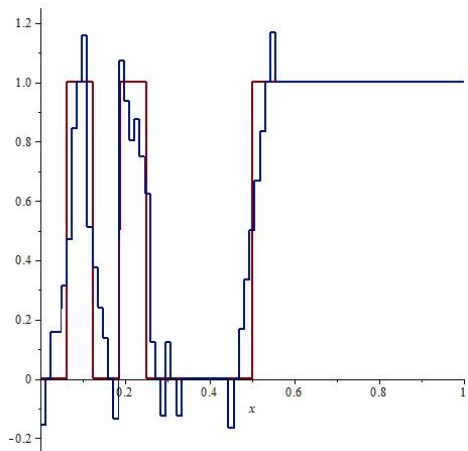


Figure: Graph of  $f$  and  $S_{36}(f)$

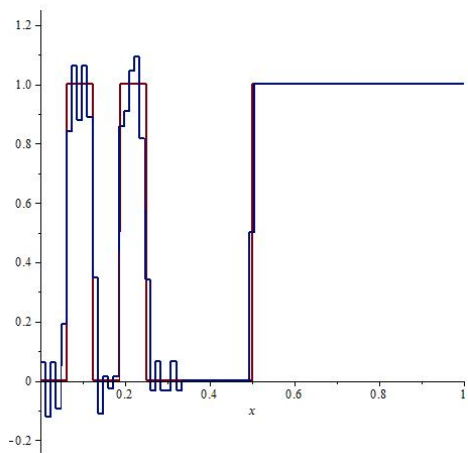


Figure: Graph of  $f$  and  $S_{60}(f)$

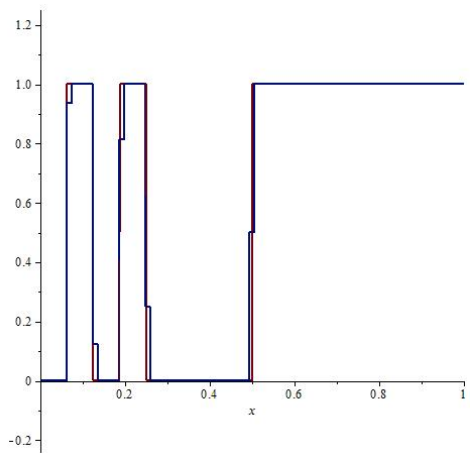


Figure: Graph of  $f$  and  $S_{81}(f)$

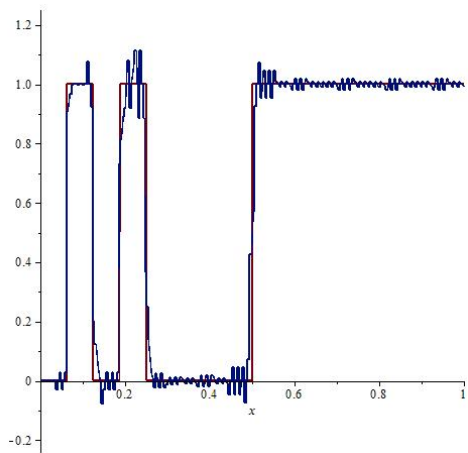


Figure: Graph of  $f$  and  $S_{100}(f)$

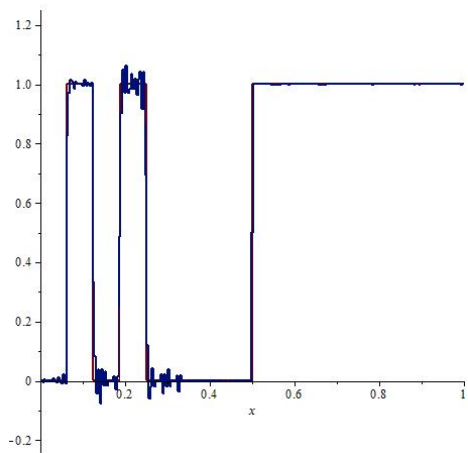


Figure: Graph of  $f$  and  $S_{200}(f)$

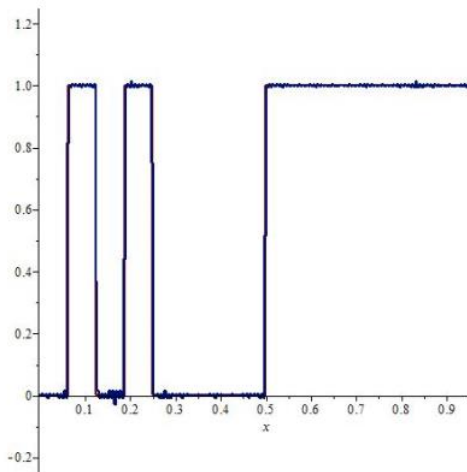


Figure: Graph of  $f$  and  $S_{241}(f)$

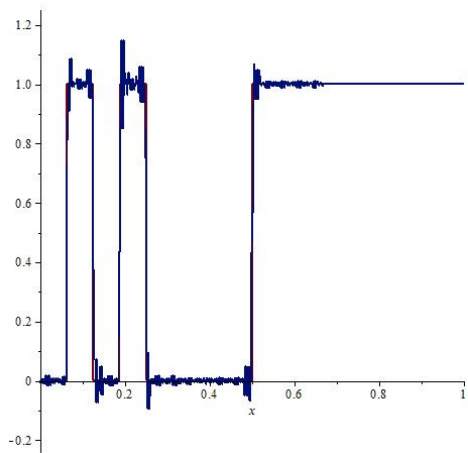


Figure: Graph of  $f$  and  $S_{300}(f)$

# Symmetric encryption

## Corollary

*If  $f : [0, 1] \rightarrow \mathbb{C}$  is constant on the interval  $I_j := [j/N^q, (j+1)/N^q)$  for some  $j \in \{0, 1, \dots, N^q - 1\}$ , then for all  $x \in I_j$  :*

$$f(x) = \sum_{n=0}^{N^q-1} \langle f, W_{n,A} \rangle W_{n,A}(x)$$

$$f(x) = v_j, x \in I_j, j = 0, \dots, N^q - 1.$$

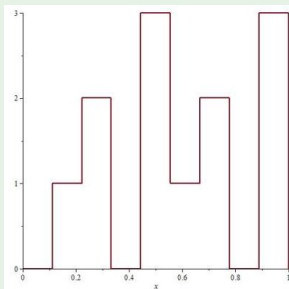
*The sequence  $\langle f, W_{n,A} \rangle$  encrypts  $f$  with respect to a secret matrix  $A$ .*



$f = \text{"abcadbcad"}$  is encoded as

$$a_n = [1.333333333, -.2024226815, .1819316687, -.4048453629, \\ .5672104250, .3354086404, .3638633377, .7203088198, 0.9945624111e - 1]$$

$$A = \begin{pmatrix} \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{3}} \\ 0.25301205 & * & * \\ * & * & * \end{pmatrix}$$



## Example

Given the previous sequence  $a_n$  and slightly "perturbed" matrix

$$\tilde{A} = \begin{pmatrix} \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{3}} \\ 0.2 & * & * \\ * & * & * \end{pmatrix}$$

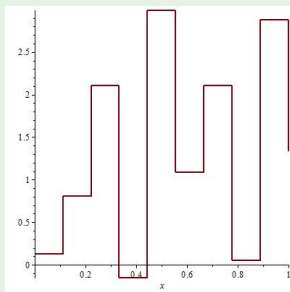


Figure: Graph of  $\sum a_n W_{n,\tilde{A}}$

## Continuity w.r.t. matrix entries

For a fixed sequence  $(a_n)_{n=0}^{N^q-1}$  the map

$$\mathbb{R}^{N^2} \ni A \rightarrow \sum_{n=0}^{N^q-1} a_n W_{n,A} \text{ is continuous}$$

To strengthen the "encryption" :  $f \rightarrow \langle f, W_{n,A} \rangle + \text{extra, e.g.}$   
 $(-1)^n M \sin(1/a^2)$

Previous example, now with entry  $a = 0.25301204$

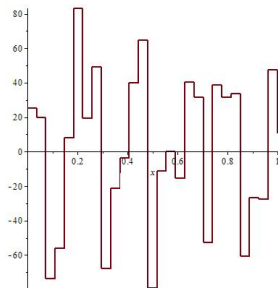


Figure: Graph of  $\sum a_n W_{n,\tilde{A}}$

# Encryption/Compression with Cuntz

- QMF basis  $m_i(x) := \sqrt{N} \sum_{j=0}^{N-1} a_{ij} \chi_{[j/N, (j+1)/N]}(x)$
- $S_i(f) = m_i f \circ r$
- $S_i^*(f) = \frac{1}{N} \sum_{k=0}^{N-1} m_i(\frac{x+k}{N}) \cdot f(\frac{x+k}{N})$

signal  $f \Rightarrow [S_i^*(f)]_{i=0, N-1}$  (i.e.  $f$  encrypted).

Compress  $[S_i^*(f)]_{i=0, N-1}$ .

# Example

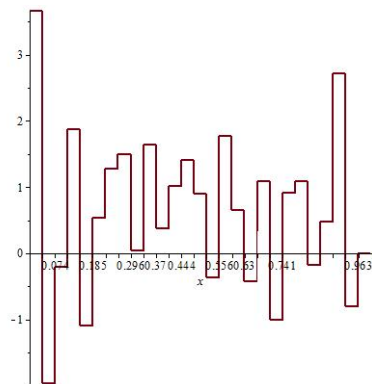


Figure: Signal  $f$ , piece wise constant on tri-adic intervals

# Example

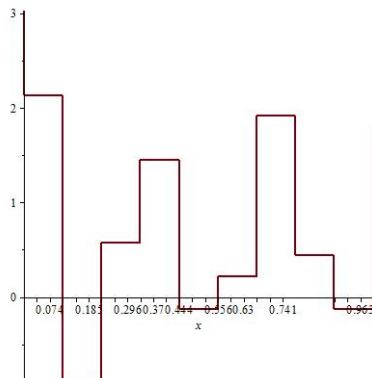


Figure: First frequency band, signal  $S_0^* f$

# Example

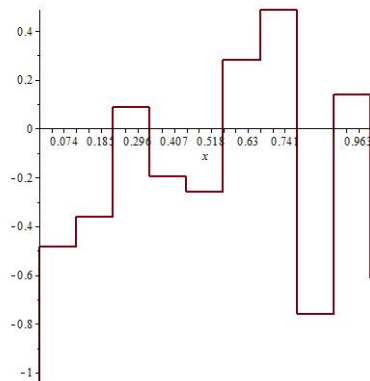


Figure: 2<sup>nd</sup> frequency band, signal  $S_1^* f$



# Example

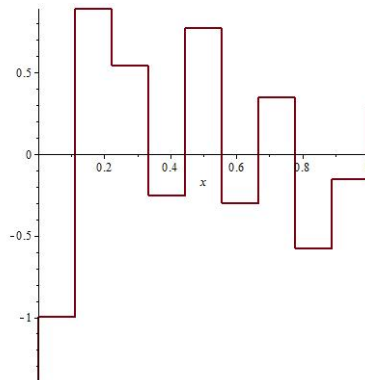


Figure: 3<sup>rd</sup> frequency band, signal  $S_2^* f$

# A cryptographic protocol

- $H_1$  space of messages,  $H_2$  the space of encrypted messages
- Assume plenty of operators  $A : H_1 \rightarrow H_2$  and  $B : H_1 \rightarrow H_2$  such that

$$B^{-1} \circ A \circ B^{-1} \circ A = I_{H_1}$$

"Ping-pong" messaging (also Eve is eavesdropping):

- 1) Alice to Bob:  $w_1 = A(v) \in H_2$
- 2) Bob to Alice:  $w_2 = B^{-1}A(v) \in H_1$
- 3) Alice to Bob:  $w_3 = AB^{-1}A(v) \in H_2$
- 4) Bob applies  $B^{-1}$  to  $w_3$ .

## Bad choices

- $A(f)(x) = f(x + a)$ ,  $B(f) = f(x + b)$

*f can be detected from its translations*

- $A(f)(x) = f(x^a)$ ,  $B(f)(x) = f(x^b)$

*dilation/compression, some of f could be guessed, issues with the domain*

- More generally  $f \in G$ ,  $G$  Abelian group:  $Ax = ax$ ,  $Bx = bx$ .

*Previous ping-pong:*

$$w_1 = af,$$

$$w_2 = b^{-1}w_1 \Rightarrow \text{Eve can figure out } b = w_2^{-1}w_1$$

$$w_3 = a^{-1}w_2 = b^{-1}f \Rightarrow \text{Eve multiplies by } b \text{ and reveals } f$$

# Transforms commutation

$A, B$  unitary  $N \times N$  matrices having constant  $1/\sqrt{N}$  first row.

$$\mathcal{W}_A : L^2[0, 1] \rightarrow l^2(\mathbb{N}), \mathcal{W}_A(f) = \langle f, W_{n,A} \rangle_{n \geq 0}$$

The inverse tranform (only needed for finite sequences) :

$$\mathcal{W}_A^{-1}((a_n)_n) = \sum_n a_n W_{n,A}$$

**Question:** Given  $f$  under what conditions for  $A$  and  $B$  does the "ping-pong" protocol work?

$$\mathcal{W}_B^{-1} \circ \mathcal{W}_A \circ \mathcal{W}_B^{-1} \circ \mathcal{W}_A(f) = f$$

## Theorem

If  $\langle \text{row } l, B, \text{row } k, A \rangle = \langle \text{row } l, A, \text{row } k, B \rangle$  for all  $k, l$  in  $\{1, 2, 3, \dots, N\}$   
then  $\forall f$  piecewise constant on consecutive  $N$ -adic intervals :

$$\mathcal{W}_B^{-1} \circ \mathcal{W}_A \circ \mathcal{W}_B^{-1} \circ \mathcal{W}_A(f) = f$$

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$N = 3$  one equation is relevant:

$$\begin{bmatrix} \frac{1}{3}\sqrt{3} & \frac{1}{3}\sqrt{3} & \frac{1}{3}\sqrt{3} \\ x & y & z \\ p & q & r \end{bmatrix} \begin{matrix} \text{---} \\ \text{---} \\ \text{---} \end{matrix} \begin{bmatrix} \frac{1}{3}\sqrt{3} & \frac{1}{3}\sqrt{3} & \frac{1}{3}\sqrt{3} \\ a & b & c \\ d & e & f \end{bmatrix}$$

## Protocol set up

- Alice has  $A = [a_{i,j}]_{i=1,N}^{j=1,N}$  with real number entries.
- Bob receives from Alice:

$$\sum_{j=1}^N a_{kj} x_{lj} = \sum_{j=1}^N a_{lj} x_{kj}, \quad \forall 1 < l < k \leq N \quad | \cdot \text{masking coefficients}$$

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- $B = [x_{i,j}]_{i=1,N}^{j=1,N}$  must be unitary:

$$\begin{aligned} x_{1,j} &= 1/\sqrt{N}, \quad \forall j = 1, \dots, N \\ \sum_{j=1}^N |x_{i,j}|^2 &= 1, \quad \forall i = 2, \dots, N \\ \sum_{j=1}^N x_{i,j} &= 0, \quad \forall i = 2, \dots, N \\ \sum_{k=1}^N x_{i,k} \cdot x_{j,k} &= 0, \quad \forall 1 < i < j \leq N \end{aligned}$$

System of  $N^2 - N$  quadratics with  $N^2 - N$  unknowns.



## Question

*Are there infinitely many  $A$  for which the previous system has infinitely many solutions?*

*Study their Grobner bases.*

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*Study their Grobner bases.*

## Example

There are infinitely many  $B$  transform "commuting" with

$$A = \begin{pmatrix} \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{3}} \\ \frac{\sqrt{2}}{2} & 0 & -\frac{\sqrt{2}}{2} \\ -\frac{\sqrt{6}}{6} & \frac{\sqrt{6}}{3} & -\frac{\sqrt{6}}{6} \end{pmatrix}$$

# Thank you!

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